Minimum Stable Cut and Treewidth

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5 — Abstract

A stable or locally-optimal cut of a graph is a cut whose weight cannot be increased by changing the side of a single vertex. Equivalently, a cut is stable if all vertices have the (weighted) majority of their neighbors on the other side. Finding a stable cut is a prototypical PLS-complete problem that has been studied in the context of local search and of algorithmic game theory.

In this paper we study MIN STABLE CUT, the problem of finding a stable cut of minimum weight, 10 which is closely related to the Price of Anarchy of the MAX CUT game. Since this problem is NP-hard, 11 we study its complexity on graphs of low treewidth, low degree, or both. We begin by showing 12 that the problem remains weakly NP-hard on severely restricted trees, so bounding treewidth alone 13 cannot make it tractable. We match this hardness with a pseudo-polynomial DP algorithm solving 14 the problem in time $(\Delta \cdot W)^{O(tw)} n^{O(1)}$, where tw is the treewidth, Δ the maximum degree, and W 15 the maximum weight. On the other hand, bounding Δ is also not enough, as the problem is NP-hard 16 for unweighted graphs of bounded degree. We therefore parameterize MIN STABLE CUT by both tw 17 and Δ and obtain an FPT algorithm running in time $2^{O(\Delta tw)}(n + \log W)^{O(1)}$. Our main result for 18 the weighted problem is to provide a reduction showing that both aforementioned algorithms are 19 essentially optimal, even if we replace treewidth by pathwidth: if there exists an algorithm running 20 in $(nW)^{o(pw)}$ or $2^{o(\Delta pw)}(n + \log W)^{O(1)}$, then the ETH is false. Complementing this, we show that 21 we can, however, obtain an FPT approximation scheme parameterized by treewidth, if we consider 22 almost-stable solutions, that is, solutions where no single vertex can unilaterally increase the weight 23 of its incident cut edges by more than a factor of $(1 + \varepsilon)$. 24

²⁵ Motivated by these mostly negative results, we consider UNWEIGHTED MIN STABLE CUT. Here ²⁶ our results already imply a much faster exact algorithm running in time $\Delta^{O(tw)} n^{O(1)}$. We show that ²⁷ this is also probably essentially optimal: an algorithm running in $n^{o(pw)}$ would contradict the ETH.

²⁸ **2012 ACM Subject Classification** Mathematics of computing \rightarrow Graph algorithms; Theory of Com-²⁹ putation \rightarrow Design and Analysis of Algorithms \rightarrow Parameterized Complexity and Exact Algorithms

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³³ **1** Introduction

In this paper we study problems related to *stable cuts* in graphs. A *stable* cut of an edgeweighted graph G = (V, E) is a partition of V into two sets V_0, V_1 that satisfies the following property: for each $i \in \{0, 1\}$ and $v \in V_i$, the total weight of edges incident on v whose other endpoint is in V_{1-i} is at least half the total weight of all edges incident on v. In other words, a cut is stable if all vertices have the (weighted) majority of their incident edges cut.

The notion of stable cuts has been very widely studied from two different points of view. 39 First, in the context of local search, a stable cut is a locally optimal cut: switching the side 40 of any single vertex cannot increase the total weight of the cut. Hence, stable cuts have 41 been studied with the aim to further our understanding of the basic local search heuristic for 42 MAX CUT. Second, in the context of algorithmic game theory a MAX CUT game has often 43 been considered, where each vertex is an agent whose utility is the total weight of edges 44 connecting it to the other side. In this game, a stable cut corresponds exactly to the notion 45 of a Nash equilibrium, that is, a state where no agent has an incentive to change her choice. 46 © Michael Lampis:



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⁴⁷ The complexity of producing a Nash stable or locally optimal cut of a given edge-weighted
⁴⁸ graph has been heavily studied under the name LOCAL MAX CUT. The problem is known
⁴⁹ to be PLS-complete, under various restrictions (we give detailed references below).

In this paper we focus on a different but closely related optimization problem: given an 50 edge-weighted graph we would like to produce a stable cut of minumum total weight. We call 51 this problem MIN STABLE CUT. In addition to being a fairly natural problem on its own, we 52 believe that MIN STABLE CUT is interesting from the perspective of both local search and 53 algorithmic game theory. In the context of local search, MIN STABLE CUT is the problem of 54 bounding the performance of the local search heuristic on a particular instance. It is folklore 55 (and easy to see) that in general there exist graphs where the smallest stable cut has size 56 half the maximum cut (e.g. consider a C_4) and this is tight since any stable cut must cut at 57 least half the total edge weight. However, for most graphs this bound is far from tight. MIN 58 STABLE CUT therefore essentially asks to estimate the ratio between the largest and smallest 59 stable cut for a given specific instance. Similarly, in the context of algorithmic game theory, 60 solving MIN STABLE CUT is essentially equivalent to calculating the Price of Anarchy of the 61 MAX CUT game on the given instance, that is, the ratio between the smallest stable cut and 62 the maximum cut. Since we will mostly focus on cases where MAX CUT is tractable, MIN 63 STABLE CUT can, therefore, be seen as the problem of computing either the approximation 64 ratio of local search or the Price of Anarchy of the MAX CUT game on a given graph. 65

⁶⁶**Our results** It appears that little is currently known about the complexity of MIN STABLE ⁶⁷CUT. However, since finding a (not necessarily minimum) stable cut is PLS-complete, finding ⁶⁸the minimum such cut would be expected to be hard. Our focus is therefore to study the ⁶⁹parameterized complexity of MIN STABLE CUT using structural parameters such as treewidth ⁷⁰and the maximum degree of the input graph¹. Our results are the following.

First, we show that bounding only one of the two mentioned parameters is not sufficient to render the problem tractable. This is not suprising for the maximum degree Δ , where a reduction from MAX CUT allows us to show the problem is NP-hard for $\Delta \leq 6$ even in the unweighted case (Theorem 4). It is, however, somewhat more disappointing that bounded treewidth also does not help, as the problem remains weakly NP-hard on trees of diameter 4 (Theorem 1) and bipartite graphs of vertex cover 2 (Theorem 3).

These hardness results point to two directions for obtaining algorithms for MIN STABLE CUT: first, since the problem is "only" weakly NP-hard for bounded treewidth one could hope to obtain a pseudo-polynomial time algorithm in this case. We show that this is indeed possible and the problem is solvable in time $(\Delta \cdot W)^{O(tw)} n^{O(1)}$, where W is the maximum edge weight (Theorem 5). Second, one may hope to obtain an FPT algorithm when both tw and Δ are parameters. We show that this is also possible and obtain an algorithm with complexity $2^{O(\Delta tw)}(n + \log W)^{O(1)}$ (Theorem 6).

These two algorithms lead to two further questions. First, can the $(\Delta \cdot W)^{O(\text{tw})} n^{O(1)}$ algorithm be improved to an FPT dependence on tw, that is, to running time $f(\text{tw})(nW)^{O(1)}$? And second, can the $2^{\Delta \text{tw}}$ parameter dependence of the FPT algorithm be improved, for example to $2^{O(\Delta+\text{tw})}$ or even $\Delta^{O(\text{tw})}$? We show that the answer to both questions is negative, even if we replace treewidth with pathwidth: under the ETH there is no algorithm running in $(nW)^{o(\text{pw})}$ or $2^{o(\Delta \text{tw})}(n + \log W)^{O(1)}$ (Theorem 8).

¹ We assume familiarity with the basics of parameterized complexity as given in standard textbooks [22].

⁹⁰ Complementing the above, we show that the problem does become FPT by treewidth ⁹¹ alone if we allow the notion of approximation to be used in the concept of stability: there ⁹² exists an algorithm which, for any $\varepsilon > 0$, runs in time $(tw/\varepsilon)^{O(tw)}(n + \log W)^{O(1)}$ and ⁹³ produces a cut with the following properties: all vertices are $(1 + \epsilon)$ -stable, that is, no ⁹⁴ vertex can unilaterally increase its incident cut weight by more than a factor of $(1 + \varepsilon)$; ⁹⁵ the cut has weight at most equal to that of the minimum stable cut.

Finally, motivated by the above mostly negative results, we also consider UNWEIGHTED MIN STABLE CUT, the restriction of the problem where all edge weights are uniform. Our previous results give a much faster algorithm with parameter dependence $\Delta^{O(\text{tw})}$, rather than $2^{\Delta \text{tw}}$ (Corollary 12). However, this poses the natural question if in this case the problem finally becomes FPT by treewidth alone. Our main result in this part is to answer this question in the negative and show that, under the ETH, UNWEIGHTED MIN STABLE CUT cannot be solved in time $n^{o(\text{pw})}$ (Theorem 13).

