



Minimum Mosaic Inference of a Set of Recombinants

Guillaume Blin¹ Florian Sikora¹ Romeo Rizzi²
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Outline

Introduction

NP-Hardness

Exact Algorithms

Conclusion

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SNPs

- ▶ SNP (Single Nucleotide Polymorphism)
- ▶ When a single nucleotide (A,C,G,T) differs in the genome of two members of a specie (or paired chromosome in a individual)

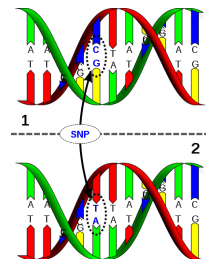


Figure by D. Hall

SNPs

- ▶ SNP (Single Nucleotide Polymorphism)
- ▶ When a single nucleotide (A,C,G,T) differs in the genome of two members of a specie (or paired chromosome in a individual)
- ▶ Represents 90% of the human genetic variation
- ▶ Must cheaper to collect than full sequence data

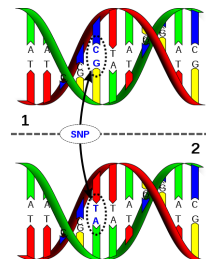


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SNPs

- ▶ In most SNPs, **two** (of four) different nucleotides occurs

SNPs

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- ▶ Can use **0** and **1** – **binary** data

Recombination

- ▶ Principal process inducing these genetic variations

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- ▶ Two equal length sequences...

110001111111001

000110000001111

Recombination

- ▶ Principal process inducing these genetic variations
- ▶ Two equal length sequences...
- ▶ ...generates a third of same length

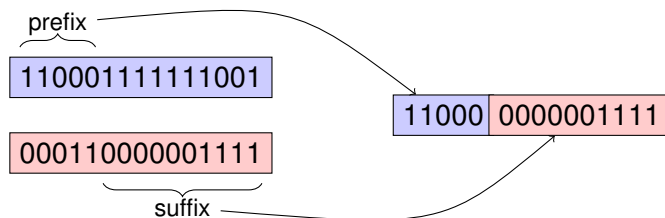
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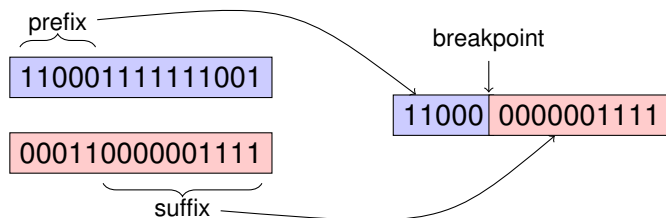
Recombination

- ▶ Principal process inducing these genetic variations
- ▶ Two equal length sequences...
- ▶ ...generates a third of same length
- ▶ Concatenation of a prefix in the first one and a suffix in the second one [KOIVISTO ET AL. 04]



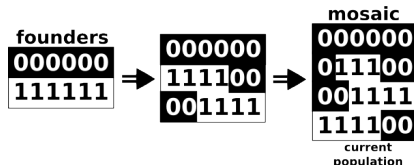
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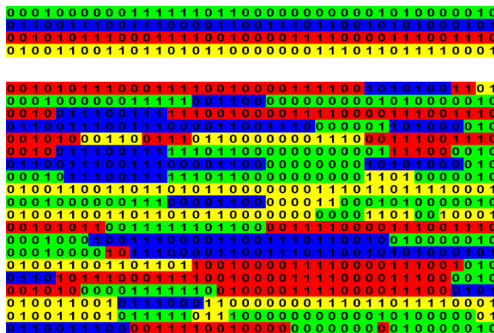
Founders sequences

- ▶ Current sequences are descendant of a small number of **founders sequences**
- ▶ A current sequence is composed of **blocks from the founders**, due to recombination



Mosaic

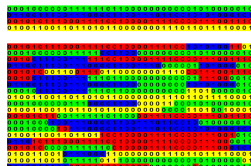
- Really look like a mosaic !



