### IASD M2 at Paris Dauphine

## Deep Reinforcement Learning

17: Offline Reinforcement Learning Part 2

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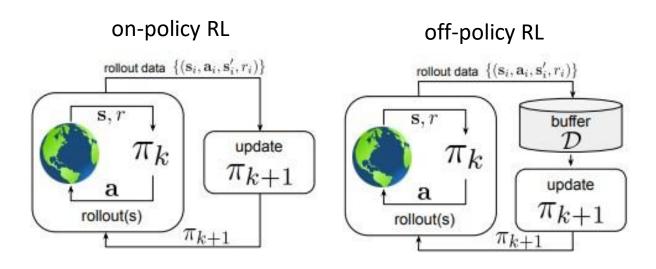


# Acknowledgement

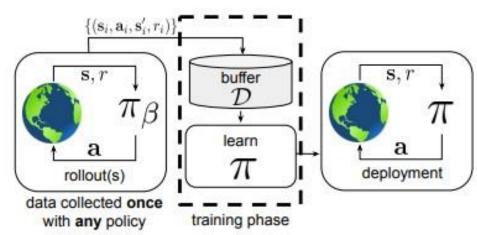
These materials are based on the seminal course of Sergey Levine CS285



## Offline Reinforcement Learning



### offline reinforcement learning



Formally:

$$\mathcal{D} = \{(\mathbf{s}_i, \mathbf{a}_i, \mathbf{s}_i', r_i)\}$$
 $\mathbf{s} \sim d^{\pi_{\beta}}(\mathbf{s})$  generally **not** known  $\mathbf{a} \sim \pi_{\beta}(\mathbf{a}|\mathbf{s})$ 
 $\mathbf{s}' \sim p(\mathbf{s}'|\mathbf{s}, \mathbf{a})$ 
 $r \leftarrow r(\mathbf{s}, \mathbf{a})$ 

RL objective: 
$$\max_{\pi} \sum_{t=0}^{T} E_{\mathbf{s}_{t} \sim d^{\pi}(\mathbf{s}), \mathbf{a}_{t} \sim \pi(\mathbf{a}|\mathbf{s})} [\gamma^{t} r(\mathbf{s}_{t}, \mathbf{a}_{t})]$$

## Where do we suffer from distribution shift?

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + \max_{\mathbf{a}'} Q(\mathbf{s}', \mathbf{a}')$$

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + E_{\mathbf{a}' \sim \pi_{\text{new}}}[Q(\mathbf{s}', \mathbf{a}')]$$

$$y(\mathbf{s}, \mathbf{a})$$

expect good accuracy when  $\pi_{\beta}(\mathbf{a}|\mathbf{s}) = \pi_{\text{new}}(\mathbf{a}|\mathbf{s})$ 

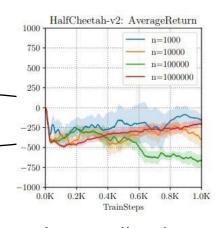
even worse:  $\pi_{\text{new}} = \arg \max_{\pi} E_{\mathbf{a} \sim \pi(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})]$ 

(what if we pick  $\mathbf{x}^* \leftarrow \arg \max_{\mathbf{x}} f_{\theta}(\mathbf{x})$ ?)

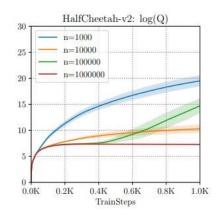
what is the objective?

$$\min_{Q} E_{(\mathbf{s},\mathbf{a}) \sim \pi_{\beta}(\mathbf{s},\mathbf{a})} \left[ (Q(\mathbf{s},\mathbf{a}) - y(\mathbf{s},\mathbf{a}))^2 \right]$$
 target value behavior policy

how often does that happen?



how well it does



how well it *thinks* it does (Q-values)

## How do prior methods address this?

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + E_{\mathbf{a}' \sim \pi_{\text{new}}}[Q(\mathbf{s}', \mathbf{a}')]$$

$$\pi_{\text{new}}(\mathbf{a}|\mathbf{s}) = \arg \max_{\pi} E_{\mathbf{a} \sim \pi(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})] \text{ s.t. } D_{\text{KL}}(\pi || \pi_{\beta}) \leq \epsilon$$

This solves distribution shift, right?

No more erroneous values?