Taken together, our results paint a detailed picture of the complexity of MIN STABLE 103 Cut parameterized by tw and Δ . All our exact algorithms (Theorems 5, 6) are obtained 104 using standard dynamic programming on tree decompositions, the only minor complication 105 being that for Theorem 6 we edit the decomposition to make sure that for each vertex 106 some bag contains all of its neighborhood (this helps us verify that a cut is stable). The 107 main technical challenge is in proving our complexity lower bounds. It is therefore perhaps 108 somewhat surprising that the lower bounds turn out to be essentially tight, as this indicates 109 that for MIN STABLE CUT and UNWEIGHTED MIN STABLE CUT, the straightforward DP 110 algorithms are essentially optimal, if one wants to solve the problem exactly. 111

For the approximation algorithm, we rely on two rounding techniques: one is a rounding 112 step similar to the one that gives an FPTAS for KNAPSACK by truncating weights so that the 113 maximum weight is polynomially bounded. However, MIN STABLE CUT is more complicated 114 than KNAPSACK, as an edge which is light for one of its endpoints may be heavy for the 115 other. We therefore define a more general version of the problem, allowing us to decouple 116 the contribution each edge makes to the stability of each endpoint. This helps us bound 117 the largest stability-weight by a polynomial, but is still not sufficient to obtain an FPT 118 algorithm, as the lower bound of Theorem 8 applies to polynomially bounded weights. We 119 then go on to apply a technique introduced in [48] (see also [2, 10, 45, 46]) which allows us 120 to obtain FPT approximation algorithms for problems which are W-hard by treewidth by 121 applying a different notion of rounding to the dynamic program. This allows us to produce 122 a solution that is simultaneously of optimal weight (compared to the best stable solution) 123 and almost-stable, using essentially the same algorithm as in Theorem 5. However, it is 124 worth noting that in general there is no obvious way to transform almost-stable solutions to 125 stable solutions [12, 18], so our algorithm is not immediately sufficient to obtain an FPT 126 approximation for MIN STABLE CUT if we insist on obtaining a cut which is exactly stable. 127

Related work From the point of view of local search algorithms, there is an extensive 128 literature on the LOCAL MAX CUT problem, which asks us to find a stable cut (of any size). 129 The problem has long been known to be PLS-complete [44, 54]. It remains PLS-complete 130 for graphs of maximum degree 5 [28], but becomes polynomial-time solvable for graphs of 131 maximum degree 3 [50, 53]. The problem remains PLS-complete if weights are assigned to 132 vertices, instead of edges, and the weight of an edge is defined simply as the product of the 133 weights of its endpoints [32]. Even though the problem is PLS-complete, it has long been 134 observed that local search quickly finds a stable solution in most practical instances. One 135 theoretical explanation for this phenomenon was given in a recent line of work which showed 136

that LOCAL MAX CUT has quasi-polynomial time smoothed complexity [3, 13, 19, 30]. LOCAL MAX CUT is of course polynomial time solvable if all weights are polynomially bounded in n, as local improvements always increase the size of the cut.

In algorithmic game theory much work has been done on the complexity of computing 140 Nash equilibria for the cut game and the closely related party affiliation game, in which 141 players, represented by vertices, have to pick one of two parties and edge weights indicate how 142 much two players gain if they are in the same party [6, 7, 20, 31, 37]. Note that for general 143 graphical games finding an equilibrium is PPAD-hard on trees of constant pathwidth [26]. 144 Because computing a stable solution is generally intractable, approximate equilibria have 145 also been considered [12, 18]. Note that the notion of approximate equilibrium corresponds 146 exactly to the approximation guarantee given by Theorem 11, but unlike the cited works, 147 Theorem 11 produces a solution that is both approximately stable and as good as the optimal. 148

The problem we consider in this paper is more closely related to the problem of computing 149 the worst (or best) Nash equilibrium, which in turn is closely linked to the notion of Price 150 of Anarchy. For most problems in algorithmic game theory this type of question is usually 151 NP-hard [14, 21, 27, 33, 36, 39, 55] and hard to approximate [5, 17, 23, 41, 51]. Even though 152 these results show that finding a Nash equilibrium that maximizes an objective function is 153 NP-hard under various restrictions (e.g. graphical games of bounded degree), to the best of 154 our knowledge the complexity of finding the worst equilibrium of the MAX CUT game (which 155 corresponds to the MIN STABLE CUT problem of this paper) has not been considered. 156

Finally, another topic that has recently attracted attention in the literature is that of 157 MinMax and MaxMin versions of standard optimization problems, where we search the worst 158 solution which cannot be improved using a simple local search heuristic. The motivation 159 behind this line of research is to provide bounds and a refined analysis of such basic heuristics. 160 Problems that have been considered under this lens are MAX MIN DOMINATING SET [8, 25], 161 MAX MIN VERTEX COVER [16, 56], MAX MIN SEPARATOR [40], MAX MIN CUT [29], MIN 162 MAX KNAPSACK [4, 34, 38], MAX MIN EDGE COVER [47], MAX MIN FVS [24]. Some 163 problems in this area also arise naturally in other forms and have been extensively studied, 164 such as MIN MAX MATCHING (also known as EDGE DOMINATING SET [43]) and GRUNDY 165 COLORING, which can be seen as a Max Min version of COLORING [1, 9]. 166

¹⁶⁷ **2** Definitions – Preliminaries

We generally use standard graph-theoretic notation and consider edge-weighted graphs, that 168 is, graphs G = (V, E) supplied with a weight function $w : E \to \mathbb{N}$. The weighted degree of a 169 vertex $v \in V$ is $d_w(v) = \sum_{uv \in E} w(uv)$. A cut of a graph is a partition of V into V_0, V_1 . A 170 cut is stable for vertex $v \in V_i$ if $\sum_{vu \in E \land u \in V_{1-i}} w(vu) \ge \frac{d_w(v)}{2}$, that is, if the total weight of edges incident on v crossing the cut is at least half the weighted degree of v. In the MIN 171 172 STABLE CUT problem we are given an edge-weighted graph and are looking for a cut that is 173 stable for all vertices that minimizes the sum of weights of cut edges (that is, edges with 174 endpoints on both sides of the cut). In UNWEIGHTED MIN STABLE CUT we restrict the 175 problem so that the w function returns 1 for all edges. When describing stable cuts we will 176 sometimes say that we "assign" value 0 (or 1) to a vertex; by this we mean that we place 177 this vertex in V_0 (or V_1 respectively). 178

For the definitions of treewidth, pathwidth, and the related (nice) decompositions we refer to [22]. We will use as a complexity assumption the Exponential Time Hypothesis (ETH) [42] which states that there exists a constant c > 1 such that 3-SAT with n variables and m clauses cannot be solved in time c^{n+m} . In fact, we will use the slightly weaker and

simpler form of the ETH which states that 3-SAT cannot be solved in time $2^{o(n+m)}$.

¹⁸⁴ **3** Weighted Min Stable Cut

In this section we present our results on exact algorithms for (weighted) MIN STABLE CUT. We begin with some basic NP-hardness reductions in Section 3.1, which establish that the problem remains (weakly) NP-hard when either the treewidth or the maximum degree are bounded. These set the stage for two algorithms, given in Section 3.2, solving the problem in pseudo-polynomial time for constant treewidth; and in FPT time parameterized by tw + Δ . In Section 3.3 we present a more fine-grained hardness argument, based on the ETH, which shows that the dependence on tw and Δ of our two algorithms is essentially optimal.

¹⁹² 3.1 Basic Hardness Proofs

193 ► **Theorem 1.** *MIN STABLE CUT is weakly NP-hard on trees of diameter* 4.

Proof. We describe a reduction from PARTITION. Recall that in this problem we are given *n* positive integers x_1, \ldots, x_n such that $\sum_{i=1}^n x_i = 2B$ and are asked if there exists $S \subseteq [n]$ such that $\sum_{i \in S} x_i = B$. We construct a star with *n* leaves and subdivide every edge once. For each $i \in [n]$ we select a distinct leaf of the tree and set the weight of both edges in the path from the center to this leaf to x_i . We claim that the graph has a stable cut of weight *B* 3*B* if and only if there is a partition of x_1, \ldots, x_n into two sets with the same sum.

For the first direction, suppose $S \subseteq [n]$ is such that $\sum_{i \in S} x_i = B$. For each $i \in S$ we 200 select a degree two vertex of the tree whose incident edges have weight x_i and assign it value 201 1. We assign all other degree two vertices value 0 and assign to all leaves the opposite of the 202 value of their neighbor. We give the center value 0. This partition is stable as the center has 203 edge weight exactly B towards each side, and all degree two vertices have a leaf attached that 204 is placed on the other side and contributes half their total incident weight. The total weight 205 cut is 2B from edges incident on leaves, plus B from half the weight incident on the center. 206 For the converse direction, observe that in any stable solution all edges incident on leaves 207 are cut, contributing a weight of 2B. As a result, in a stable cut of size 3B, the weight of cut 208

edges incident on the center is at most B. However, this weight is also at least B, since the edge weight incident on the center is 2B. We conclude that the neighborhood of the center must be perfectly balanced. From this we can infer a solution to the PARTITION instance.

