IASD M2 at Paris Dauphine

Deep Reinforcement Learning

23: Meta-Learning

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Acknowledgement

These materials are based on the seminal course of Sergey Levine CS285

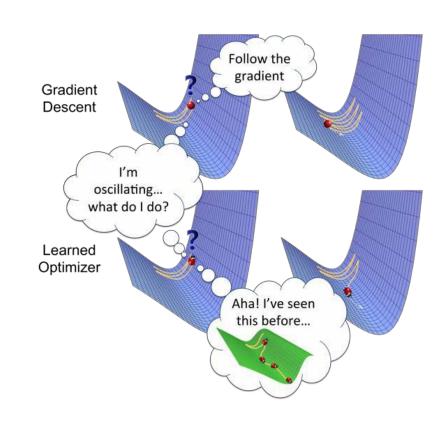


So far...

- Forward transfer: source domain to target domain
 - Diversity is good! The more varied the training, the more likely transfer is to succeed
- Multi-task learning: even more variety
 - No longer training on the same kind of task
 - But more variety = more likely to succeed at transfer
- How do we represent transfer knowledge?
 - Model (as in model-based RL): rules of physics are conserved across tasks
 - Policies requires finetuning, but closer to what we want to accomplish
 - What about *learning methods*?

What is meta-learning?

- If you've learned 100 tasks already, can you figure out how to *learn* more efficiently?
 - Now having multiple tasks is a huge advantage!
- Meta-learning = learning to learn
- In practice, very closely related to multi-task learning
- Many formulations
 - Learning an optimizer
 - Learning an RNN that ingests experience
 - Learning a representation



Why is meta-learning a good idea?

- Deep reinforcement learning, especially model-free, requires a huge number of samples
- If we can *meta-learn* a faster reinforcement learner, we can learn new tasks efficiently!
- What can a *meta-learned* learner do differently?
 - Explore more intelligently
 - Avoid trying actions that are know to be useless
 - Acquire the right features more quickly

Meta-learning with supervised learning

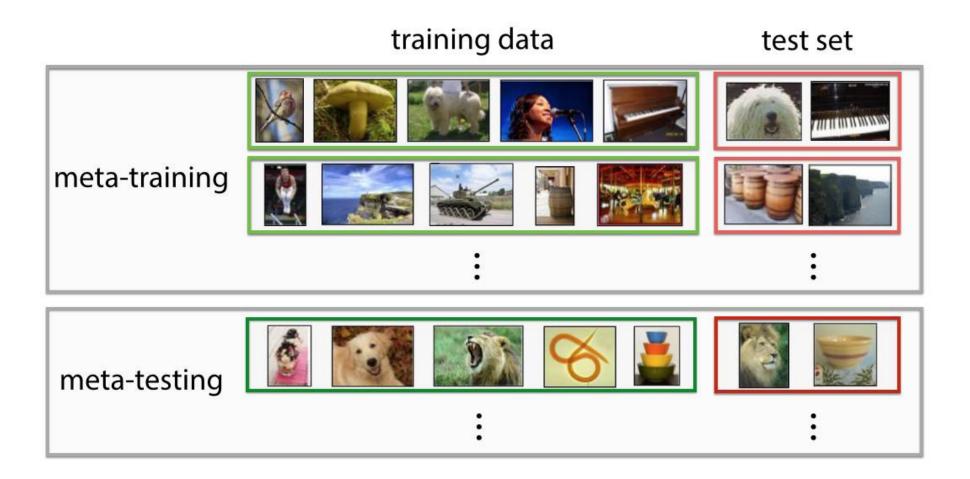
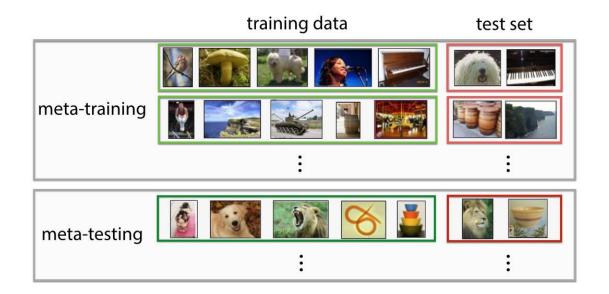
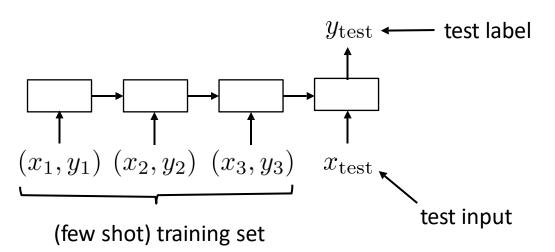


image credit: Ravi & Larochelle '17

Meta-learning with supervised learning



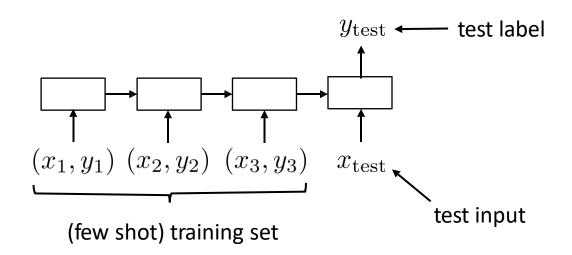


supervised learning: $f(x) \to y$ $\uparrow \qquad \uparrow$ input (e.g., image) output (e.g., label)

supervised meta-learning: $f(\mathcal{D}^{\mathrm{tr}}, x) \to y$ ftraining set

- How to read in training set?
 - Many options, RNNs can work
 - More on this later