**Issue 1:** we usually don't know the behavior policy  $\pi_{\beta}(\mathbf{a}|\mathbf{s})$ 

- human-provided data
- data from hand-designed controller
- data from many past RL runs
- all of the above

**Issue 2:** this is both *too pessimistic* and *not pessimistic enough* 

"policy constraint" method

**very** old idea (but it had no single name?)

Todorov et al. [passive dynamics in linearly-solvable MDPs]

Kappen et al. [KL-divergence control, etc.]

trust regions, covariant policy gradients, natural policy gradients, etc.

used in some form in recent papers:

Fox et al. '15 ("Taming the Noise...")

Fujimoto et al. '18 ("Off Policy...")

Jaques et al. '19 ("Way Off Policy...")

Kumar et al. '19 ("Stabilizing...")

Wu et al. '19 ("Behavior Regularized...")

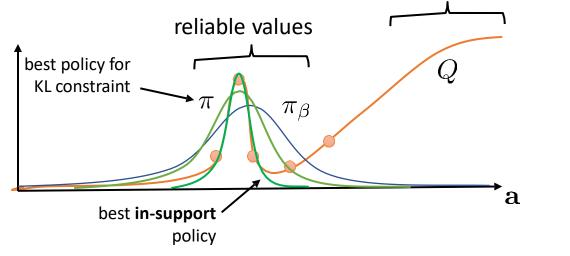
# Explicit policy constraint methods

unreliable OOD values

What kinds of constraints can we use?

KL-divergence:  $D_{\text{KL}}(\pi \| \pi_{\beta})$ 

- + easy to implement (more on this later)
- not necessarily what we want



support constraint: 
$$\pi(\mathbf{a}|\mathbf{s}) \geq 0$$
 only if  $\pi_{\beta}(\mathbf{a}|\mathbf{s}) \geq \epsilon$ 

can approximate with MMD

- significantly more complex to implement
- + much closer to what we really want

For more information, see:

Levine, Kumar, Tucker, Fu. Offline Reinforcement Learning: Tutorial, Review, and Perspectives on Open Problems. '20

Kumar, Fu, Tucker, Levine. **Stabilizing Off-Policy Q-Learning via Bootstrapping Error Reduction.** '19

Wu, Tucker, Nachum. **Behavior Regularized Offline Reinforcement Learning.** `19

## Explicit policy constraint methods

How do we implement constraints?

1. Modify the actor objective

for Gaussian or categorical policies 
$$\frac{-\theta \leftarrow \arg\max_{\theta} E_{\mathbf{s} \sim D} \left[ E_{\mathbf{a} \sim \pi_{\theta}(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})] \right]}{\theta \leftarrow \arg\max_{\theta} E_{\mathbf{s} \sim D} \left[ E_{\mathbf{a} \sim \pi_{\theta}(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a}) + \lambda \log \pi_{\beta}(\mathbf{a}|\mathbf{s})] + \lambda \mathcal{H}(\pi(\mathbf{a}|\mathbf{s})) \right]}$$

$$D_{\mathrm{KL}}(\pi \| \pi_{\beta}) = E_{\pi}[\log \pi(\mathbf{a}|\mathbf{s}) - \log \pi_{\beta}(\mathbf{a}|\mathbf{s})] = -E_{\pi}[\log \pi_{\beta}(\mathbf{a}|\mathbf{s})] - \mathcal{H}(\pi)$$

2. Modify the reward function

$$\bar{r}(\mathbf{s}, \mathbf{a}) = r(\mathbf{s}, \mathbf{a}) - D(\pi, \pi_{\beta})$$

simple modification to directly penalize divergence also accounts for **future** divergence

Lagrange multiplier

easy to compute and differentiate

See: Wu, Tucker, Nachum. Behavior Regularized Offline Reinforcement Learning. `19

generally, the best modern offline RL methods do not do either of these things

## Implicit policy constraint methods

$$\pi_{\text{new}}(\mathbf{a}|\mathbf{s}) = \arg\max_{\pi} E_{\mathbf{a} \sim \pi(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})] \text{ s.t. } D_{\text{KL}}(\pi || \pi_{\beta}) \le \epsilon$$

$$\pi^{\star}(\mathbf{a}|\mathbf{s}) = \frac{1}{Z(\mathbf{s})} \pi_{\beta}(\mathbf{a}|\mathbf{s}) \exp\left(\frac{1}{\lambda} A^{\pi}(\mathbf{s}, \mathbf{a})\right) \qquad \text{straightforward to} \\ \text{show via duality}$$