▶ Remark 2. Theorem 1 is tight, because MIN STABLE CUT is trivial on trees of diameter at most 3.

▶ **Theorem 3.** MIN STABLE CUT is weakly NP-hard on bipartite graphs with vertex cover 2.

▶ Theorem 4. UNWEIGHTED MIN STABLE CUT is strongly NP-hard and APX-hard on bipartite graphs of maximum degree 6.

217 **3.2 Algorithms**

▶ **Theorem 5.** There is an algorithm which, given an instance of MIN STABLE CUT with n vertices, maximum weight W, and a tree decomposition of width tw, finds an optimal solution in time $(\Delta \cdot W)^{O(\text{tw})} n^{O(1)}$.

▶ **Theorem 6.** There is an algorithm which, given an instance of MIN STABLE CUT with *n* vertices, maximum weight W, maximum degree Δ and a tree decomposition of width tw, finds an optimal solution in time $2^{O(\Delta tw)}(n + \log W)^{O(1)}$.

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Proof. We describe an algorithm which works in a way similar to the standard algorithm 224 for MAX CUT parameterized by treewidth, except that we work in a tree decomposition that 225 is essentially a decomposition of the square of G. More precisely, before we begin, we do 226 the following: for each $v \in V$ we add to every bag of the decomposition that contains v all 227 the vertices of N(v). It is not hard to see that we now have a decomposition of width at 228 most $(\Delta + 1)(tw + 1)$ and also that the new decomposition is still a valid tree decomposition. 229 Crucially, we now also have the following property: for each $v \in V$ there exists at least one 230 bag of the decomposition that contains all of N[v]. 231

The algorithm now performs dynamic programming by storing for each bag the value of the best solution for each partition of B_t . As a result, the size of the DP table is $2^{O(\Delta tw)}$. The only difference with the standard MAX CUT algorithm (beyond the fact that we are looking for a cut of minimum weight) is that when we consider a bag that contains all of N[v], for some $v \in V$, we discard all partitions which are unstable for v. Since the bag contains all of N[v], this can be checked in time polynomial in n and log W (assuming weights are given in binary).

239 3.3 Tight ETH-based Hardness

We first give a reduction from 3-SET SPLITTING to MIN STABLE CUT whose main properties are laid out in Lemma 7. This reduction gives the lower bound of Theorem 8.

▶ Lemma 7. There is a polynomial-time algorithm which, given a 3-SET SPLITTING instance H = (V, E) with n elements, produces a MIN STABLE CUT instance G with the following properties: (i) G is a Yes instance if and only if H is a Yes instance; (ii) if Δ is the maximum degree of G and pw its pathwidth, then $\Delta = O(\log n)$ and pw = $O(n/\log n)$; (iii) the maximum weight of G is $W = O(2^{\Delta})$.

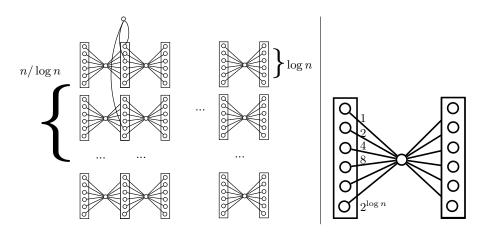


Figure 1 Sketch of the construction of Lemma 7. On the left, the general architecture: m columns, each with n vertices, partitioned into groups of size $\log n$. On each column we add a checker vertex (on top). Between the same groups of consecutive columns we add propagator vertices. On the right, more details about the exponentially increasing weights of edges incident on propagators.

Proof. Let H = (V, E) be the given 3-SET SPLITTING instance, $V = \{v_0, \ldots, v_{n-1}\}$ and suppose that E contains e_2 sets of size 2 and e_3 sets of size 3, where $|E| = e_2 + e_3$ will be denoted by m. Assume without loss of generality that n is a power of 2 (otherwise add some dummy elements to V). Let $\delta = \log n$. We construct a graph by first making m copies of V,

call them $V_j, j \in [m]$ and label their vertices as $V_j = \{v_{(i,j)} \mid i \in \{0, \ldots, n-1\}\}$. Intuitively, the vertices $\{v_{(i,j)} \mid j \in [m]\}$ are all meant to represent the element v_i of H. We now add to the graph the following:

1. Checkers: Suppose that the *j*-th set of *E* contains elements $v_{i_1}, v_{i_2}, v_{i_3}$. Then we construct a vertex c_j and connect it to $v_{(i_1,j)}, v_{(i_2,j)}, v_{(i_3,j)}$ with edges of weight 1. If the *j*-th set has size two, we do the same (ignoring v_{i_3}).

257 2. Propagators: For each $j \in [m-1]$ we construct $\rho = \lceil n/\delta \rceil$ vertices labeled $p_{(i,j)}, i \in \{0, \ldots, \rho-1\}$. Each $p_{(i,j)}$ is connected to (at most) δ vertices of V_j and δ vertices of V_{j+1} with edges of exponentially increasing weight. Specifically, for $i \in \{0, \ldots, \rho-1\}, \ell \in \{0, \ldots, \delta-1\}$, we connect $p_{(i,j)}$ to $v_{(i\delta+\ell,j)}$ and to $v_{(i\delta+\ell,j+1)}$ (if they exist) with an edge of weight 2^{ℓ} .

3. Stabilizers: For each $j \in [m], i \in \{0, ..., n-1\}$ we attach to $v_{(i,j)}$ a leaf. The edge connecting this leaf to $v_{(i,j)}$ has weight $3 \cdot 2^{(i \mod \delta)}$.

This completes the construction of the graph. Let L be the total weight of edges incident on leaves and P be the total weight of edges incident on Propagator vertices $p_{(i,j)}$. We set $B = L + \frac{P}{2} + e_2 + 2e_3$ and claim that the new instance has a stable cut of weight B if and only if H can be split.

For the forward direction, suppose that H can be split by the partition of V into 268 $L, R = V \setminus L$. We assign the following values for our new instance: for each $j \in [m]$ odd, 269 we set $v_{(i,j)}$ to value 0 if and only if $v_i \in L$; for each $j \in [m]$ even, we set $v_{(i,j)}$ to value 0 if 270 and only if $v_i \in R$. In other words, we use the same partition for all copies of V, but flip 271 the roles of 0, 1 between consecutive copies. We place leaves on the opposite side from their 272 neighbors and greedily assign values to all other vertices of the graph to obtain a stable 273 partition. Observe that all vertices $v_{(i,j)}$ are stable with the values we assigned, since the 274 edge connecting each such vertex to a leaf has weight at least half its total incident weight. 275 In the partition we have we observe that (i) all edges incident on leaves are cut (total 276 weight L) (ii) all Propagator vertices have balanced neighborhoods, so exactly half of their 277

incident weight is cut (total weight P/2) (iii) since L, R splits all sets of E, each checker vertex will have exactly one neighbor on the same side (total weight $e_2 + 2e_3$). So the total weight of the cut is B.

For the converse direction, suppose we have a stable cut of size B in the constructed instance. Because of the stability condition, this solution must cut all edges incident on leaves (total weight L); at least half of the total weight of edges incident on Propagators (total weight P/2); and for each checker vertex all its incident edges except at most one (total weight at least $e_2 + 2e_3$). We conclude that, in order to achieve weight B, the cut must properly balance the neighborhood of all Propagators and make sure that each Checker vertex has one neighbor on its own side.

We now argue that because the neighborhood of each Propagator is balanced we have for 288 all $i \in \{0, \ldots, n-1\}, j \in [m-1]$ that $v_{(i,j)}, v_{(i,j+1)}$ are on different sides of the partition. To 289 see this, suppose for contradiction that for two such vertices this is not the case and to ease 290 notation consider the vertices $v_{(i\delta+\ell,j)}, v_{(i\delta+\ell,j+1)}$, where $0 \le \ell \le \delta - 1$. Among all such pairs 291 select one that maximizes ℓ . Both vertices are connected to the Propagator $p_{(i,j)}$ with edges 292 of weight 2^{ℓ} . But now $p_{(i,j)}$ has strictly larger edge weight connecting it to the side of the 293 partition that contains $v_{(i\delta+\ell,j)}$ and $v_{(i\delta+\ell,j+1)}$ than to the other side because (i) for neighbors 294 of $p_{(i,j)}$ connected to it with edges of higher weight, the neighborhood of $p_{(i,j)}$ is balanced by the maximality of ℓ (ii) the total weight of all other edges is $2 \cdot (2^{\ell-1} + 2^{\ell-2} + \ldots + 1) < 2 \cdot 2^{\ell}$. 295 296

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We thus have that for all $i, j, v_{(i,j)}, v_{(i,j+1)}$ must be on different sides, and therefore all V_j are partitioned in the same way (except perhaps with the role of 0 and 1 reversed). From this, we obtain a partition of V. To conclude this direction, we argue that this partition of V must split all sets. Indeed, if not, there will be a checker vertex such that all its neighbors are on the same side, which, as we argued, means that the cut must have weight strictly more than B.