Generated by RecBlock

Mosaic Problem [UKKONEN 02]

- ▶ **Input** : A set of m **sequences** (current population) of length n , an integer K
- ▶ **Output** : A set of K **founders sequences** that induce a **minimum number of breakpoints**



State of the art

- ▶ Polynomial in $\mathcal{O}(mn)$ if $K = 2$ [UKKONEN 02, WU ET AL. 07]
- ▶ Exact exponentials algorithms [UKKONEN 02, WU ET AL. 07]
- ▶ Heuristics [RÖLY & BLUM 09]
- ▶ Lower bounds on the minimum number of breakpoints needed [WU 10]

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- ▶ Lower bounds on the minimum number of breakpoints needed [WU 10]
- ▶ What about the complexity if $K > 2$?

Outline

Introduction

NP-Hardness

Exact Algorithms

Conclusion

Hardness

- ▶ A first step in a answer : the problem is NP-Complete if the number of founders is not bounded
- ▶ Just some tricks for the proof...

Tool 1: Using arbitrary string

- ▶ If the problem on **arbitrary strings** is NP-hard, then so is the problem on binary strings

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 - ▶ Suppose an alphabet Σ
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 - ▶ $\Sigma = A, B, C$

Tool 1: Using arbitrary string

- ▶ If the problem on **arbitrary strings** is NP-hard, then so is the problem on binary strings
 - ▶ Suppose an alphabet Σ
 - ▶ Take any encoding δ of symbols in Σ by binary strings of length $\lceil \log_2 |\Sigma| \rceil$
- ▶ Example
 - ▶ $\Sigma = A, B, C$
 - ▶ $\delta(A) = 00$
 - ▶ $\delta(B) = 01$
 - ▶ $\delta(C) = 10$

Tool 1: Using arbitrary string

- ▶ (\Rightarrow) Any solution with strings over Σ maps into a solution for binary strings without changing the number of breakpoints
- ▶ Example
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Tool 1: Using arbitrary string

- ▶ (\Rightarrow) Any solution with strings over Σ maps into a solution for binary strings without changing the number of breakpoints
 - ▶ (\Leftarrow) If we cannot map the binary founders sequence to symbols of Σ , then we can replace the missing "word" by its longest suffix in common in Σ without increasing the cost
- ▶ Example
 - ▶ $\Sigma = A, B, C$
 - ▶ $\delta(A) = 00$
 - ▶ $\delta(B) = 01$
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Tool 2: Forcing Founders

- ▶ One can force $K' < K$ founders to be part of the solution
- ▶ **Add nm copies of each forced founders** in the input

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- ▶ **Add nm copies of each forced founders** in the input
- ▶ If the "forced founder" is not in the solution founders:
 - ▶ Induce at least 1 breakpoint for one sequence
 - ▶ Therefore induce nm breakpoints on the whole...

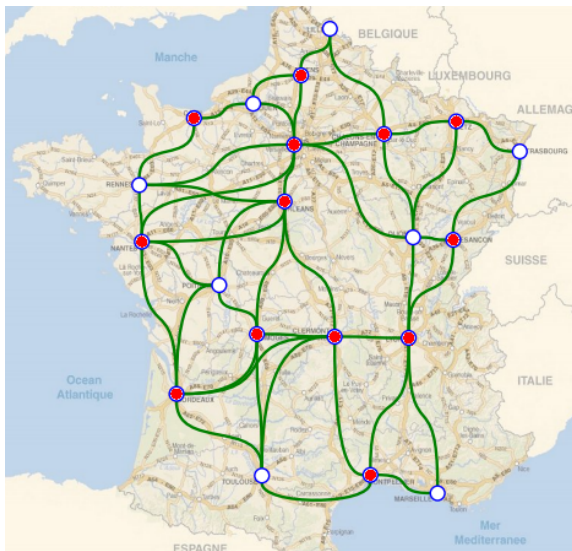
Proof idea

- ▶ From the NP-Complete problem VERTEX COVER

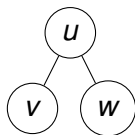
Vertex Cover



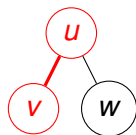
Vertex Cover



Reduction idea



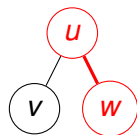
Reduction idea



Input :