What is being "learned"?



supervised meta-learning: $f(\mathcal{D}^{tr}, x) \to y$

"Generic" learning:

$$\theta^* = \arg\min_{\theta} \mathcal{L}(\theta, \mathcal{D}^{\mathrm{tr}})$$

$$= f_{\mathrm{learn}}(\mathcal{D}^{\mathrm{tr}})$$

"Generic" meta-learning:

$$\theta^* = \arg\min_{\theta} \sum_{i=1}^n \mathcal{L}(\phi_i, \mathcal{D}_i^{\mathrm{ts}})$$
where $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$

What is being "learned"?

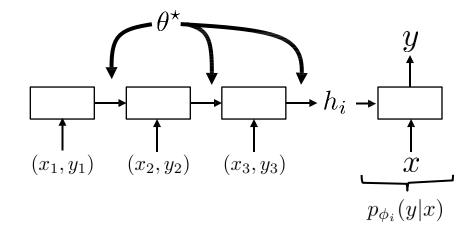
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"Generic" meta-learning:

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where $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$



RNN hidden state meta-learned weights
$$\phi_i = [h_i, \theta_p]$$

Meta Reinforcement Learning

The meta reinforcement learning problem

"Generic" learning:

$$\theta^* = \arg\min_{\theta} \mathcal{L}(\theta, \mathcal{D}^{\mathrm{tr}})$$

$$= f_{\mathrm{learn}}(\mathcal{D}^{\mathrm{tr}})$$

Reinforcement learning:

"Generic" meta-learning:

$$\theta^* = \arg\min_{\theta} \sum_{i=1}^n \mathcal{L}(\phi_i, \mathcal{D}_i^{\mathrm{ts}})$$
where $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$

Meta-reinforcement learning:

$$\theta^* = \arg \max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$
where $\phi_i = f_{\theta}(\mathcal{M}_i)$

$$\uparrow$$
MDP for task i

The meta reinforcement learning problem

$$\theta^* = \arg \max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$
where $\phi_i = f_{\theta}(\mathcal{M}_i)$

assumption: $\mathcal{M}_i \sim p(\mathcal{M})$

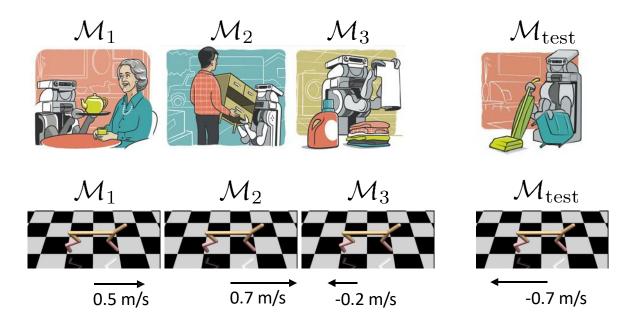
meta test-time:

sample
$$\mathcal{M}_{\text{test}} \sim p(\mathcal{M})$$
, get $\phi_i = f_{\theta}(\mathcal{M}_{\text{test}})$

$$\{\mathcal{M}_1, \dots, \mathcal{M}_n\}$$

$$\uparrow$$
 $meta\text{-}training MDPs$

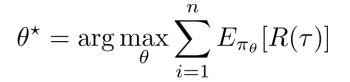
Some examples:



Contextual policies and meta-learning

$$\theta^* = \arg\max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$

where
$$\phi_i = f_{\theta}(\mathcal{M}_i)$$



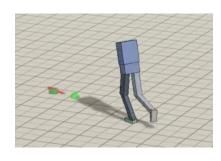
$$\pi_{\theta}(a_t|s_t, s_1, a_1, r_1, \dots, s_{t-1}, a_{t-1}, r_{t-1})$$

context used to infer whatever we need to solve \mathcal{M}_i i.e., z_t or ϕ_i (which are really the same thing)

in meta-RL, the *context* is inferred from experience from \mathcal{M}_i in multi-task RL, the context is typically given



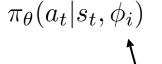
 ϕ : stack location



 ϕ : walking direction



 ϕ : where to hit puck

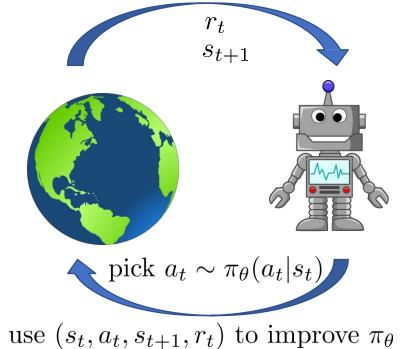


"context"