Finally, let us show that the constructed instance has the claimed properties. The 303 maximum degree is $\Delta = 2\delta = O(\log n)$ in the Propagators vertices (all other vertices have 304 degree at most 4); the maximum weight is $O(2^{\delta}) = O(2^{\Delta})$. Let us also consider the pathwidth 305 of the constructed graph. Let G_j be the subgraph induced by V_j and its attached leaves, 306 the Checker c_i , and all Propagators adjacent to V_i . We claim that we can build a path 307 decomposition of G_j that contains all Propagators adjacent to V_j in all bags and has width 308 $O(n/\log n)$. Indeed, if we place all the (at most $\lceil 2n/\delta \rceil$) Propagators and c_j in all bags, we 300 can delete them from G_j , and all that is left is a union of isolated edges, which has pathwidth 310 1. Now, since the union of all G_j covers all vertices and edges, we can construct a path 311 decomposition of the whole graph of width $O(n/\log n)$ by gluing together the decompositions 312 of each G_j , that is, by connecting the last bag of the decomposition of G_j to the first bag of 313 the decomposition of G_{j+1} . 314

▶ **Theorem 8.** If the ETH is true then (i) there is no algorithm solving MIN STABLE CUT in time $(nW)^{o(pw)}$ (ii) there is no algorithm solving MIN STABLE CUT in time $2^{o(\Delta pw)}(n + \log W)^{O(1)}$. These statements apply even if we restrict the input to instances where weights are written in unary and the maximum degree is $O(\log n)$.

4 Approximately Stable Cuts

In this section we present an algorithm which runs in FPT time parameterized by treewidth 320 and produces a solution that is $(1 + \varepsilon)$ -stable and has weight upper bounded by the weight 321 of the optimal stable cut. Before we proceed, we will need to define a more general version 322 of our problem. In EXTENDED MIN STABLE CUT we are given as input: a graph G = (V, E); 323 a cut-weight function $w: E \to \mathbb{N}$; and a stability-weight function $s: E \times V \to \mathbb{N}$. For $v \in V$ 324 we denote $d_s(v) = \sum_{vu \in E} s(vu, v)$, which we call the stability degree of v. If we are also 325 given an error parameter $\rho > 1$, we will then be looking for a partition of V into V_0, V_1 which 326 satisfies the following: (i) each vertex is ρ -stable, that is, for each $i \in \{0, 1\}$ and $v \in V_i$ we have $\sum_{vu \in E \land u \in V_{1-i}} s(vu, v) \geq \frac{d_s(v)}{2\rho}$ (ii) the total cut weight $\sum_{u \in V_0, v \in V_1, uv \in E} w(uv)$ is minimum. Observe that this extended version of the problem contains MIN STABLE CUT as 327 328 329 a special case if $\rho = 1$ and for all $uv \in E$ we have s(uv, v) = s(uv, u) = w(uv). 330

The generalization of MIN STABLE CUT is motivated by three considerations. First, the 331 algorithm of Theorem 5 is inefficient because it has to store exact weight values to satisfy 332 the stability constraints; however, it can efficiently store the total weight of the cut. We 333 therefore decouple the contribution of an edge to the size of the cut (given by w) from a 334 contribution of an edge to the stability of its endpoints (given by s). Second, our strategy 335 will be to truncate the values of s so that the DP of the algorithm of Theorem 5 can be run 336 more efficiently. To do this we will first simply divide all stability-weights by an appropriate 337 value. However, a problem we run into if we do this is that the edge uv could simultaneously 338 be one of the heavier edges incident on u and one of the lighter edges incident on v, so it 339 is not clear how we can adjust its weight in a way that minimizes the distortion for both 340 endpoints. As a result it is simpler if we allow edges to contribute different amounts to the 341 stability of their endpoints. In this sense, s(uv, u) is the amount that the edge uv contributes 342

to the stability of u if the edge is cut. Observe that with the new definition, if we set a new stability-weight function for a specific vertex u as $s'(uv, v) = c \cdot s(uv, v)$ for all $v \in N(u)$, that is, if we multiply the stability-weight of all edges incident on u by a constant c and leave all other values unchanged, we obtain an equivalent instance, and this does not affect the stability of other vertices. Finally, the parameter ρ allows us to consider solutions where a vertex is stable if its cut incident edges are at least a $(\frac{1}{2\rho})$ -fraction of its stability degree.

Armed with this intuition we can now explain our approach to obtaining our FPT approximation algorithm. Given an instance of the extended problem, we first adjust the *s* function so that its maximum value is bounded by a polynomial in *n*. We achieve this by dividing s(uv, u) by a value that depends only on $d_s(u)$ and *n*. This allows us to guarantee that near-stable solutions are preserved. Then, given an instance where the maximum value of *s* is polynomially bounded, we apply the technique of [48], using the algorithm of Theorem 5 as a base, to obtain our approximation. We give these separate steps in the Lemmas below.

▶ Lemma 9. There is an algorithm which, given a graph G = (V, E) on n vertices and a stability-weight function $s : E \times V \to \mathbb{N}$ with maximum value S, runs in time polynomial in $n + \log S$ and produces a stability-weight function $s' : E \times V \to \mathbb{N}$ with the following properties: (i) the maximum value of s' is $O(n^2)$ (ii) for all partitions V into $V_0, V_1, i \in \{0, 1\}, v \in V_i$ we have

$$\left(\frac{\sum_{vu\in E, u\in V_{1-i}} s(vu, v)}{d_s(v)}\right) / \left(\frac{\sum_{vu\in E, u\in V_{1-i}} s'(vu, v)}{d_{s'}(v)}\right) \in [1 - 1/n, 1 + 1/n]$$

Using Lemma 9 we can assume that all stability-weights are bounded by n^2 . The most important part is that Lemma 9 guarantees us that almost-optimal solutions are preserved in both directions, as for any cut and for each vertex the ratio of stability weight going to the other side over the total stability-degree of the vertex does not change by more than a factor $(1 + \frac{1}{n})$. Let us now see the second ingredient of our algorithm.

▶ Lemma 10. There is an algorithm which takes as input a graph G = (V, E), a cut-weight function $w : E \to \mathbb{N}$ with maximum W, a stability-weight function $s : E \times V \to \mathbb{N}$ with maximum S, a tree decomposition of G of width tw, and an error parameter $\varepsilon > 0$ and returns a $(1+2\varepsilon)$ -stable solution that has cut-weight at most equal to that of the minimum $(1+\epsilon)$ -stable solution. If $S = O(n^2)$, then the algorithm runs in time $(tw/\varepsilon)^{O(tw)}(n + \log W)^{O(1)}$.

Proof. We use the methodology introduced in [48]. Before we proceed, let us explain that we are actually aiming for an algorithm with running time roughly $(\log n/\varepsilon)^{O(\text{tw})}$. This type of running time implies the time stated in the lemma using a standard Win/Win argument: if tw $\leq \sqrt{\log n}$ then $(\log n)^{O(\text{tw})}$ is $n^{o(1)}$, so the $\log n^{O(\text{tw})}$ factor is absorbed in the $n^{O(1)}$ factor; while if $\log n \leq \text{tw}^2$, then an algorithm running in $(\log n)^{\text{tw}}$ actually runs in $(\text{tw})^{O(\text{tw})}$.

To be more precise, if the given tree decomposition has height H, then we will formulate an algorithm with running time $(H \log S/\varepsilon)^{O(\text{tw})}(n + \log W)^{O(1)}$. This running time achieves parameter dependence $(\log n/\varepsilon)^{O(\text{tw})}$ if we use the fact that $S = O(n^2)$ and a theorem due to [15] which proves that any tree decomposition can be edited (in polynomial time) so that its height becomes $O(\log n)$, without increasing its width by more than a constant factor.

The basis of our algorithm will be the algorithm of Theorem 5, appropriately adjusted to the extended version of the problem. Let us first sketch the modifications to the algorithm of Theorem 5 that we would need to do to solve this more general problem, since the details are straightforward. First, we observe that in solution signatures we would now take into account stability-weights, and signatures would have values going up to S. Second, in Forget nodes, if we are happy with a $(1 + \varepsilon)$ -solution, we would only discard solutions which violate

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this constraint. With these modifications, we can run this exact algorithm to return the minimum $(1 + \varepsilon)$ -stable solution in time $(2S)^{O(\text{tw})}(n + \log W + \log(1/\varepsilon))^{O(1)}$.