$$\underbrace{ZZX_uX_uZZZZX_uX_uZZ \\ ZZX_vX_vZZZZX_wX_wZZ}_{6 \cdot |E|}$$

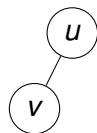
Reduction idea



Input :

$$\underbrace{\begin{array}{l} ZZX_uX_uZZZZX_uX_uZZ \\ ZZX_vX_vZZZZX_wX_wZZ \end{array}}_{6 \cdot |E|}$$

Reduction idea



Input :

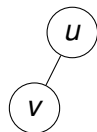
$Z \ Z \ X_u X_u \ Z \ Z$

$Z \ Z \ X_v X_v \ Z \ Z$

$X_u X_u X_u X_u X_u X_u (\times 6 \cdot |E| + 1 = 7)$

$X_v X_v X_v X_v X_v X_v (\times 7)$

Reduction idea



Input :

$Z Z X_u X_u Z Z$

$Z Z X_v X_v Z Z$

$X_u X_u X_u X_u X_u X_u (\times 7)$

$X_v X_v X_v X_v X_v X_v (\times 7)$

Forced founders :

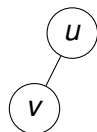
$X_u X_u X_u Z Z Z$

$Z Z Z X_u X_u X_u$

$X_v X_v X_v Z Z Z$

$Z Z Z X_v X_v X_v$

Reduction idea



Input :

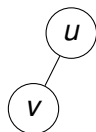
Z	Z	X_u	X_u	Z	Z
Z	Z	X_v	X_v	Z	Z
X_u	X_u	X_u	X_u	X_u	X_u
X_v	X_v	X_v	X_v	X_v	X_v

($\times 7$)

Forced founders :

X_u	X_u	X_u	Z	Z	Z
Z	Z	Z	X_u	X_u	X_u
X_v	X_v	X_v	Z	Z	Z
Z	Z	Z	X_v	X_v	X_v

Reduction idea



Input :

Z	Z	X_u	X_u	Z	Z
Z	Z	X_v	X_v	Z	Z
X_u	X_u	X_u	X_u	X_u	X_u
X_v	X_v	X_v	X_v	X_v	X_v

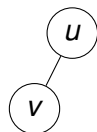
($\times 7$)

- Remains
|Vertex Cover|
founders (here 1)

Forced founders :

X_u	X_u	X_u	Z	Z	Z
Z	Z	Z	X_u	X_u	X_u
X_v	X_v	X_v	Z	Z	Z
Z	Z	Z	X_v	X_v	X_v

Reduction idea



Input :

Z	Z	X_u	X_u	Z	Z
Z	Z	X_v	X_v	Z	Z
X_u	X_u	X_u	X_u	X_u	X_u
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($\times 7$)

Forced founders :

X_u	X_u	X_u	Z	Z	Z
Z	Z	Z	X_u	X_u	X_u
X_v	X_v	X_v	Z	Z	Z
Z	Z	Z	X_v	X_v	X_v

- Remains
|Vertex Cover|
founders (here 1)
- Will be sequences
" $X_i X_j \dots$ " due to ($\times 7$)
- It is a vertex cover
otherwise first
sequences generate
more breakpoints

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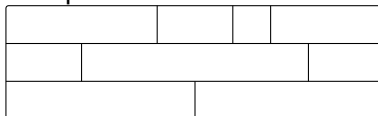
Polynomial-time Algorithm

- Suppose one **knows where the breakpoints are**

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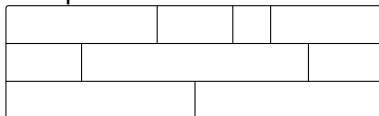
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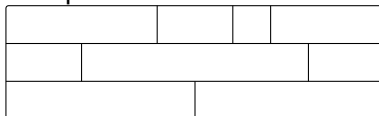