Meta-RL with recurrent policies

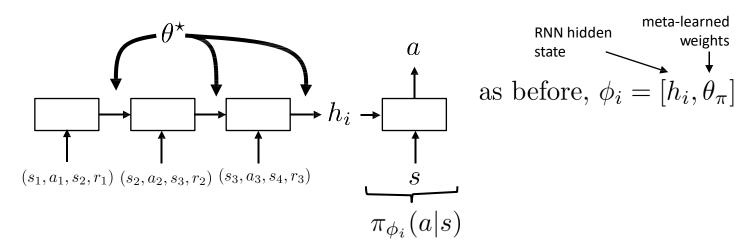
$$\theta^* = \arg\max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$

where
$$\phi_i = f_{\theta}(\mathcal{M}_i)$$



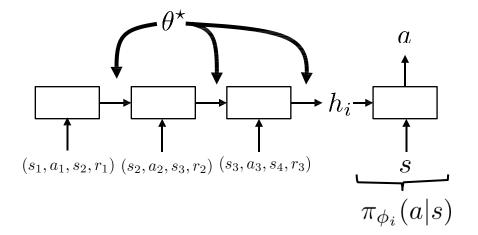
main question: how to implement $f_{\theta}(\mathcal{M}_i)$? what should $f_{\theta}(\mathcal{M}_i)$ do?

- 1. improve policy with experience from \mathcal{M}_i $\{(s_1, a_1, s_2, r_1), \dots, (s_T, a_T, s_{T+1}, r_T)\}$
- 2. (new in RL): choose how to interact, i.e. choose a_t meta-RL must also *choose* how to *explore*!



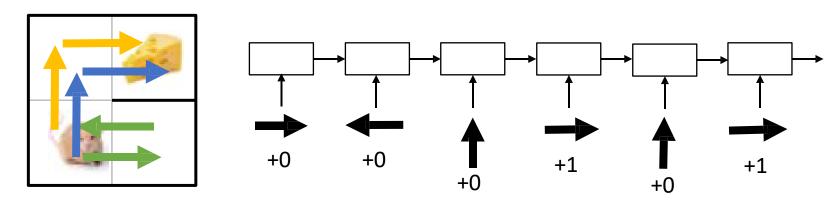
Meta-RL with recurrent policies

$$\theta^* = \arg \max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$
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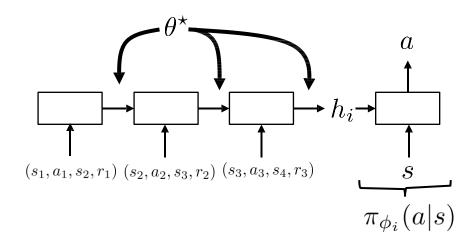


so... we just train an RNN policy? yes!

crucially, RNN hidden state is **not** reset between episodes!



Why recurrent policies learn to explore



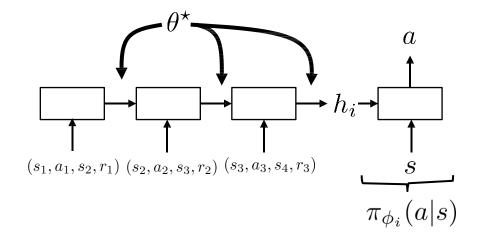
- 1. improve policy with experience from \mathcal{M}_i $\{(s_1, a_1, s_2, r_1), \dots, (s_T, a_T, s_{T+1}, r_T)\}$
- 2. (new in RL): choose how to interact, i.e. choose a_t meta-RL must also *choose* how to *explore*!

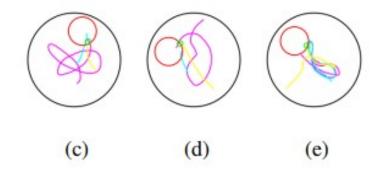
 $\theta^* = \arg\max_{\theta} E_{\pi_{\theta}} \left[\sum_{t=0}^{T} r(s_t, a_t) \right]$

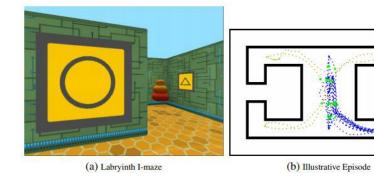
optimizing total reward over the entire **meta**-episode with RNN policy **automatically** learns to explore!

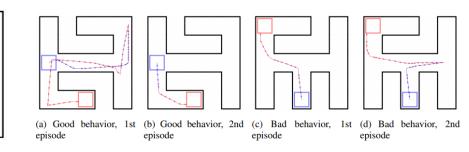
Meta-RL with recurrent policies

$$\theta^* = \arg \max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$
where $\phi_i = f_{\theta}(\mathcal{M}_i)$







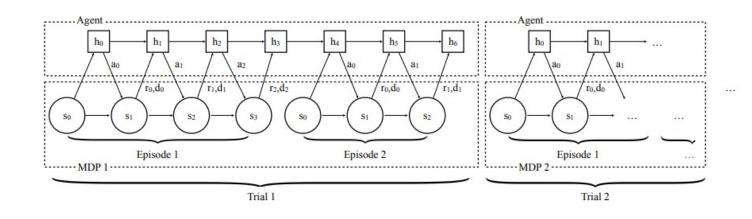


Heess, Hunt, Lillicrap, Silver. Memory-based control with recurrent neural networks. 2015.

Wang, Kurth-Nelson, Tirumala, Soyer, Leibo, Munos, Blundell, Kumaran, Botvinick. **Learning to Reinforcement Learning.** 2016.