 $w(\mathbf{s}, \mathbf{a})$ 

#### See also:

Peters et al. (REPS) Rawlik et al. ("psi-learning") ...many follow-ups

approximate via weighted max likelihood!

$$\pi_{\text{new}}(\mathbf{a}|\mathbf{s}) = \arg\max_{\pi} E_{(\mathbf{s},\mathbf{a}) \sim \pi_{\beta}} \left[ \log \pi(\mathbf{a}|\mathbf{s}) \frac{1}{Z(\mathbf{s})} \exp \left( \frac{1}{\lambda} A^{\pi_{\text{old}}}(\mathbf{s},\mathbf{a}) \right) \right]$$
samples from dataset
$$\mathbf{a} \sim \pi_{\beta}(\mathbf{a}|\mathbf{s})$$
critic can be used to give us this

Peng\*, Kumar\*, Levine. Advantage-Weighted Regression. '19

## Implicit policy constraint methods

$$\mathcal{L}_{C}(\phi) = E_{(\mathbf{s}, \mathbf{a}, \mathbf{s}') \sim D} \left[ \left( Q_{\phi}(\mathbf{s}, \mathbf{a}) - (r(\mathbf{s}, \mathbf{a}) + \gamma E_{\mathbf{a}' \sim \pi_{\theta}(\mathbf{a}' | \mathbf{s}')} [Q_{\phi}(\mathbf{s}', \mathbf{a}')]) \right)^{2} \right]$$

$$\mathcal{L}_{A}(\theta) = -E_{(\mathbf{s}, \mathbf{a}) \sim \pi_{\beta}} \left[ \log \pi_{\theta}(\mathbf{a} | \mathbf{s}) \frac{1}{Z(\mathbf{s})} \exp \left( \frac{1}{\lambda} A^{\pi_{\text{old}}}(\mathbf{s}, \mathbf{a}) \right) \right]$$

1. 
$$\phi \leftarrow \phi - \alpha \nabla_{\phi} \mathcal{L}_{C}(\phi)$$
  
2.  $\theta \leftarrow \theta - \alpha \nabla_{\theta} \mathcal{L}_{A}(\theta)$ 

$$|2. \theta \leftarrow \theta - \alpha \nabla_{\theta} \mathcal{L}_A(\theta)|$$

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + E_{\mathbf{a}' \sim \pi_{\text{new}}}[Q(\mathbf{s}', \mathbf{a}')]$$

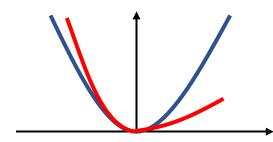
$$\pi_{\text{new}}(\mathbf{a}|\mathbf{s}) = \arg \max_{\pi} E_{\mathbf{a} \sim \pi(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})] \text{ s.t. } D_{\text{KL}}(\pi || \pi_{\beta}) \leq \epsilon$$

# Can we also avoid all OOD actions in the Q update?

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + \underbrace{E_{\mathbf{a}' \sim \pi_{\text{new}}}[Q(\mathbf{s}', \mathbf{a}')]}_{V(\mathbf{s}')} \qquad \text{just another neural network}$$

$$V \leftarrow \arg\min_{V} \frac{1}{N} \sum_{i=1}^{N} \ell(V(\mathbf{s}_i), Q(\mathbf{s}_i, \mathbf{a}_i))$$
e.g., MSE loss  $(V(\mathbf{s}_i) - Q(\mathbf{s}_i, \mathbf{a}_i))^2$  this action comes from  $\pi_\beta$ 

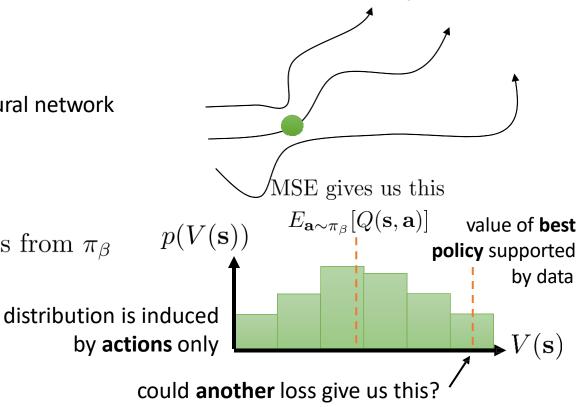
expectile: 
$$\ell_2^{\tau}(x) = \begin{cases} (1-\tau)x^2 & \text{if } x > 0 \\ \tau x^2 & \text{else} \end{cases}$$