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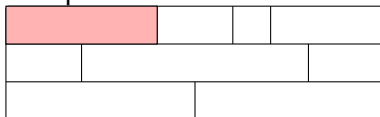


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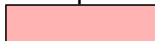
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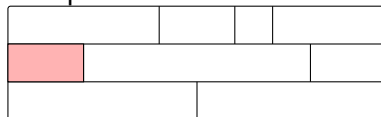
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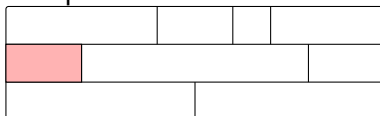
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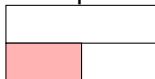
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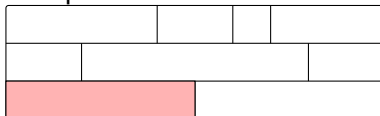
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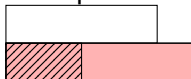
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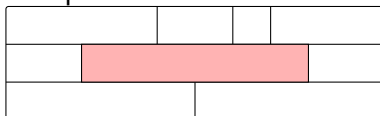
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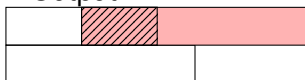
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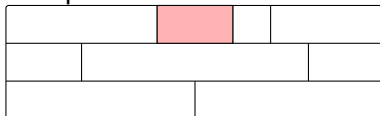
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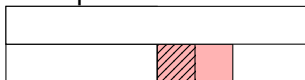
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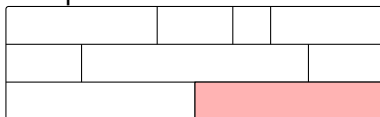
Output :



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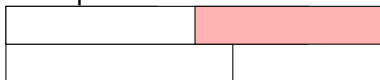
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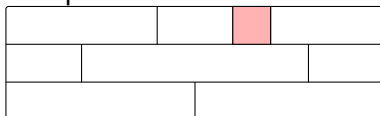
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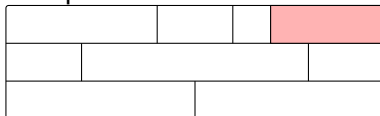
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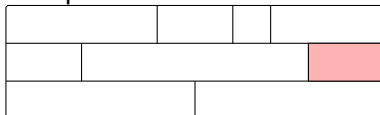
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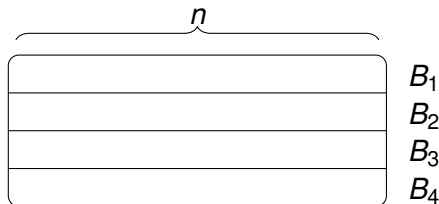
- Each substring without breakpoints must by definition appear in the solution
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- $\mathcal{O}(|\text{Breakpoints}| \times |\text{Output}| \times |\text{Longest block}|)$

Output :



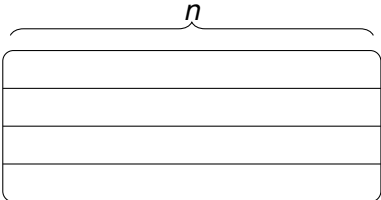
Polynomial-time algorithm

- If one only **knows the number of breakpoints B_i for each input sequence** of size n :



Polynomial-time algorithm

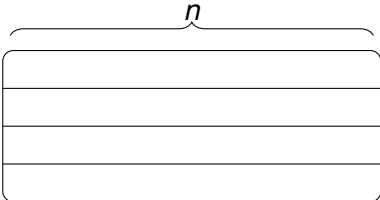
- ▶ If one only **knows the number of breakpoints B_i for each input sequence** of size n :
- ▶ One can "guess" where all breakpoints can be :



$$\begin{array}{l}
 B_1 \Rightarrow \binom{n}{B_1} = \mathcal{O}(n^{B_1}) \\
 B_2 \Rightarrow \binom{n}{B_2} = \mathcal{O}(n^{B_2}) \\
 B_3 \Rightarrow \binom{n}{B_3} = \mathcal{O}(n^{B_3}) \\
 B_4 \Rightarrow \binom{n}{B_4} = \mathcal{O}(n^{B_4})
 \end{array}$$

