Graph Theory: Lecture 7 Chordal Graphs

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Forbidden Subgraph Characterizations

Wider question: how does local structure lead to global structure?

- A graph is a forest if and only if it has no C_k (induced) subgraph.
- A graph is bipartite if and only if it has no C_{2k+1} (induced) subgraph.
- A graph is planar if and only if it has no $K_{3,3}$, K_5 topological minor.

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Are the first two statements above still true for **induced** subgraphs?

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In other words:

• If I promise you that a small (bad) structure H does not appear in a larger graph G, what (else) does this tell us about G?

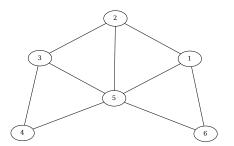
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Definition

A graph G is **chordal** if G does not contain any cycle C_k , for $k \ge 4$ as an **induced** subgraph.

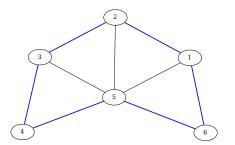
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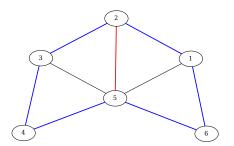
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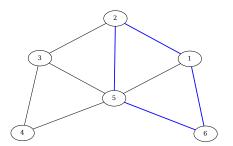
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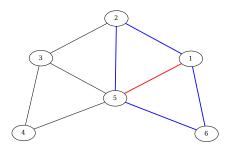
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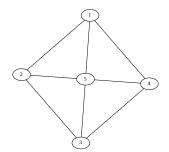
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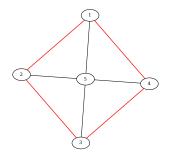
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Examples:

Are the following chordal?

- Forests?
- Cliques?
- Bipartite graphs?
- Planar graphs?

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Examples:

Chordal recognition is in:

- NP?
- coNP?
- P?

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Examples:

Chordal recognition is in:

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Certificate: ??

coNP?

Counter-certificate: Long Induced Cycle

P?

Theorem

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Sanity check:

- Trees are chordal.
- Every minimal vertex separator of a tree is a single vertex (K_1) .

Theorem

A graph G is chordal if and only if every minimal vertex separator of G induces a clique.

Need to prove that:

- G is chordal \Rightarrow all minimal separators are cliques.
- G is not chordal \Rightarrow some minimal separator is not a clique.

Which part is easy?

Theorem

A graph G is chordal if and only if every minimal vertex separator of G induces a clique.

Proof.

(Easy part): G is not chordal \Rightarrow some minimal separator is not a clique

- G has an induced cycle v_1, v_2, \ldots, v_k , $k \geq 4$
- Take a minimal v_1v_3 separator S.
- $v_2 \in S$ and at least one $v_i \in S \cap \{v_4, \dots, v_k\}$.
- $v_2v_i \notin E$, therefore S is not a clique.



Theorem

A graph G is chordal if and only if every minimal vertex separator of G induces a clique.

Proof.

(Harder part): G is not chordal \Leftarrow some minimal separator is not a clique

- Let S be a minimal xy-separator that is not a clique
- Let $a, b \in S$ such that $ab \notin E$
- a, b have neighbors in both components of G S that contain x, y (because S is minimal).
- Take a shortest $a \rightarrow b$ path in each component, their union is an induced cycle (why?) of length at least 4, so G is not chordal.



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- If G is a tree
- and G is not a clique \Leftrightarrow G is not K_2

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- If G is a tree
- and G is not a clique \Leftrightarrow G is not K_2
- G contains at least two non-adjacent leaves

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Proof by induction on n.



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• Base case: n = 3, $G = P_3$, good.



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Theorem

If G is chordal and G is not a clique, then G contains at least two non-adjacent simplicial vertices.

Proof.

Proof by induction on n.

- Let x, y be two non-adjacent vertices, S a minimal xy-separator
- S is a clique, X, Y are components of G S that contain x, y
- Claim: Each of X, Y contains a simplicial vertex of G, there are no edges from X to Y, so these are non-adjacent.

Theorem

If G is chordal and G is not a clique, then G contains at least two non-adjacent simplicial vertices.

Proof.

Proof by induction on n.

- Claim: X has a simplicial vertex of G
- Case 1: $G[X \cup S]$ is a clique
 - All vertices of X are simplicial, good.
- Case 2: $G[X \cup S]$ is not a clique
 - Inductive hypothesis applies on $G' = G[X \cup S]$
 - \Rightarrow two non-adjacent simplicial vertices in G'
 - Both of them cannot be in S (which is a clique), so one is in X, good.



• "Is vertex *v* simplicial?" is in P.

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- "Is vertex *v* simplicial?" is in P.
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- Can we use simplicial vertices to show that chordality recognition is in NP?

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Theorem

A chordal graph G contains at least one simplicial vertex.

- Alternative coNP counter-certificate: check that *G* has no simplicial vertex.
- Can we use simplicial vertices to show that chordality recognition is in NP?
- Key insight: simplicial vertices cannot be involved in long induced cycles.