$$V(\mathbf{s}) \leftarrow \max_{\mathbf{a} \in \Omega(\mathbf{s})} Q(\mathbf{s}, \mathbf{a})$$
$$\Omega(\mathbf{s}) = \{\mathbf{a} : \pi_{\beta}(\mathbf{a}|\mathbf{s}) \ge \epsilon\}$$

not from  $\pi_{\text{new}}$ 

if we use  $\ell_2^{\tau}$  for big  $\tau$ 

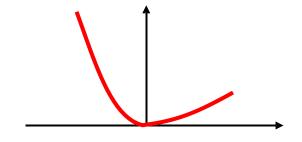


# Implicit Q-learning (IQL)

#### Q-learning with *implicit* policy improvement

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + V(\mathbf{s}')$$

$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + V(\mathbf{s}')$$
  $V \leftarrow \arg\min_{V} \frac{1}{N} \sum_{i=1}^{N} \ell_2^{\tau}(V(\mathbf{s}_i), Q(\mathbf{s}_i, \mathbf{a}_i))$ 



$$V(\mathbf{s}) \leftarrow \max_{\mathbf{a} \in \Omega(\mathbf{s})} Q(\mathbf{s}, \mathbf{a})$$

$$\Omega(\mathbf{s}) = \{\mathbf{a} : \pi_{\beta}(\mathbf{a}|\mathbf{s}) \ge \epsilon\}$$

if we use  $\ell_2^{\tau}$  for big  $\tau$ 



$$Q(\mathbf{s}, \mathbf{a}) \leftarrow r(\mathbf{s}, \mathbf{a}) + \max_{\mathbf{a}' \in \Omega(\mathbf{s}')} Q(\mathbf{s}', \mathbf{a}')$$

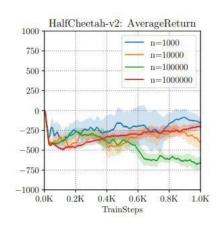
"implicit" policy

$$\pi_{\text{new}}(\mathbf{a}|\mathbf{s}) = \delta(\mathbf{a} = \arg\max_{\mathbf{a} \in \Omega(\mathbf{s})} Q(\mathbf{s}, \mathbf{a}))$$

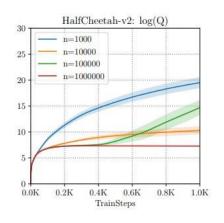
Now we can do value function updates without ever risking out-of-distribution actions!

We'll see results soon, but first let's talk about **Option 2**...

# Conservative Q-Learning







how well it *thinks* it does (Q-values)

$$\hat{Q}^{\pi} = \arg\min_{Q} \max_{\mu} \alpha E_{\mathbf{s} \sim D, \mathbf{a} \sim \mu(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})]$$
 term to push down big Q-values regular objective 
$$\left\{ +E_{(\mathbf{s}, \mathbf{a}, \mathbf{s}') \sim D} \left[ (Q(\mathbf{s}, \mathbf{a}) - (r(\mathbf{s}, \mathbf{a}) + E_{\pi}[Q(\mathbf{s}', \mathbf{a}')]))^2 \right]$$

can show that 
$$\hat{Q}^{\pi} \leq Q^{\pi}$$
 for large enough  $\alpha$  true Q-function

A 
$$better \ bound:$$
 always pushes Q-values down push  $\underline{up} \ on \ (\mathbf{s}, \mathbf{a}) \ samples \ in \ data$  
$$\hat{Q}^{\pi} = \arg\min_{Q} \max_{\mu} \alpha E_{\mathbf{s} \sim D, \mathbf{a} \sim \mu(\mathbf{a}|\mathbf{s})} [Q(\mathbf{s}, \mathbf{a})] - \alpha E_{(\mathbf{s}, \mathbf{a}) \sim D} [Q(\mathbf{s}, \mathbf{a})] \\ + E_{(\mathbf{s}, \mathbf{a}, \mathbf{s}') \sim D} \left[ (Q(\mathbf{s}, \mathbf{a}) - (r(\mathbf{s}, \mathbf{a}) + E_{\pi}[Q(\mathbf{s}', \mathbf{a}')]))^2 \right]$$
 
$$\mathcal{L}_{\mathrm{CQL}}(\hat{Q}^{\pi})$$

no longer guaranteed that  $\hat{Q}^{\pi}(\mathbf{s}, \mathbf{a}) \leq Q^{\pi}(\mathbf{s}, \mathbf{a})$  for all  $(\mathbf{s}, \mathbf{a})$ 

but guaranteed that  $E_{\pi(\mathbf{a}|\mathbf{s})}[\hat{Q}^{\pi}(\mathbf{s},\mathbf{a})] \leq E_{\pi(\mathbf{a}|\mathbf{s})}[Q^{\pi}(\mathbf{s},\mathbf{a})]$  for all  $\mathbf{s} \in D$ 