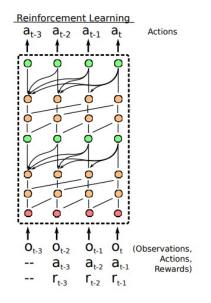
Duan, Schulman, Chen, Bartlett, Sutskever, Abbeel. **RL2:** Fast Reinforcement Learning via Slow Reinforcement Learning. 2016.

Architectures for meta-RL

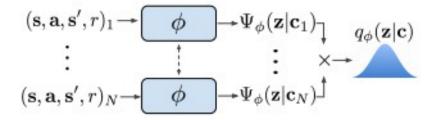


standard RNN (LSTM) architecture

Duan, Schulman, Chen, Bartlett, Sutskever, Abbeel. RL2: Fast Reinforcement Learning via Slow Reinforcement Learning. 2016.



attention + temporal convolution

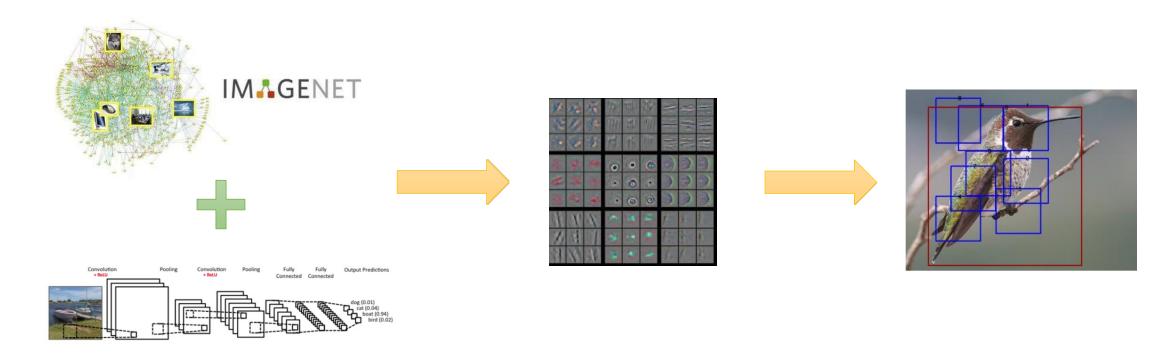


Mishra, Rohaninejad, Chen, Abbeel. A Simple Neural Attentive Meta-Learner.

parallel permutation-invariant context encoder

Rakelly*, Zhou*, Quillen, Finn, Levine. Efficient Off-Policy Meta-Reinforcement learning via Probabilistic Context Variables. Gradient-Based Meta-Learning

Back to representations...



is pretraining a *type* of meta-learning? better features = faster learning of new task!

Meta-RL as an optimization problem

$$\theta^* = \arg\max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$

where
$$\phi_i = f_{\theta}(\mathcal{M}_i)$$

what if $f_{\theta}(\mathcal{M}_i)$ is *itself* an RL algorithm?

$$f_{\theta}(\mathcal{M}_i) = \theta + \alpha \nabla_{\theta} J_i(\theta)$$

requires interacting with \mathcal{M}_i

to estimate $\nabla_{\theta} E_{\pi_{\theta}}[R(\tau)]$

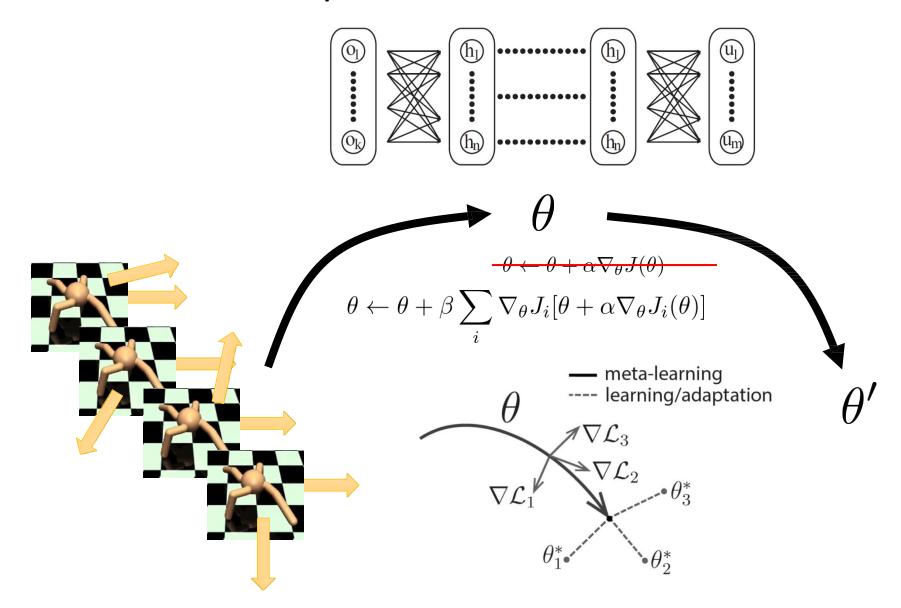
1. improve policy with experience from
$$\mathcal{M}_i$$
 $\{(s_1, a_1, s_2, r_1), \dots, (s_T, a_T, s_{T+1}, r_T)\}$

standard RL:

$$\theta^* = \arg\max_{\theta} E_{\pi_{\theta}(\tau)}[R(\tau)]$$
$$J(\theta)$$
$$\theta^{k+1} \leftarrow \theta_k + \alpha \nabla_{\theta^k} J(\theta^k)$$

this is model-agnostic meta-learning (MAML) for RL!