The idea is to modify this algorithm so that the DP tables go from size $(2S)^{\text{tw}}$ to roughly ($H \log S$)^{tw}. To do this, we define a parameter $\delta = \frac{\varepsilon}{5H}$. We intend to replace every value xthat would be stored in the signature of a solution in the DP table, with the next larger integer power of $(1 + \delta)$, that is, to construct a DP table where x is replaced by $(1 + \delta)^{\lceil \log_{(1+\delta)} x \rceil}$.

More precisely, the invariant we maintain is the following. Consider a node t of the 393 decomposition at height h, where h = 0 corresponds to leaves. We maintain a collection 394 of solution signatures such that: (i) each signature contains a partition of B_t and for each 395 $v \in B_t$ an integer that is upper-bounded by $\lfloor \log_{(1+\delta)} d_s(v) \rfloor$; (ii) Soundness: for each stored 396 signature there exists a partition of B_t^{\downarrow} which approximately corresponds to it. Specifically, 397 the partition and the signature agree exactly on the assignment of B_t and the total cut-weight; 398 the partition is $(1+2\varepsilon)$ -stable for all vertices of $B_t^{\downarrow} \setminus B_t$; and for each $v \in B_t$, if the signature 399 stores the value x(v) for v, that is, it states that v has approximate stability-weight $(1+\delta)^{x(v)}$ 400 towards its own side in $B_t^{\downarrow} \setminus B_t$, then in the actual partition the stability-weight of v to 401 its own side of $B_t^{\downarrow} \setminus B_t$ is at most $(1+\delta)^h (1+\delta)^{x(v)}$. (iii) Completeness: conversely, for 402 each partition of B_t^{\downarrow} that is $(1 + \varepsilon)$ -stable for all vertices of $B_t^{\downarrow} \setminus B_t$ there exists a signature 403 that approximately corresponds to it. Specifically, the partition and signature agree on the 404 assignment of B_t and the total cut-weight; and for each $v \in B_t$, if the stability-weight of v 405 towards its side of the partition of $B_t^{\downarrow} \setminus B_t$ is y(v), and the signature stores the value x(v), 406 then $(1+\delta)^{x(v)} \le (1+\delta)^h y(v)$. 407

In more simple terms, the signatures in our DP table store values x(v) so that we estimate that in the corresponding solution v has approximately $(1 + \delta)^{x(v)}$ weight towards its own side in B_t^{\downarrow} , that is, we estimate that the DP of the exact algorithm would store approximately the value $(1 + \delta)^{x(v)}$ for this solution. Of course, it is hard to maintain this relation exactly, so we are happy if for a node at height h the "true" value which we are approximating is at most a factor of $(1 + \delta)^h$ off from our approximation.

Now, the crucial observation is that the approximate DP tables can be maintained 414 because our invariant allows the error to increase with the height. For example, suppose 415 that t is a Forget node at height h and let $u \in B_t$ be a neighbor of the vertex v we forget. 416 The exact algorithm would construct the signature of a solution in t by looking at the 417 signature of a solution in its child node, and then adding to the value stored for u the weight 418 s(vu, u) (if u, v are on the same side). Our algorithm will take an approximate signature 419 from the child node, which may have a value at most $(1 + \delta)^{h-1}$ the correct value, add to 420 it s(vu, u) and then, perhaps, round-up the value to an integer power of $(1 + \delta)$. The new 421 approximation will be at most $(1+\delta)^h$ larger than the value that the exact algorithm would 422 have calculated. Similar argumentation holds for Join nodes. Furthermore, in Forget nodes 423 we will only discard a solution if according to our approximation it is not $(1 + 2\varepsilon)$ -stable. 424 We may be over-estimating the stability-weight a vertex has to its own side of the cut by 425 a factor of at most $(1+\delta)^h \leq (1+\frac{\varepsilon}{5H})^H \leq 1+\frac{\varepsilon}{2}$ so if for a signature our approximation 426 says that the solution is not $(1+2\varepsilon)$ -stable, the solution cannot be $(1+\varepsilon)$ -stable, because 427 $(1+\varepsilon)(1+\frac{\varepsilon}{2}) < 1+2\varepsilon$ (for sufficiently small ε). 428

Finally, to estimate the running time, the maximum value we have to store for each vertex in a bag is $\log_{(1+\delta)} S = \frac{\log S}{\log(1+\delta)} \leq O(\frac{\log n}{\delta}) = O(\frac{H \log n}{\varepsilon})$. Using the fact that $H = O(\log n)$ we get that the size of the DP table is $(\log n/\varepsilon)^{O(\text{tw})}$.

⁴³² ► **Theorem 11.** There is an algorithm which, given an instance of MIN STABLE CUT ⁴³³ G = (V, E) with n vertices, maximum weight W, a tree decomposition of width tw, and a

desired error $\varepsilon > 0$, runs in time $(tw/\varepsilon)^{O(tw)}(n + \log W)^{O(1)}$ and returns a cut with the following properties: (i) for all $v \in V$, the total weight of edges incident on v crossing the cut is at least $(1 - \varepsilon) \frac{d_w(v)}{2}$ (ii) the cut has total weight at most equal to the weight of the minimum stable cut.

438

5 Unweighted Min Stable Cut

⁴³⁹ In this section we consider UNWEIGHTED MIN STABLE CUT. We first observe that applying ⁴⁴⁰ Theorem 5 gives a parameter dependence of $\Delta^{O(tw)}$, since W = 1. We then show that this ⁴⁴¹ algorithm is essentially optimal, as the problem cannot be solved in $n^{o(pw)}$ under the ETH.

⁴⁴² ► Corollary 12. There is an algorithm which, given an instance of UNWEIGHTED MIN ⁴⁴³ STABLE CUT with n vertices, maximum degree Δ, and a tree decomposition of width tw, ⁴⁴⁴ returns an optimal solution in time $\Delta^{O(tw)} n^{O(1)}$.

We now first state our hardness result, then describe the
construction of our reduction, and finally go through a series
of lemmas that establish its correctness.

⁴⁴⁸ ► Theorem 13. If the ETH is true then no algorithm can solve
 ⁴⁴⁹ UNWEIGHTED MIN STABLE CUT on graphs with n vertices in
 ⁴⁵⁰ time n^{o(pw)}. Furthermore, UNWEIGHTED MIN STABLE CUT
 ⁴⁵¹ is W[1]-hard parameterized by pathwidth.

To prove Theorem 13 we will describe a reduction from k-452 MULTI-COLORED INDEPENDENT SET, a well-known W[1]-hard 453 problem that cannot be solved in $n^{o(k)}$ time under the ETH [22]. 454 Recall that in this problem we are given a graph G = (V, E)455 with V partitioned into k color classes V_1, \ldots, V_k , each of size 456 n, and we are asked to find an independent set of size k which 457 selects one vertex from each V_i . In the remainder we use m to 458 denote the number of edges of E and assume that vertices of V459 are labeled $v_{(i,j)}, i \in [k], j \in [n]$, where $V_i = \{v_{(i,j)} \mid j \in [n]\}$. 460 Before we proceed, let us give some intuition. Our reduction 461

will rely on a $k \times m$ grid-like construction, where each row represents the selection of a vertex in the corresponding color class of G and each column represents an edge of G. The main

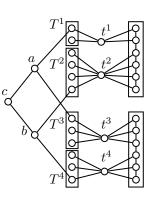


Figure 2 Checker gadget for Theorem 13. On the right two Selector gadgets. This Checker verifies that we have not taken an edge which has endpoints (2,3), hence t^1, t^3 are connected to the first 2 and 3 vertices of the Selectors.

ingredients will be a Selector gadget, which will represent a choice of an index in [n]; a Propagator gadget which will make sure that the choice we make in each row stays consistent throughout; and a Checker gadget which will verify that we did not select the two endpoints of any edge. Each Selector gadget will contain a path on (roughly) n vertices such that any reasonable stable cut will have to cut exactly one edge of the path. The choice of where to cut this path will represent an index in [n] encoding a vertex of G.