- Update Q<sup>π</sup> w.r.t. L<sub>CQL</sub>(Q<sup>π</sup>) using D
   Update policy π

if actions are discrete:

$$\pi(\mathbf{a}|\mathbf{s}) = \begin{cases} 1 \text{ if } \mathbf{a} = \arg \max_{\mathbf{a}} \hat{Q}(\mathbf{s}, \mathbf{a}) \\ 0 \text{ otherwise} \end{cases}$$

if actions are continuous:

$$\theta \leftarrow \theta + \alpha \nabla_{\theta} \sum_{i} E_{\mathbf{a} \sim \pi_{\theta}(\mathbf{a}|\mathbf{s}_{i})} [\hat{Q}(\mathbf{s}_{i}, \mathbf{a})]$$

$$\hat{Q}^{\pi} = \arg\min_{Q} \max_{\mu} \alpha E_{\mathbf{s} \sim D, \mathbf{a} \sim \mu(\mathbf{a}|\mathbf{s})} [Q(\mathbf{s}, \mathbf{a})] - \alpha E_{(\mathbf{s}, \mathbf{a}) \sim D} [Q(\mathbf{s}, \mathbf{a})] - \mathcal{R}(\mu) + E_{(\mathbf{s}, \mathbf{a}, \mathbf{s}') \sim D} \left[ (Q(\mathbf{s}, \mathbf{a}) - (r(\mathbf{s}, \mathbf{a}) + E_{\pi}[Q(\mathbf{s}', \mathbf{a}')]))^{2} \right]$$

$$+ \mathcal{L}_{\text{CQL}}(\hat{Q}^{\pi})$$

common choice:  $\mathcal{R} = E_{\mathbf{s} \sim D}[\mathcal{H}(\mu(\cdot|\mathbf{s}))]$  maximum entropy regularization

optimal choice:  $\mu(\mathbf{a}|\mathbf{s}) \propto \exp(Q(\mathbf{s},\mathbf{a}))$ 

$$E_{\mathbf{a} \sim \mu(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})] = \log \sum_{\mathbf{a}} \exp(Q(\mathbf{s}, \mathbf{a}))$$

for discrete actions: just calculate directly

for continuous actions: use importance sampling to estimate  $E_{\mathbf{a} \sim \mu(\mathbf{a}|\mathbf{s})}[Q(\mathbf{s}, \mathbf{a})]$ 

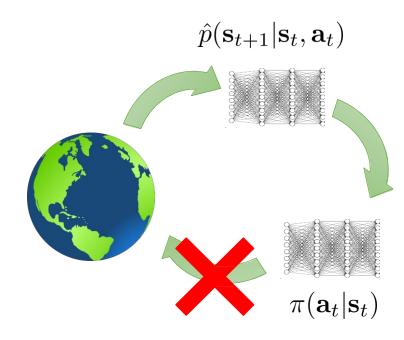
regularization

## Model-Based Offline RL

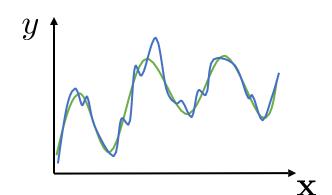
## How does model-based RL work?

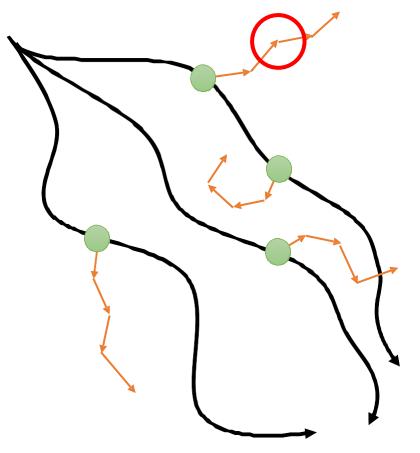
...so the model's predictions are invalid

these states are OOD



what goes wrong when we can't collect more data?