MAML for RL in pictures



What did we just do??

supervised learning: $f(x) \to y$

supervised meta-learning: $f(\mathcal{D}^{\mathrm{tr}}, x) \to y$

model-agnostic meta-learning: $f_{\text{MAML}}(\mathcal{D}^{\text{tr}}, x) \to y$

$$f_{\text{MAML}}(\mathcal{D}^{\text{tr}}, x) = f_{\theta'}(x)$$

$$\theta' = \theta - \alpha \sum_{(x,y) \in \mathcal{D}^{tr}} \nabla_{\theta} \mathcal{L}(f_{\theta}(x), y)$$

Just another computation graph...

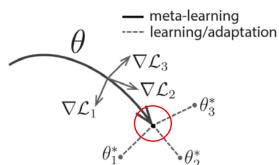
Can implement with any autodiff package (e.g., TensorFlow)

But has favorable inductive bias...

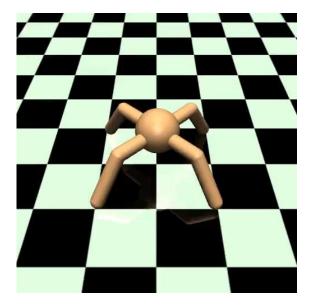
MAML for RL in videos

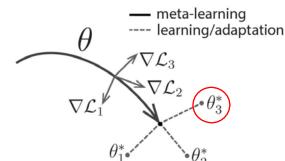
after MAML training



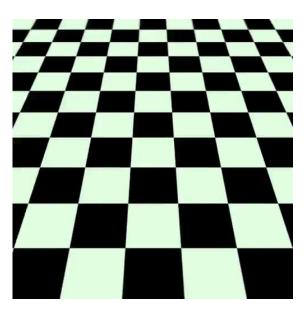


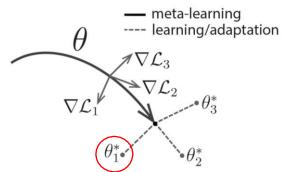
after 1 gradient step (forward reward)





after 1 gradient step (backward reward)





More on MAML/gradient-based meta-learning for RL

MAML meta-policy gradient estimators:

- Finn, Abbeel, Levine. Model-Agnostic Meta-Learning for Fast Adaptation of Deep Networks.
- Foerster, Farquhar, Al-Shedivat, Rocktaschel, Xing, Whiteson. DiCE: The Infinitely Differentiable Monte Carlo Estimator.
- Rothfuss, Lee, Clavera, Asfour, Abbeel. ProMP: Proximal Meta-Policy Search.

Improving exploration:

- Gupta, Mendonca, Liu, Abbeel, Levine. **Meta-Reinforcement Learning of Structured Exploration Strategies.**
- Stadie*, Yang*, Houthooft, Chen, Duan, Wu, Abbeel, Sutskever. Some Considerations on Learning to Explore via Meta-Reinforcement Learning.

Hybrid algorithms (not necessarily gradient-based):

- Houthooft, Chen, Isola, Stadie, Wolski, Ho, Abbeel. **Evolved Policy Gradients.**
- Fernando, Sygnowski, Osindero, Wang, Schaul, Teplyashin, Sprechmann, Pirtzel, Rusu. **Meta-Learning by the Baldwin Effect.**

Meta-RL as a POMDP

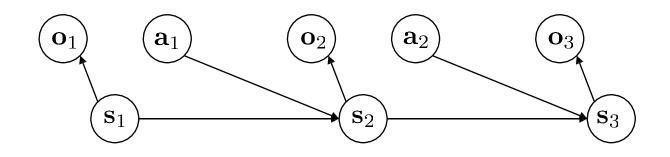
Meta-RL as... partially observed RL?

$$\mathcal{M} = \{\mathcal{S}, \mathcal{A}, \mathcal{D}, \mathcal{P}\}, \mathcal{E}, r\}$$

 \mathcal{O} – observation space

observations $o \in \mathcal{O}$ (discrete or continuous)

 \mathcal{E} – emission probability $p(o_t|s_t)$



policy must act on observations o_t !

 $\pi_{\theta}(a|o)$

typically requires *either*:

explicit state estimation, i.e. to estimate $p(s_t|o_{1:t})$

policies with memory

Meta_z-RL as... partially observed RL?

$$\pi_{\theta}(a|s,z)$$

encapsulates information policy needs to solve current task

learning a task = inferring z

from context $(s_1, a_1, s_2, r_1), (s_2, a_2, s_3, r_2), \dots$

this is just a POMDP!

before: $\mathcal{M} = \{\mathcal{S}, \mathcal{A}, \mathcal{P}, r\}$

now: $\tilde{\mathcal{M}} = {\tilde{\mathcal{S}}, \mathcal{A}, \tilde{\mathcal{O}}, \tilde{\mathcal{P}}, \mathcal{E}, r}$

$$\tilde{\mathcal{S}} = \mathcal{S} \times \mathcal{Z}$$
 $\tilde{s} = (s, z)$

$$\tilde{\mathcal{O}} = \mathcal{S}$$
 $\tilde{o} = s$

key idea: solving the POMDP $\tilde{\mathcal{M}}$ is equivalent to meta-learning!