In our construction we will also make use of a simple but important gadget which we will 471 call a "heavy" edge. Let $A = n^5$. When we say that we connect u, v with a heavy edge we 472 will mean that we construct A new vertices and connect them to both u and v. The intuitive 473 idea behind this gadget is that the large number of degree two vertices will force u and v to 474 be on different sides of the partition (otherwise too many edges will be cut). We will also 475 sometimes attach leaves on some vertices with the intention of making it easier for this vertex 476 to achieve stability (as its attached leaves will always be on the other side of the partition). 477 Let us now describe our construction step-by-step. 478

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- 1. Construct two "palette" vertices p_0, p_1 and a heavy edge connecting them. Note that all 479 heavy edges we will add will be incident on at least one palette vertex. 480
- **2.** For each $i \in [k], j \in [m]$ construct the following Selector gadget: 481
- 482
- a. Construct a path on n + 1 vertices P_(i,j) and label its vertices P¹_(i,j),..., Pⁿ⁺¹_(i,j).
 b. If j is odd, then add a heavy edge from P¹_(i,j) to p₁ and a heavy edge from Pⁿ⁺¹_(i,j) to p₀. 483
- If j is even, then add a heavy edge from $P_{(i,j)}^1$ to p_0 and a heavy edge from $P_{(i,j)}^{n+1}$ to p_1 . 484
- **c.** Attach 5 leaves to each $P_{(i,j)}^{\ell}$ for $\ell \in \{2,\ldots,n\}$. Attach A + 5 leaves to $P_{(i,j)}^1$ and 485 $P_{(i,i)}^{n+1}$. 486
- **3.** For each $i \in [k], j \in [m-1]$ construct a new vertex connected to all vertices of the paths 487 $P_{(i,j)}$ and $P_{(i,j+1)}$. This vertex is the Propagator gadget. 488
- **4.** For each $j \in [m]$ consider the j-th edge of the original instance and suppose it connects 489 $v_{(i_1,j_1)}$ to $v_{(i_2,j_2)}$. We construct the following Checker gadget (see Figure 2) 490
- a. We construct four vertices $t_j^1, t_j^2, t_j^3, t_j^4$. These are connected to existing vertices as 491 follows: t_j^1 is connected to $\{P_{(i_1,j)}^1, \ldots, P_{(i_1,j)}^{j_1}\}$ (that is, the first j_1 vertices of the path $P_{(i_1,j)}$); t_j^2 is connected to $\{P_{(i_1,j)}^{j_1+1}, \ldots, P_{(i_1,j)}^{n+1}\}$ (that is, the remaining $n+1-j_1$ vertices of $P_{i_1,j}$); similarly, t_j^3 is connected to $\{P_{(i_2,j)}^1, \ldots, P_{(i_2,j)}^{j_2}\}$; and finally t_j^4 is connected to $\{P_{(i_2,j)}^1, \ldots, P_{(i_2,j)}^{j_2}\}$; and finally t_j^4 is connected 492 493 494 to $\{P_{(i_2,j)}^{j_2+1}, \dots, P_{(i_2,j)}^{n+1}\}$. 495
- **b.** We construct four independent sets $T_j^1, T_j^2, T_j^3, T_j^4$ with respective sizes $j_1, n + 1 j_1, j_2, n + 1 j_2$. We connect t_j^1 to all vertices of T_j^1, t_j^2 to T_j^2, t_j^3 to T_j^3 , and t_j^4 to T_j^4 . 496 497 We attach two leaves to each vertex of $T_j^1 \cup T_j^2 \cup T_j^3 \cup T_j^4$. 498
- **c.** We construct three vertices a_j, b_j, c_j . We connect c_j to both a_j and b_j . We connect 499 a_j to an arbitrary vertex of T_j^1 and an arbitrary vertex of T_j^3 . We connect b_j to an 500 arbitrary vertex of T_i^2 and an arbitrary vertex of T_i^4 . 501
- Let L_1 be the number of leaves of the construction we described above and L_2 be the 502 number of degree two vertices which are part of heavy edges. We set $B = L_1 + L_2 + km + km$ 503 k(m-1)(n+1) + m(2n+6).504
- \blacktriangleright Lemma 14. If G has a multi-colored independent set of size k, then the constructed 505 instance has a stable cut of size at most B. 506
- ▶ Lemma 15. If the constructed instance has a stable cut of size at most B, then G has a 507 multi-colored independent set of size k. 508
- **Lemma 16.** The constructed graph has pathwidth O(k). 509

6 Conclusions 510

Our results paint a clear picture of the complexity of MIN STABLE CUT with respect to tw 511 and Δ . As directions for further work one could consider stronger notions of stability such 512 as demanding that switching sets of k vertices cannot increase the cut, for constant k. We 513 conjecture that, since the structure of this problem has the form $\exists \forall_k$, its complexity with 514 respect to treewidth will turn out to be double-exponential in k [49]. Another direction is to 515 consider *hedonic games* where vertices self-partition into an unbounded number of groups. 516 The complexity of finding a stable solution in such games parameterized by $tw + \Delta$ has 517 already been considered by Peters [52], whose algorithm runs in time exponential in Δ^5 tw. 518 Can we bridge the gap between this complexity and the $2^{O(\Delta tw)}$ complexity of MIN STABLE 519 CUT? 520

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711 **A** Omitted Material

712 A.1 Proof of Remark 2

Proof. A tree of diameter at most 3 must be either a star, in which case there is only one feasible solution (up to symmetry); or a double-star, that is a graph produced by taking two stars and connecting their centers. In the latter case, the optimal solution is always to place the two centers on the same side if this is feasible (as otherwise all edges are cut).

717 A.2 Proof of Theorem 3

Proof. We present a reduction from PARTITION similar to that of Theorem 1. Given an instance with values x_1, \ldots, x_n we construct a bipartite graph $K_{2,n}$. To ease presentation, we will call the part of $K_{2,n}$ that contains two vertices the "left" part, and the part that contains the remaining *n* vertices the "right" part. For each $i \in [n]$ we select a vertex of the right part and set the weight of both its incident edges to x_i . We claim that this graph has a stable cut of weight 2*B* if and only if the original instance is a Yes instance.

If there is a partition $S \subseteq [n]$ such that $\sum_{i \in S} x_i = B$, we select the corresponding vertices of the right part and assign to them 0; we assign 1 to the other vertices of the right part; we assign 0 to one vertex of the left part and 1 to the other. This partition is stable, as all vertices have completely balanced neighborhoods. Furthermore, the weight of the cut is 2B.

For the other direction, observe that if both vertices of the left part of $K_{2,n}$ are on the same side of the partition, then all edges will be cut, giving weight 4B. So a stable partition of weight 2B must place these two vertices on different sides. However, these vertices have the same neighbors (with the same edge weights), so if both are stable, their neighborhood must be properly balanced. From this we can infer a solution to the PARTITION instance.

733 A.3 Proof of Theorem 4

Proof. We give a reduction from MAX CUT on graphs of maximum degree 3, which is known to be APX-hard [11]. Given an instance G = (V, E) of MAX CUT we sub-divide each edge of E once, and we attach three leaves to each vertex of V. We claim that if the original instance has a cut of size at least k then the new instance has a stable cut of size at most 3|V| + 2|E| - k.

For one direction, suppose we have a cut of G of size k which partitions V into V_0, V_1 . We 739 use the same partition of V for the new instance. For each leaf, we assign it a value opposite 740 of that of its neighbor. For each degree two vertex which was produced when sub-dividing an 741 edge of E we give it a value that is opposite to that of at least one of its neighbors. Observe 742 that this cut is stable: all leaves are stable; all vertices produced in sub-divisions have degree 743 two and at least one neighbor on the other side; and all vertices of V are adjacent to three 744 leaves on the other side and at most three other vertices (since G is subcubic). The edges 745 cut are: 3|V| edges incident on leaves; 2 edges for each edge of E whose endpoints are on the 746 same side; 1 edge for each cut edge of E. This gives 3|V| + 2|E| - k edges cut overall. 747

For the other direction, suppose we have a stable cut of the new graph. We use the same cut in G and claim that it must cut at least k edges. Indeed, in the new graph any stable cut must cut all 3|V| edges incident on leaves, and at least one of the two edges incident on each degree two vertex. Furthermore, if $e = (u, v) \in E$ and u, v are on the same side of the cut, then both edges in the sub-divided edge e must be cut. We conclude that there must be at least k edges of G with endpoints on different sides of the cut.

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754 A.4 Proof of Theorem 5

Proof. We sketch some of the details, since our algorithm follows the standard dynamic 755 programming method for treewidth. We assume that we are given a nice tree decomposition 756 of width tw for the input graph G = (V, E). For each node t of the decomposition, let $B_t \subseteq V$ 757 be the bag associated with t and $B_t^{\downarrow} \subseteq V$ the set of all vertices of G which appear in bags in 758 the sub-tree rooted in t (that is, the vertices which appear below t in the decomposition). 759 The signature of a solution in node t is defined as a tuple of the following information: (i) a 760 partition of B_t into two sets, which encodes the intersections of B_t with V_0, V_1 (ii) for each 761 $v \in B_t$ an integer value in $\{0, \ldots, d_w(v)\}$, which encodes for each $v \in B_t$ the total weight 762 of its incident edges whose other endpoint is in $B_t^{\downarrow} \setminus B_t$ and on the same side of the cut as 763 v. Our dynamic program stores in each node B_t , for each possible signature s, a value c(s), 764 which is the size of the best cut of B_t^{\downarrow} that is consistent with the signature and is also stable 765 for all vertices of $B_t^{\downarrow} \setminus B_t$. Observe that the total number of possible signatures is at most 766 $2^{|B_t|}(\max d_w(v))^{|B_t|} \leq O(2^{\mathrm{tw}}(\Delta \cdot W)^{\mathrm{tw}+1})$, because $d_w(v)$ is always upper-bounded by $\Delta \cdot W$. 767 Therefore, what remains is to show that we can maintain the dynamic programming tables 768 in time polynomial in their size. If we do this then it's not hard to see that examining the 769 DP table of the root will allow us to find the optimal solution. 770