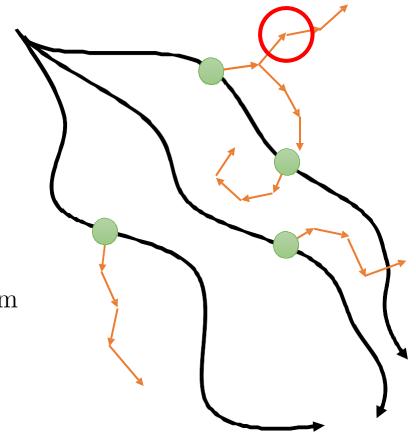
the model answers "what if" questions

## MOPO: Model-Based Offline Policy Optimization

solution: "punish" the policy for exploiting

$$ilde{r}(\mathbf{s},\mathbf{a}) = r(\mathbf{s},\mathbf{a}) - \lambda u(\mathbf{s},\mathbf{a})$$
 uncertainty penalty

...and then use any existing model-based RL algorithm



Yu\*, Thomas\*, Yu, Ermon, Zou, Levine, Finn, Ma. MOPO: Model-Based Offline Policy Optimization. '20

See also: Kidambi et al., MOReL: Model-Based Offline Reinforcement Learning. '20 (concurrent)

## MOPO: Theoretical Analysis

$$\tilde{r}(\mathbf{s}, \mathbf{a}) = r(\mathbf{s}, \mathbf{a}) - \lambda u(\mathbf{s}, \mathbf{a})$$

we can represent the value function model error is bounded (above) by  $u(\mathbf{s}, \mathbf{a})$ 

**Theorem 4.4.** Under Assumption 4.2 and 4.3, the learned policy  $\hat{\pi}$  in MOPO (Algorithm 1) satisfies

true return of policy trained under model 
$$\longrightarrow \eta_M(\hat{\pi}) \ge \sup_{\pi} \{\eta_M(\pi) - 2\lambda \epsilon_u(\pi)\}$$

$$\longleftarrow \epsilon_u(\pi) := \bar{\mathbb{E}}_{(s,a) \sim \rho_{\hat{T}}^{\pi}}[u(s,a)]$$
(11)

### some implications:

$$\eta_M(\hat{\pi}) \ge \eta_M(\pi^{\mathrm{B}}) - 2\lambda \epsilon_u(\pi^{\mathrm{B}})$$

> improves over behavior policy

$$\eta_{M}(\hat{\pi}) \geq \eta_{M}(\pi^{\delta}) - 2\lambda\delta$$

$$\uparrow$$

$$\pi^{\delta} := \underset{\pi:\epsilon_{u}(\pi) \leq \delta}{\arg\max} \, \eta_{M}(\pi)$$
(12)

$$\eta_M(\hat{\pi}) \geq \eta_M(\pi^\star) - 2\lambda \epsilon_u(\pi^\star)$$
  $\Rightarrow$  quantifies "optimality gap" in terms of model error

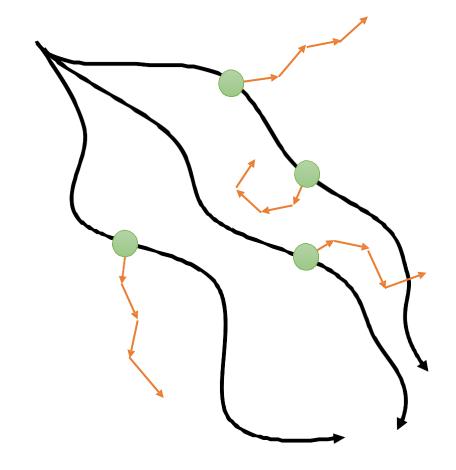
## COMBO: Conservative Model-Based RL

Basic idea: just like CQL minimizes Q-value of policy actions, we can minimize Q-value of model state-action tuples

state-action tuples from the model

$$\hat{Q}^{k+1} \leftarrow \arg\min_{Q} \beta \left( \mathbb{E}_{\mathbf{s}, \mathbf{a} \sim \rho(\mathbf{s}, \mathbf{a})} [Q(\mathbf{s}, \mathbf{a})] - \mathbb{E}_{\mathbf{s}, \mathbf{a} \sim \mathcal{D}} [Q(\mathbf{s}, \mathbf{a})] \right) + \frac{1}{2} \mathbb{E}_{\mathbf{s}, \mathbf{a}, \mathbf{s}' \sim d_f} \left[ \left( Q(\mathbf{s}, \mathbf{a}) - \widehat{\mathcal{B}}^{\pi} \widehat{Q}^{k}(\mathbf{s}, \mathbf{a}) \right) \right)^{2} \right]. \tag{4}$$