Meta-RL as... partially observed RL?

$$\pi_{ heta}(a|s,z)$$
 encapsulates information policy

learning a task = inferring zfrom context $(s_1, a_1, s_2, r_1), (s_2, a_2, s_3, r_2), \dots$

needs to solve current task

this is just a POMDP!

typically requires *either*:

explicit state estimation, i.e. to estimate $p(s_t|o_{1:t})$

policies with memory

need to estimate $p(z_t|s_{1:t}, a_{1:t}, r_{1:t})$

exploring via posterior sampling with latent context

- 1. sample $z \sim \hat{p}(z_t|s_{1:t}, a_{1:t}, r_{1:t})$ some approximate posterior (e.g., variational)

 2. act according to $\pi_{\theta}(a|s,z)$ to collect more data

act as though z was correct!

this is *not* optimal! why?

but it's pretty good, both in theory and in practice!

See, e.g. Russo, Roy. Learning to Optimize via Posterior Sampling.

Variational inference for meta-RL

policy: $\pi_{\theta}(a_t|s_t,z_t)$

inference network: $q_{\phi}(z_t|s_1, a_1, r_1, \dots, s_t, a_t, r_t)$

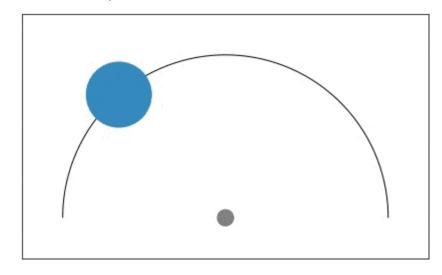
$$(\theta, \phi) = \arg\max_{\theta, \phi} \frac{1}{N} \sum_{i=1}^{n} E_{z \sim q_{\phi}, \tau \sim \pi_{\theta}} [R_i(\tau) - D_{\mathrm{KL}}(q(z|\ldots) || p(z))]$$

maximize *post-update* reward (same as standard meta-RL)

stay close to prior

conceptually very similar to RNN meta-RL, but with stochastic z stochastic z enables exploration via $posterior\ sampling$

$$z_t \sim q_{\phi}(z_t|s_1, a_1, r_1, \dots, s_t, a_t, r_t)$$

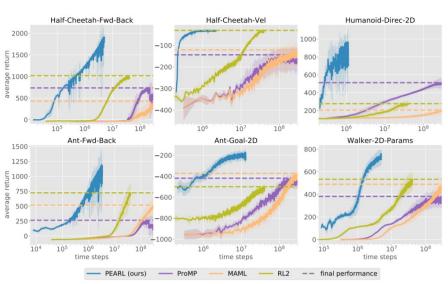


Specific instantiation: PEARL

policy:
$$\pi_{\theta}(a_{t}|s_{t}, z_{t})$$
 $(\mathbf{s}, \mathbf{a}, \mathbf{s}', r)_{1} \longrightarrow \phi \longrightarrow \Psi_{\phi}(\mathbf{z}|\mathbf{c}_{1})_{1} \longrightarrow q_{\phi}(\mathbf{z}|\mathbf{c})$ inference network: $q_{\phi}(z_{t}|s_{1}, a_{1}, r_{1}, \dots, s_{t}, a_{t}, r_{t}) \longrightarrow \vdots \longrightarrow \phi \longrightarrow \Psi_{\phi}(\mathbf{z}|\mathbf{c}_{N})^{\perp}$ $(\mathbf{s}, \mathbf{a}, \mathbf{s}', r)_{N} \longrightarrow \phi \longrightarrow \Psi_{\phi}(\mathbf{z}|\mathbf{c}_{N})^{\perp}$

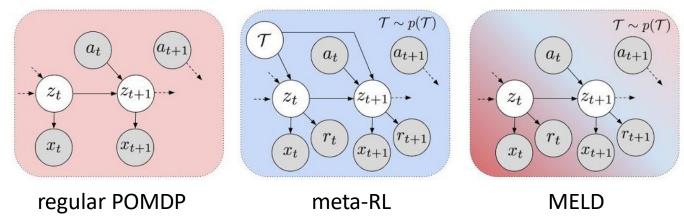
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perform maximization using soft actor-critic (SAC), state-of-the-art off-policy RL algorithm

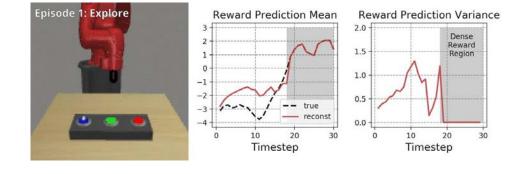


MELD: Model-Based Meta-RL with Images

meta-learning can be viewed as a (kind of) POMDP



Using this latent variable model generalizes meta-learning **and** POMDPs Turns out to work very well as a meta-learning algorithm!