As mentioned, the basic idea of the algorithm is that for a node t of the decomposition 771 and a signature s, we will maintain the value c(s) if we have the following: (i) there exists a 772 partition of B_t^{\downarrow} into V_0, V_1 that is consistent with s in B_t , stable for all vertices of $B_t^{\downarrow} \setminus B_t$, 773 such that the total weight of cut edges of $G[B_t^{\downarrow}]$ is c(s); (ii) for any other partition of B_t^{\downarrow} 774 that is consistent with s in B_t and stable for all vertices of $B_t^{\downarrow} \setminus B_t$, the total weight of its 775 cut edges of $G[B_{t}^{+}]$ is at least c(s). To clarify what we mean that the partition is consistent 776 with s in B_t , we recall that s specifies a partition of B_t with which the partition must agree; 777 and furthermore s specifies for each $v \in B_t$ its total incident edge weight leading to the same 778 side of the partition in $B_t^{\downarrow} \setminus B_t$ and the actual partition must also agree with these values. 779

Given the above framework, it's now not hard to complete the dynamic programming 780 algorithm. For Leaf nodes, the table contains only the trivial signature, which has value 781 0, since the corresponding bag is empty. For Introduce nodes that add a new vertex v, we 782 consider every signature of the child node and extend it by considering placing v into V_0 or 783 V_1 . Since all neighbors of v in B_t^{\downarrow} are contained in B_t , placing v doesn't change the signature 784 of other vertices and v has 0 weight to $B_t^{\downarrow} \setminus B_t$. For Forget nodes that remove a vertex v, 785 we discard all signatures in which v has more then $d_w(v)/2$ of its incident weight going to 786 its own side (since in such solution v will be unstable) and keep the remaining signatures, 787 updating the weighted information of neighbors of v in B_t . Finally, for Join nodes, we only 788 consider pairs of signatures which agree on the partition of B_t into V_0, V_1 . For each such 789 pair, we can compute the weighted degree of each v towards its side of the partition in 790 $B_t^{\downarrow} \setminus B_t$, by adding the corresponding values in the two signatures. Observe that this doesn't 791 double-count any edge, as edge induced by B_t are taken care of in Forget nodes. 792

793 A.5 Proof of Theorem 8

Proof. We recall that the standard chain of reductions from 3-SAT to 3-SET SPLITTING which establishes that the latter problem is NP-hard produces an instance with size linear in the original formula [35, 42]. We compose these reductions with the reduction of Lemma 7. Suppose we started with a formula with n variables and m clauses (so as an intermediate step we constructed a 3-SET SPLITTING instance with O(n + m) elements and sets). We therefore now have an instance with N = poly(n + m) vertices (since the reduction runs in polynomial

23:19

time), maximum degree $\Delta = O(\log(n+m))$ and pathwidth pw = $O((n+m)/\log(n+m))$. 800 and maximum weight W = poly(n+m). Plugging these relations into the running times of 801 hypothetical algorithms for MIN STABLE CUT we obtain algorithms for 3-SAT running in 802 time $2^{o(n+m)}$ and contradicting the ETH. 803

Proof of Lemma 9 A.6 804

Proof. For $v \in V$ let $S(v) = \max_{u \in N(v)} s(vu, v)$. We define s' as follows: s'(vu, v) =805 $\lfloor \frac{n^2 s(uv,v)}{S(v)} \rfloor$. It is clear that the maximum value of s' is n^2 and that calculations can be 806 carried out in the promised time. So what remains is to prove that for any partition the 80 fraction $\frac{\sum_{vu \in E, u \in V_{1-i}} s(vu,v)}{d_s(v)}$ stays essentially unchanged. Observe that $\frac{n^2 s(uv,v)}{S(v)} \leq s'(vu,v) \leq \frac{n^2 s(uv,v)}{S(v)} + 1$. We therefore have 808

809

$$\frac{n^2 d_s(v)}{S(v)} \le d_{s'}(v) \le \frac{n^2 d_s(v)}{S(v)} + n$$

We also have: 810

$$\frac{n^2 \sum_{vu \in E, u \in V_{1-i}} s(vu, v)}{S(v)} \le \sum_{vu \in E, u \in V_{1-i}} s'(vu, v) \le \frac{n^2 \sum_{vu \in E, u \in V_{1-i}} s(vu, v)}{S(v)} + n$$

In both cases we have used the fact that the degree of v is at most n. Now with some 811 calculation we get: 812

$$\frac{\sum_{vu \in E, u \in V_{1-i}} s(vu, v)}{d_s(v) + \frac{S(v)}{n}} \le \frac{\sum_{vu \in E, u \in V_{1-i}} s'(vu, v)}{d_{s'}(v)} \le \frac{\sum_{vu \in E, u \in V_{1-i}} s(vu, v) + \frac{S(v)}{n}}{d_s(v)}$$

We can now use the fact that $S(v) < d_s(v)$ and that $\frac{1}{1+\frac{1}{2}} > 1 - \frac{1}{n}$. 813 814

Proof of Theorem 11 A.7 815

Proof. We simply put together the algorithms of Lemmas 9 and 10. Fix an $\varepsilon > 0$. Once we 816 execute the algorithm of Lemma 9 the weight of all cuts is preserved (since we do not change 817 w), and a stable cut remains at least $(1 + \varepsilon/2)$ -stable, if n is sufficiently large. We therefore 818 execute the algorithm of Lemma 10 and this will output a $(1 + \varepsilon)$ -stable cut with value at 819 least as small as the minimum stable cut. 4 820

A.8 Proof of Lemma 14 821

Proof. Let $\sigma: [k] \to [n]$ be a function that encodes a multi-colored independent set of G, 822 that is, the set $\{v_{(i,\sigma(i))} \mid i \in [k]\}$ is an independent set. We construct a partition of the new 823 instance as follows: we assign 0 to p_0 , 1 to p_1 , and arbitrary values to the vertices of the 824 heavy edge connecting p_0 to p_1 ; each other vertex that belongs to a heavy edge incident to 825 p_0 (respectively p_1) is assigned 1 (respectively 0); each vertex connected via a heavy edge to 826 p_0 (respectively p_1) is assigned 1 (respectively 0); for each Selector gadget $P_{(i,j)}$ we assign to 827 the first $\sigma(i)$ vertices of the path (that is, the vertices $\{P_{i,j}^1, \ldots, P_{i,j}^{\sigma(i)}\}$) the same value as 828 $P_{i,j}^1$ (that is, 0 if j is odd and 1 if j is even); we assign to the remaining vertices of $P_{(i,j)}$ the 829

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same value as $P_{(i,j)}^{n+1}$; we assign to every leaf the opposite value from that of its neighbor; we assign an arbitrary value to each Propagator vertex. We have now described a partition of all the vertices except of the non-leaf vertices belonging to Checker gadgets.

Before we describe the partition of the Checker gadgets let us establish some basic 833 properties of the partition so far. First, all vertices for which we have given a value are stable, 834 independent of the values we intend to assign to the non-leaf Checker gadget vertices. To see 835 this we note that (i) all leaves have a value different from their neighbors (ii) all degree 2 836 vertices that belong to heavy edges have two neighbors with distinct values (iii) p_0 and p_1 837 have the majority of their neighbors on the other side of the partition (iv) for all non-leaf 838 Selector gadget vertices at least half their neighbors are leaves (which are on the opposite 830 side of the partition) (v) all Propagator vertices have exactly n + 1 neighbors on each side of 840 the partition. The total number of edges cut so far is (i) L_1 edges incident on leaves (ii) L_2 841 edges incident on degree 2 vertices that belong to heavy edges (iii) one internal edge of each 842 path $P_{(i,j)}$ giving km edges in total (iv) half of the 2n+2 edges incident on each Propagator 843 vertex, of which there are k(m-1), giving k(m-1)(n+1) in total. Summing up, we have 844 already cut $L_1 + L_2 + km + k(m-1)(n+1)$ edges, meaning we can still cut m(2n+6) edges. 845 We will describe a stable partition of the Checker gadgets which cuts exactly 2n + 6 edges 846 per gadget (not counting edges incident on leaves, since these are already counted in L_1), 847 and since we have m Checker gadgets this will complete the proof. 848