Polynomial-time algorithm

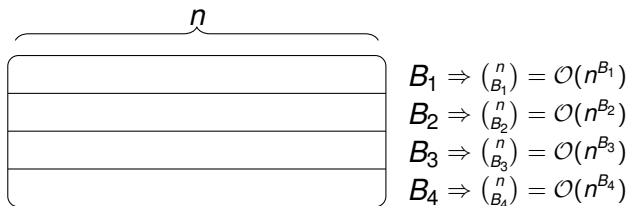
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- ▶ And launch the previous algorithm



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 \end{array}$$

Polynomial-time algorithm

- ▶ If one only **knows the number of breakpoints B_i for each input sequence** of size n :
- ▶ One can "guess" where all breakpoints can be :
- ▶ And launch the previous algorithm
- ▶ Overall complexity : $\mathcal{O}(n^{B_1} . n^{B_2} \dots n^{B_m} . BKn) = \mathcal{O}(n^B . BKn)$



Polynomial-time algorithm

- ▶ If one only **knows the number of overall breakpoints B**

Polynomial-time algorithm

- ▶ If one only **knows the number of overall breakpoints B**
- ▶ Maximum number of different input sequences

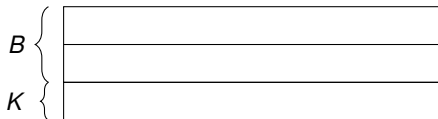
Polynomial-time algorithm

- ▶ If one only **knows the number of overall breakpoints B**
- ▶ Maximum number of different input sequences = B



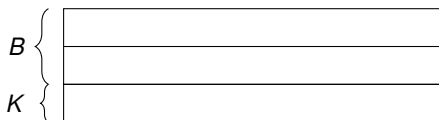
Polynomial-time algorithm

- ▶ If one only **knows the number of overall breakpoints B**
- ▶ Maximum number of different input sequences = $B + K$



Polynomial-time algorithm

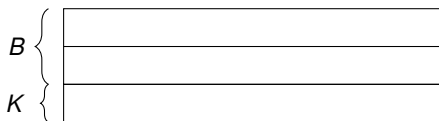
- ▶ If one only **knows the number of overall breakpoints B**
- ▶ Maximum number of different input sequences = $B + K$



- ▶ Decide which have the breakpoints : $\binom{K+B}{B} = \mathcal{O}((K+B)^B)$

Polynomial-time algorithm

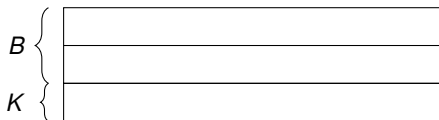
- ▶ If one only **knows the number of overall breakpoints B**
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- ▶ For each, run the $\mathcal{O}(nK^{2m})$ Ukkonen's algorithm

Polynomial-time algorithm

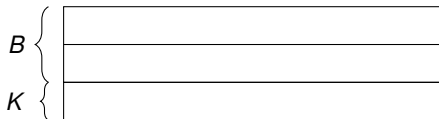
- ▶ If one only **knows the number of overall breakpoints B**
- ▶ Maximum number of different input sequences = $B + K$



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- ▶ For each, run the $\mathcal{O}(nK^{2m})$ Ukkonen's algorithm
 - ▶ Our sequences of interest are $m = B$
- ▶ Overall complexity : $\mathcal{O}((K+B)^B \cdot nK^{2B})$

Outline

Introduction

NP-Hardness

Exact Algorithms

Conclusion

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- ▶ If $K = 2$, Mosaic Problem is polynomial time solvable
- ▶ If K is not bounded, NP-Complete

Conclusion

- ▶ If $K = 2$, Mosaic Problem is polynomial time solvable
- ▶ If K is not bounded, NP-Complete
- ▶ What about the complexity when K is bounded? FPT?
- ▶ What about the existence of a PTAS?

Questions?

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