Recognizing Chordality continued

Definition

A **Perfect Elimination Ordering** of the vertices of a graph G = (V, E) is an ordering of $V = \{v_1, \ldots, v_n\}$ such that for all i we have that v_i is simplicial in $G[\{v_i, v_{i+1}, \ldots, v_n\}]$.

Theorem

G has a perfect elimination ordering if and only if G is chordal.

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Theorem

G has a perfect elimination ordering if and only if G is chordal.

Proof.

G is not chordal $\Rightarrow G$ has no perfect elimination ordering

- Suppose G contains cycle C_k with $k \ge 4$.
- Build an ordering, let v_i be the first vertex of C_k in the ordering.
- ullet The two neighbors of v_i in the cycle are non-adjacent, come later
- $\Rightarrow v_i$ is not simplicial in the rest of the graph, contradiction.

Recognizing Chordality continued

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Theorem

G has a perfect elimination ordering if and only if G is chordal.

Proof.

G is chordal \Rightarrow G has a perfect elimination ordering

- G has a simplicial vertex v, place it first.
- Inductively construct an ordering of G v (which is chordal).



Recognizing Chordality

Theorem

There is a polynomial-time algorithm that decides if a given graph G is chordal.

Proof.

Key ideas:

- Finding a simplicial vertex is in P.
- If no such vertex, say No.
- If v is simplicial, then G chordal $\Leftrightarrow G v$ chordal, recurse.

Recognizing Chordality

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There is a polynomial-time algorithm that decides if a given graph G is chordal.

Proof.

Key ideas:

- Finding a simplicial vertex is in P.
- If no such vertex, say No.
- If v is simplicial, then G chordal $\Leftrightarrow G v$ chordal, recurse.
- Recursion sequence gives a perfect elimination ordering.



Applications



- Pick a vertex v
- **2** Compute (recursively) $s_1 = \alpha(G v)$
- Compute (recursively) $s_2 = 1 + \alpha(G N[v])$
- Return $\max\{s_1, s_2\}$

- Pick a vertex v
- **2** Compute (recursively) $s_1 = \alpha(G v)$
- **3** Compute (recursively) $s_2 = 1 + \alpha(G N[v])$
- Basic algorithm is bad (exponential-time).
- What if we have a way to select a "good" vertex v?

Maximum Independent Set – Simplicial vertices

Theorem

If v is a simplicial vertex of G, then there exists a maximum independent set S of G with $v \in S$.



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If v is a simplicial vertex of G, then there exists a maximum independent set S of G with $v \in S$.

Proof.

Exchange argument:

- If $v \notin S$ and $N(v) \cap S = \emptyset$, contradiction, as $S \cup \{v\}$ is a larger independent set.
- If $v \notin S$ and $N(v) \cap S \neq \emptyset$, then $|N(v) \cap S| = 1$, as N(v) is a clique.
- Let $S \cap N(v) = \{u\}$. Then $(S \setminus \{u\}) \cup \{v\}$ is another maximum independent set.



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- Pick a simplicial vertex v
- **2** Compute (recursively) $s_1 = \alpha(G v)$
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- **9** Return $\max\{s_1, s_2\} \rightarrow \text{Return } s_2$

Basic algorithm:

- Pick a simplicial vertex v
- **2** Compute (recursively) $s_1 = \alpha(G v)$
- Compute (recursively) $s_2 = 1 + \alpha(G N[v])$
- **1** Return $\max\{s_1, s_2\} \rightarrow \text{Return } s_2$

Correctness:

- Running time is polynomial (no branching)
- v is simplicial \Rightarrow some optimal independent set contains it.

Maximum Clique

- Pick a vertex v
- **2** Compute (recursively) $s_1 = \omega(G v)$
- **3** Compute (recursively) $s_2 = 1 + \omega(G[N(v)])$
- Return $\max\{s_1, s_2\}$
 - Basic algorithm is bad (exponential-time).
 - What if we have a way to select a "good" vertex v?

Maximum Clique

- Pick a simplicial vertex v
- **2** Compute (recursively) $s_1 = \omega(G v)$
- **9** Return max $\{s_1, s_2\}$

Maximum Clique

Basic algorithm:

- Pick a simplicial vertex v
- **2** Compute (recursively) $s_1 = \omega(G v)$
- **Our Solution** Compute (recursively) $s_2 = 1 + \omega(G[N(v)])$ $s_2 = 1 + |N(v)|$

Correctness:

- Running time is polynomial (no branching)
- v is simplicial \Rightarrow if v is in our clique, all of N(v) can be placed in our clique.

Recall the First-Fit coloring algorithm:

- Order vertices v_1, \ldots, v_n
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Idea: execute this with the opposite of a PEO.

Correctness:

- Claim: If some vertex receives color k, it is part of a clique of size k
- When we color v_i , its previously colored neighbors form a clique
- If we use color k, the clique must be using colors $\{1, \ldots, k-1\}$, so it has size k-1, so we have a clique of size k.
- Recall: $\chi(G) \ge \omega(G)$.