Consider now the Checker gadget for edge j which connects $v_{(i_1,j_1)}$ to $v_{(i_2,j_2)}$ and without 840 loss of generality assume that j is odd (otherwise the proof is identical with the roles of 0 850 and 1 reversed). We claim that one of the vertices $t_1^1, t_2^2, t_3^3, t_4^4$ must have neighbors on both 851 sides of the partition in the Selector gadgets. To see this, suppose for contradiction that 852 each of these vertices only has neighbors on one side of the partition so far. Then, since t_i^1 is 853 connected to $P_{(i_1,j)}^1$, which has color 0 and t_j^2 is connected to $P_{(i_1,j)}^{n+1}$, which has color 1, and 854 t_j^1 is connected to the first j_1 vertices of $P_{(i_1,j)}$, we conclude that $\sigma(i_1) = j_1$, because the 855 number of vertices of the path $P_{(i_1,j)}$ which have value 0 is $\sigma(i_1)$. With the same argument, 856 we must have $\sigma(i_2) = j_2$, contradicting the hypothesis that σ encodes an independent set. 857

We can therefore assume that one of $t_i^1, t_i^2, t_i^3, t_i^4$ has neighbors on both sides of the 858 partition in the Selector gadgets. Without loss of generality suppose that t_i^1 has this property 859 (the proof is symmetric in other cases). We complete the partition as follows: we assign 860 values to T_i^2, T_i^3, T_i^4 in a way that t_i^2, t_i^3, t_i^4 have the same number of neighbors on each 861 side of the partition and that both neighbors of b_j in T_j^2, T_j^4 have value 0. This is always 862 possible as t_j^2, t_j^4 have a neighbor with value 1 in the Selectors, namely $P_{(i_1,j)}^{n+1}$ and $P_{(i_2,j)}^{n+1}$ 863 We assign colors to T_j^1 in a way that t_j^1 has the same number of neighbors on each side and 864 a_j has two neighbors with distinct values in $T_j^1 \cup T_j^3$. This is always possible as we need 865 to use both values in T_i^1 , because t_i^1 has neighbors with both values in $P_{(i_1,j)}$. We give b_j 866 value 1, c_j value 1 and a_j value 0. This is stable as b_j has two neighbors of value 0, c_j has 867 neighbors with distinct values, and a_j has two neighbors with value 1. Furthermore, vertices 868 in $T_i^1 \cup T_i^2 \cup T_i^3 \cup T_i^4$ are stable because half their neighbors are leaves which are on the 869 other side of the partition, and the neighborhoods of $t_i^1, t_i^2, t_i^3, t_i^4$ are completely balanced, so 870 these vertices can be arbitrarily set. The number of edges cut is half of the edges incident on 871 $t_i^1, t_j^2, t_j^3, t_j^4$, giving 2n+2 edges, plus two edges incident on each of a_j, b_j , giving a total of 872 2n+6 edges. 873

A.9 Proof of Lemma 15

Proof. Suppose we have a stable cut of size at most B. This cut must include all L_1 edges incident on leaves, and at least one edge for each of the L_2 degree two vertices which belong

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to heavy edges. Furthermore, if there is a heavy edge such that both of its endpoints have the same value, the number of edges cut incident on vertices that belong to heavy edges will be at least $L_2 + A$. However, $A = n^5 > km + k(m-1)(n+1) + m(2n+6)$, so we would have a cut of size strictly larger than B. We conclude that in all heavy edges the two endpoints have distinct values. Without loss of generality assume value 0 is given to p_0 and 1 to p_1 . We now observe that:

- 883 **1.** At least one internal edge of each path $P_{(i,j)}$ is cut.
- ⁸⁸⁴ **2.** At least n + 1 edges incident on each Propagator vertex are cut.
- **3.** At least 2n + 6 edges not incident to leaves are cut inside each Checker gadget.

For the first claim, observe that if the endpoints of heavy edges take distinct values, this implies that in each path $P_{(i,j)}$ the first and last vertex have distinct values, so at least one edge of the path must be cut. The second claim is based on the fact that Propagator vertices have degree 2n + 2. For the third claim, observe that $t_j^1, t_j^2, t_j^3, t_j^4$ have 4n + 4 edges incident on them, so at least 2n + 2 of these must be cut in a stable solution. Furthermore, a_j, b_j have degree 3, so at least 2 edges incident on each of these vertices are cut, giving a total of 2n + 6. (Here, we used the fact that $\{t_j^1, t_j^2, t_j^3, t_j^4, a_j, b_j\}$ is an independent set).

⁸⁹³ By the above observations we have that any stable cut must have size at least $L_1 + L_2 + km + k(m-1)(n+1) + m(2n+6) = B$. Furthermore, if a solution cuts more than one edge ⁸⁹⁵ of a path $P_{(i,j)}$, or at least n+2 edges incident on a Propagator, or at least 2n+7 edges ⁸⁹⁶ not incident to leaves in a Checker, then its total size must be strictly larger than B. We ⁸⁹⁷ conclude that our solution must cut exactly one edge inside each Selector, properly balance ⁸⁹⁸ the neighborhoods of all Propagators, and cut 2n+6 edges inside each Checker.

Consider now two consecutive Selector gadgets $P_{(i,j)}$ and $P_{(i,j+1)}$. Since the solution 899 cuts exactly one internal edge of each path, we can assume that the first x vertices of $P_{(i,j)}$ 900 have the same value as $P_{(i,j)}^1$ and the remaining n+1-x have the same value as $P_{(i,j)}^{n+1}$. 901 Similarly, the first y vertices of $P_{(i,j+1)}$ have the same value as $P_{(i,j+1)}^1$. Now, because j, j+1902 have different parities, this means that the Propagator connected to these two paths has n+1-x+y neighbors on the same side as $P_{(i,j)}^{n+1}$. But this implies that x = y. Using the same reasoning we conclude that for all i, j, j', the number of vertices of $P_{(i,j)}$ that share 903 904 905 the value of $P_{(i,j)}^1$ is equal to the number of vertices of $P_{(i,j')}$ that share the value of $P_{(i,j')}^1$. 906 Let $\sigma(i)$ be the number of vertices of $P_{(i,1)}$ which share the value of $P_{(i,1)}^1$. We claim that 907 $\{v_{(i,\sigma(i))} \mid i \in [k]\}$ is an independent set in G. 908

To see this, suppose for contradiction that the *j*-th edge of G connects $v_{(i_1,\sigma(i_1))}$ to 909 $v_{(i_2,\sigma(i_2))}$. We claim that in this case the Checker connected to $P_{(i_1,j)}, P_{(i_2,j)}$ will have at 910 least 2n + 7 cut edges. Indeed, observe that in this case the neighborhoods of $t_i^1, t_i^2, t_i^3, t_i^4$ are 911 all contained on one of the two sides of the partition. Then, either the neighborhood of one of 912 these four vertices is not completely balanced, in which case the cut includes at least 2n + 3913 edges incident on these plus at least 4 edges incident on a_j, b_j ; or the sets $T_j^1, T_j^2, T_j^3, T_j^4$ are 914 also all contained on one of the two sides of the partition and furthermore, $T_i^1 \cup T_i^3$ are on 915 one side and $T_j^2 \cup T_j^4$ are on the other. This implies that a_j, b_j must be on distinct sides of 916 the partition. As a result, no matter where c_j is placed, one of a_j, b_j will have all three of 917 its incident edges cut and as a result at least 2n + 7 edges will be cut in this Checker. We 918 conclude that σ must encode an independent set. 919

920 A.10 Proof of Lemma 16

Proof. We will use the fact that deleting a vertex from a graph can decrease the pathwidth by at most 1, since we can take a path decomposition of the resulting graph and add this

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vertex to all bags. We begin by deleting p_0, p_1 from the graph, as this decreases the pathwidth 923 by at most 2. We will also use the fact that deleting all leaves from a graph can decrease 924 pathwidth by at most 1, since we can take a path decomposition of the resulting graph and, 925 for each leaf, find a bag of this decomposition that contains the leaf's neighbor and insert a 926 copy of this bag immediately after it, adding the leaf. We therefore remove all leaves from 927 the graph, decreasing the pathwidth by at most 1 more. Let H be the resulting graph. We 928 will show that H has pathwidth at most O(k). Observe that in H all heavy edges have 929 disappeared, as their internal vertices became leaves when we deleted p_0, p_1 . 930

For $j \in [m]$ let H_j be the graph induced by the set that contains all vertices of H from Selector gadgets $P_{(i,j)}$ for $i \in [k]$, the (at most 2k) Propagator vertices connected to them, and the Checker gadget for the *j*-th edge. We will construct a path decomposition of H_j with the property that all bags include all Propagator vertices of H_j . If we achieve this then we can make a path decomposition of H by gluing together these decompositions, connecting the last bag of the decomposition of H_j with the first bag of the decomposition of H_{j+1} . Observe that the union of the graphs H_j covers all vertices and edges of H.

To build such a path decomposition of H_j we can remove the 2k Propagators contained in H_j (since we will add them in all bags) and the vertices $t_j^1, t_j^2, t_j^3, t_j^4, a_j, b_j$, decreasing pathwidth by at most 2k + 6. But the resulting graph is a union of paths and isolated vertices, so has pathwidth 1. We can therefore build a decomposition of H_j – and by extension of H of width 2k + O(1).