**Intuition:** if the model produces something that looks clearly different from real data, it's easy for the Q-function to make it look bad



## Trajectory Transformer

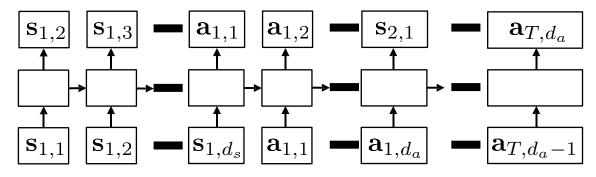
#### **Basic ideas:**

1. train a joint state-action model:

$$p_{\beta}(\tau) = p_{\beta}(s_1, a_2, \dots, s_T, a_T)$$

2. use a big expressive model (a Transformer)

#### The model:





Trajectory Transformer making accurate predictions to hundreds of steps

#### How to do control:

beam search, but use  $\sum_{t} r(\mathbf{s}_{t}, \mathbf{a}_{t})$  instead of probability

- 1. given current sequence, sample next tokens from model
- 2. store top K tokens with highest cumulative reward
- 3. move on to next token

### Why does this work?

generating high-probability trajectories avoids out-of-distribution states & actions using a really big model works well in offline mode (lots of compute, captures complex behavior policies)

Summary, Applications, Open Questions

# Which offline RL algorithm do I use?

If you want to *only* train offline...

Conservative Q-learning + just one hyperparameter + well understood and widely tested

Implicit Q-learning + more flexible (offline + online) - more hyperparameters

If you want to *only* train offline and finetune online

Advantage-weighted actor-critic (AWAC) + widely used and well tested

Implicit Q-learning + seems to perform much better!

If you have a good way to train models in your domain

COMBO + similar properties as CQL, but benefits from models

- not always easy to train a good model in your domain!

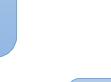
Trajectory transformer + very powerful and effective models

- extremely computationally expensive to train and evaluate

## The power of offline RL

#### standard real-world RL process

- 1. instrument the task so that we can run RL
- >> safety mechanisms
- > autonomous collection
- ➤ rewards, resets, etc.
- 4. throw it all in the garbage and start over for the next task



3. change the algorithm in some small way

2. wait a long

RL to run

time for online

#### offline RL process

- 1. collect initial dataset
- ➤ human-provided
- ➤ scripted controller
- ➤ baseline policy
- ➤ all of the above

5. keep the dataset and use it again for the next project!



2. Train a policy offline



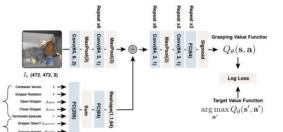
3. change the algorithm in some small way



4. collect more data, add to growing dataset

# Offline RL in robotic manipulation: MT-Opt, AMs

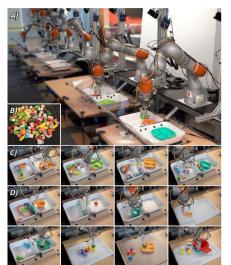


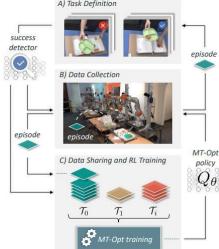


Kalashnikov, Irpan, Pastor, Ibarz, Herzong, Jang, Quillen, Holly, Kalakrishnan, Vanhoucke, Levine. QT-Opt: Scalable Deep Reinforcement Learning of Vision-Based Robotic Manipulation Skills



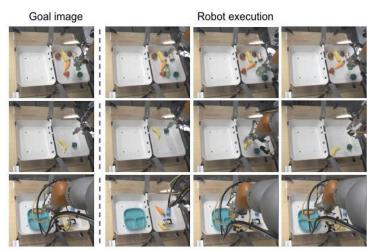
- ≥ 12 different tasks
- ➤ Thousands of objects
- ➤ Months of data collection

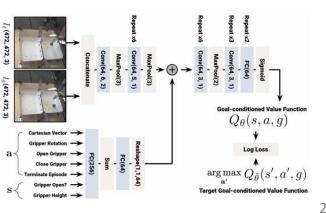




reuse the **same exact** data

Kalashnikov, Varley, Chebotar, Swanson, Jonschkowski, Finn, Levine, Hausman. MT-Opt: Continuous Multi-Task Robotic Reinforcement Learning at Scale. 2021. **New hypothesis:** could we learn these tasks **without** rewards using goal-conditioned RL?