Zhao, Nagabandi, Rakelly, Finn, Levine. MELD: Meta-Reinforcement Learning from Images via Latent State Models. '20

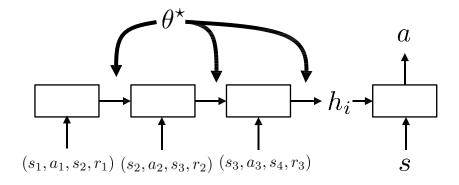
References on meta-RL, inference, and POMDPs

 Rakelly*, Zhou*, Quillen, Finn, Levine. Efficient Off-Policy Meta-Reinforcement learning via Probabilistic Context Variables. ICML 2019.

- Zintgraf, Igl, Shiarlis, Mahajan, Hofmann, Whiteson.
 Variational Task Embeddings for Fast Adaptation in Deep Reinforcement Learning.
- Humplik, Galashov, Hasenclever, Ortega, Teh, Heess. Meta reinforcement learning as task inference.

The three perspectives on meta-RL

Perspective 1: just RNN it



Perspective 2: bi-level optimization

$$f_{\theta}(\mathcal{M}_i) = \theta + \alpha \nabla_{\theta} J_i(\theta)$$

MAML for RL

Perspective 3: it's an inference problem!

$$\pi_{\theta}(a|s,z)$$
 $z_t \sim p(z_t|s_{1:t},a_{1:t},r_{1:t})$

everything needed to solve task

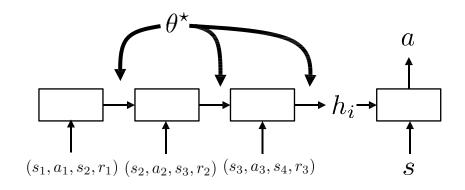
$$\theta^* = \arg\max_{\theta} \sum_{i=1}^n E_{\pi_{\phi_i}(\tau)}[R(\tau)]$$
where $\phi_i = f_{\theta}(\mathcal{M}_i)$

what should $f_{\theta}(\mathcal{M}_i)$ do?

- 1. improve policy with experience from \mathcal{M}_i $\{(s_1, a_1, s_2, r_1), \dots, (s_T, a_T, s_{T+1}, r_T)\}$
- 2. (new in RL): choose how to interact, i.e. choose a_t meta-RL must also *choose* how to *explore*!

The three perspectives on meta-RL

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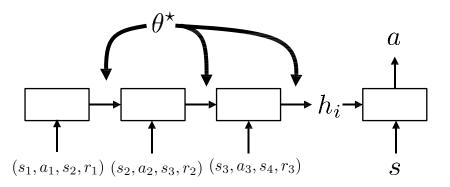
- + conceptually simple
- + relatively easy to apply
- vulnerable to meta-overfitting
- challenging to optimize in practice
- + good extrapolation ("consistent")
- + conceptually elegant
- complex, requires many samples
- + simple, effective exploration via posterior sampling
- + elegant reduction to solving a special POMDP
- vulnerable to meta-overfitting
- challenging to optimize in practice

But they're not that different!

just perspective 1, but with stochastic hidden variables!

i.e.,
$$\phi = \mathbf{z}$$

Perspective 1: just RNN it



Perspective 2: bi-level optimization

$$f_{\theta}(\mathcal{M}_i) = \theta + \alpha \nabla_{\theta} J_i(\theta)$$

MAML for RL

Perspective 3: it's an inference problem!

$$\pi_{\theta}(a|s,z)$$
 $z_t \sim p(z_t|s_{1:t}, a_{1:t}, r_{1:t})$

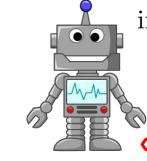
everything needed to solve task

just a particular architecture choice for these

Model-Based Meta-RL

$$\theta^* = \arg\max_{\theta} E_{\pi_{\theta}(\tau)} [R(\tau)]$$





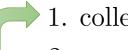
improve π_{θ} ...

...directly, via policy gradients

...via value function or Q-function

...implicitly, via model $\hat{p}(s_{t+1}|s_t, a_t)$

short sketch of model-based RL:



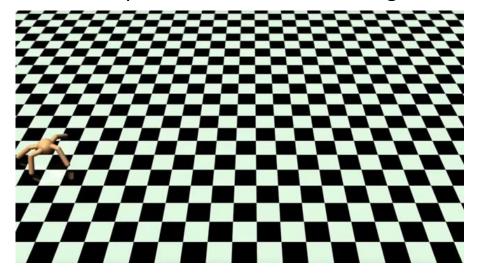
- 1. collect data \mathcal{B}
- 2. use \mathcal{B} to get $\hat{p}(s_{t+1}|s_t, a_t)$
- 3. use $\hat{p}(s_{t+1}|s_t, a_t)$ to plan a

why?

- + requires much less data vs model-free
- + a bit different due to model
- + can adapt extremely quickly!



example task: ant with broken leg



non-adaptive method:



- 1. collect data $\mathcal{B} = \{s_i, a_i, s_i'\}$
- 2. train $d_{\theta}(s, a) \to s'$ on \mathcal{B}
- 3. use d_{θ} to optimize actions

$$a_t, \dots, a_{t+k} = \arg \max_{a_t, \dots, a_{t+k}} \sum_{\tau=t}^{t+k} r(s_\tau, a_\tau)$$

s.t. $s_{t+1} = d_\theta(s_t, a_t)$

a few episodes

adaptive method:

nice idea, but how much can we really adapt in just one (or a few) step(s)?