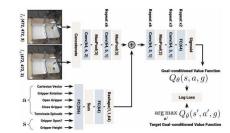




## Actionable Models: Offline RL with Goals

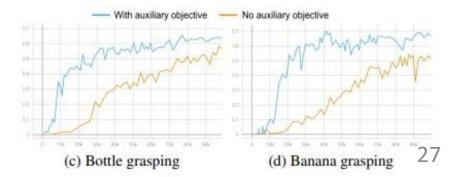


- No reward function at all, task is defined entirely using a goal image!
- Uses a conservative offline RL method designed for goal-reaching, based on CQL
- Works very well as an unsupervised pretraining objective!
- 1. Train **goal-conditioned** Q-function with offline RL



2. Finetune with a **task reward** and limited data





## More examples

Early 2020: Greg Kahn collects 40 hours of robot navigation data



Kahn, Abbeel, Levine. **BADGR: An Autonomous Self-Supervised Learning-Based Navigation System.** 2020.

**Late 2020:** Dhruv Shah uses it to build goal-conditioned navigation system



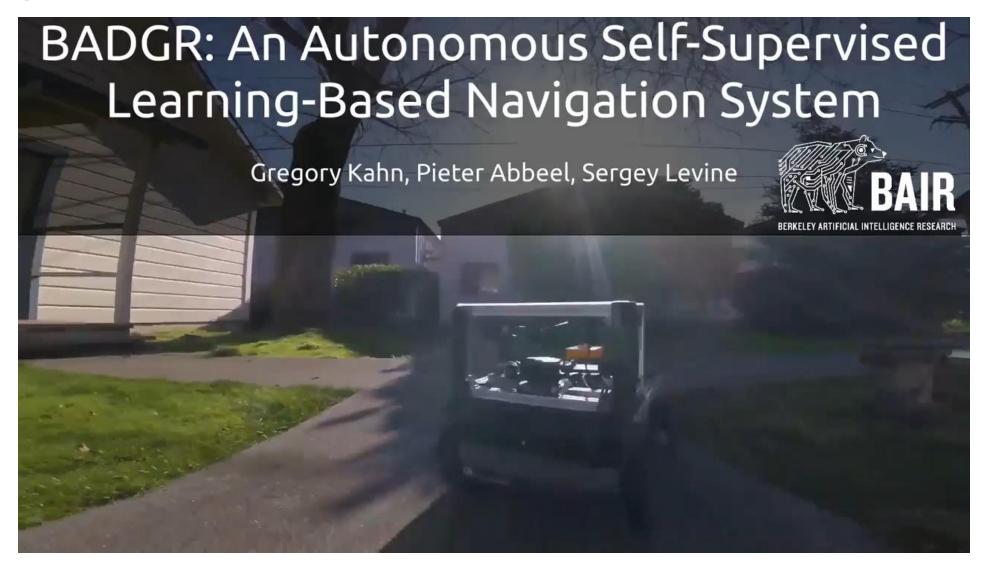
Shah, Eysenbach, Kahn, Rhinehart, Levine. ViNG: Learning Open-World Navigation with Visual Goals. 2020.

**Early 2021:** Dhruv Shah uses the **same** dataset to train an exploration system



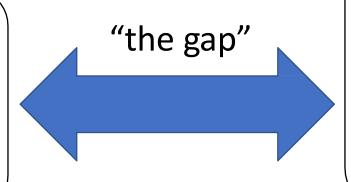
Shah, Eysenbach, Rhinehart, Levine. **RECON:** Rapid Exploration for Open-World Navigation with Latent Goal Models. 2020.

### **BADGR**



## Takeaways, conclusions, future directions

current offline RL algorithms



"the dream"

- 1. Collect a dataset using any policy or mixture of policies
- 2. Run offline RL on this dataset to learn a policy
- Deploy the policy in the real world



- An offline RL workflow
  - Supervised learning workflow: train/test split
  - Offline RL workflow: ???
- Statistical guarantees
  - Biggest challenge: distributional shift/counterfactuals
  - Can we make any guarantees?
- Scalable methods, large-scale applications
  - Dialogue systems
  - Data-driven navigation and driving

A starting point: Kumar, Singh, Tian, Finn, Levine. A Workflow for Offline Model-Free Robotic Reinforcement Learning. CoRL 2021