- 1. take one step, get $\{s, a, s'\}$
- 2. $\theta \leftarrow \theta \alpha \nabla_{\theta} \|d_{\theta}(s, a) s'\|^2$
- 3. use d_{θ} to optimize a_t, \ldots, a_{t+k} , take a_t

meta-training time

$$\mathcal{D}_{\text{meta-train}} = \{ (\mathcal{D}_1^{\text{tr}}, \mathcal{D}_1^{\text{ts}}), \dots, (\mathcal{D}_n^{\text{tr}}, \mathcal{D}_n^{\text{ts}}) \}$$

$$\mathcal{D}_i^{\text{tr}} = \{(x_1^i, y_1^i), \dots, (x_k^i, y_k^i)\}\$$

$$\mathcal{D}_i^{\text{ts}} = \{(x_1^i, y_1^i), \dots, (x_l^i, y_l^i)\}$$

$$x \leftarrow (s, a)$$
 $y \leftarrow s'$

generate each $\mathcal{D}_i^{\mathrm{tr}}, \mathcal{D}_i^{\mathrm{ts}}$:

meta-test time

adaptive method:



2.
$$\theta \leftarrow \theta - \alpha \nabla_{\theta} \|d_{\theta}(s, a) - s'\|^2$$

3. use d_{θ} to optimize a_t, \ldots, a_{t+k} , take a_t

assumes past experience has many different dynamics

sample subsequence $s_t, a_t, \ldots, s_{t+k}, a_{t+k}, s_{t+k+1}$ from past experience

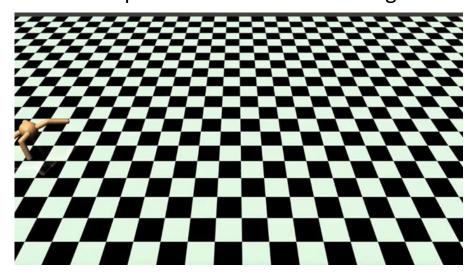
$$\mathcal{D}_i^{\text{tr}} \leftarrow \{(s_t, a_t, s_{t+1}), \dots, (s_{t+k-1}, a_{t+k-1}, s_{t+k})\}$$

$$\mathcal{D}_{i}^{\text{ts}} \leftarrow \{(s_{t+k}, a_{t+k}, s_{t+k+1})\}$$

could choose k = 1, but k > 1 works better (e.g., k = 5)



example task: ant with broken leg



See also:

Saemundsson, Hofmann, Deisenroth. Meta-Reinforcement Learning with Latent Variable Gaussian Processes. Nagabandi, Finn, Levine. Deep Online Learning via Meta-Learning: Continual Adaptation for Model-Based RL.

meta-test time

adaptive method:



1. take one step, get $\{s, a, s'\}$

2.
$$\theta \leftarrow \theta - \alpha \nabla_{\theta} ||d_{\theta}(s, a) - s'||^2$$

3. use d_{θ} to optimize a_t, \ldots, a_{t+k} , take a_t



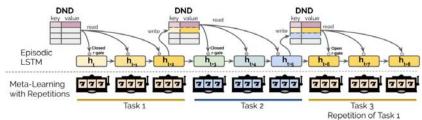
Model-Based Meta-RL for Quadrotor Control



Belkhale, Li, Kahn, McAllister, Calandra, Levine. Model-Based Meta-Reinforcement Learning for Flight with Suspended Payloads. '20

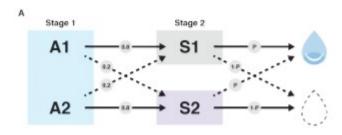
Meta-RL and emergent phenomena

meta-RL gives rise to episodic learning



Ritter, Wang, Kurth-Nelson, Jayakumar, Blundell, Pascanu, Botvinick. Been There, Done That: Meta-Learning with **Episodic Recall.**

model-free meta-RL gives rise to model-based adaptation



Wang, Kurth-Nelson, Kumaran, Tirumala, Soyer, Leibo, Hassabis, Botvinick. Prefrontal Cortex as a Meta-Reinforcement Learning System.

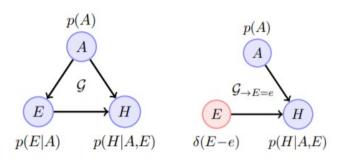
Humans and animals *seemingly* learn behaviors in a variety of ways:

- > Highly efficient but (apparently) model-free RL
- ➤ Episodic recall
- ➤ Model-based RL
- > Causal inference
- \gg etc.

Perhaps each of these is a separate "algorithm" in the brain

But maybe these are all emergent phenomena resulting from meta-RL?

meta-RL gives rise to causal reasoning (!)



Dasgupta, Wang, Chiappa, Mitrovic, Ortega, Raposo, Hughes, Battaglia, Botvinick, Kurth-Nelson. Causal Reasoning from Meta-Reinforcement Learning.