Parameterized Max Min Feedback Vertex Set

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9 – Abstract -

Given a graph G and an integer k, MAX MIN FVS asks whether there exists a minimal set of vertices 10 of size at least k whose deletion destroys all cycles. We present several results that improve upon 11 the state of the art of the parameterized complexity of this problem with respect to both structural 12 and natural parameters. 13

Using standard DP techniques, we first present an algorithm of time $tw^{O(tw)}n^{O(1)}$, significantly 14 generalizing a recent algorithm of Gaikwad et al. of time $vc^{O(vc)}n^{O(1)}$, where tw, vc denote the input 15 graph's treewidth and vertex cover respectively. Subsequently, we show that both of these algorithms 16 are essentially optimal, since a $vc^{o(vc)}n^{O(1)}$ algorithm would refute the ETH. 17

With respect to the natural parameter k, the aforementioned recent work by Gaikwad et al. 18 claimed an FPT branching algorithm with complexity $10^k n^{O(1)}$. We point out that this algorithm is 19

incorrect and present a branching algorithm of complexity $9.34^k n^{O(1)}$. 20

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1 Introduction

We consider a MaxMin version of the well-studied feedback vertex set problem where, given 32 a graph G = (V, E) and a target size k, we are asked to find a set of vertices S with the 33 following properties: (i) every cycle of G contains a vertex of S, that is, S is a feedback 34 vertex set (ii) no proper subset of S is a feedback vertex set, that is, S is minimal (iii) 35 $|S| \geq k$. Although much less studied than its minimization cousin, MAX MIN FVS has 36 recently attracted attention in the literature as part of a broader study of MaxMin versions 37 of standard problems, such as MAX MIN VERTEX COVER and UPPER DOMINATING SET. 38 The main motivation of this line of research is the search for a deeper understanding of the 39 performance of simple greedy algorithms: given an input, we would like to compute what is 40 the worst possible solution that would still not be improvable by a simple heuristic, such as 41 removing redundant vertices. Nevertheless, over recent years MaxMin problems have been 42



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found to possess an interesting combinatorial structure of their own and have now become an object of more widespread study (we survey some such results below).

It is not surprising that MAX MIN FVS is known to be NP-complete and is in fact 45 significantly harder than MINIMUM FVS in most respects, such as its approximability or its 46 amenability to algorithms solving special cases. Given the problem's hardness, in this paper 47 we focus on the parameterized complexity of MAX MIN FVS, since parameterized complexity 48 is one of the main tools for dealing with computational intractability¹. We consider two 49 types of parameterizations: the natural parameter k; and the parameterization by structural 50 width measures, such as treewidth. In order to place our results into perspective, we first 51 recall the current state of the art. 52

Previous work. MAX MIN FVS was first shown to be NP-complete even on graphs of 53 maximum degree 9 by Mishra and Sikdar [32]. This was subsequently improved to NP-54 completeness for graphs of maximum degree 6 by Dublois et al. [20], who also present an 55 approximation algorithm with ratio $n^{2/3}$ and proved that this is optimal unless P=NP. A 56 consequence of the polynomial time approximation algorithm of [20] was the existence of 57 a kernel of order $O(k^3)$, which implied that the problem is fixed-parameter tractable with 58 respect to the natural parameter k. Some evidence that this kernel size may be optimal was 59 later given by [2]. We note also that the problem can easily be seen to be FPT parameterized 60 by treewidth (indeed even by clique-width) as the property that a set is a minimal feedback 61 vertex set is MSO₁-expressible, so standard algorithmic meta-theorems apply. 62

Given the above, the state of the art until recently was that this problem was known 63 to be FPT for the two most well-studied parameterizations (by k and by treewidth), but 64 concrete FPT algorithms were missing. An attempt to advance this state of the art and 65 systematically study the parameterized complexity of the problem was recently undertaken 66 by Gaikwad et al. [23], who presented exact algorithms for this problem running in time 67 $10^k n^{O(1)}$ and $vc^{O(vc)} n^{O(1)}$, where vc is the input graph's vertex cover, which is known to be 68 a (much) more restrictive parameter than treewidth. Leveraging the latter algorithm, [23] 69 also present an FPT approximation scheme which can $(1 - \varepsilon)$ -approximate the problem in 70 time $2^{O(vc/\varepsilon)}n^{O(1)}$, that is, single-exponential time with respect to vc. 71

⁷² **Our contribution.** We begin our work by considering MAX MIN FVS parameterized by ⁷³ the most standard structural parameter, treewidth. We observe that, using standard DP ⁷⁴ techniques, we can obtain an algorithm running in time $tw^{O(tw)}n^{O(1)}$, that is, slightly super-⁷⁵ exponential with respect to treewidth. Note that this slightly super-exponential running ⁷⁶ time is already present in the $vc^{O(vc)}n^{O(1)}$ algorithm of [23], despite the fact that vertex ⁷⁷ cover is a much more severely restricted parameter. Hence, our algorithm generalizes the ⁷⁸ algorithm of [23] without a significant sacrifice in the running time.

⁷⁹ Despite the above, our main contribution with respect to structural parameters is not ⁸⁰ our algorithm for parameter treewidth, but an answer to a question that is naturally posed ⁸¹ given the above: can the super-exponential dependence present in both our algorithm and ⁸² the algorithm of [23] be avoided, that is, can we obtain a $2^{O(tw)}n^{O(1)}$ algorithm? We show ⁸³ that this is likely impossible, as the existence of an algorithm running in time vc^{o(vc)}n^{O(1)} is ⁸⁴ ruled out by the ETH (and hence also the existence of a tw^{o(tw)}n^{O(1)} algorithm). This result ⁸⁵ is likely to be of wider interest to the parameterized complexity community, where one of

¹ Throughout the paper we assume that the reader is familiar with the basics of parameterized complexity, as given in standard textbooks [16].

the most exciting developments of the last fifteen years has arguably been the development 86 of the Cut&Count technique (and its variations). One of the crowning achievements of this 87 technique is the design of single-exponential algorithms for connectivity problems – indeed an 88 algorithm running in time $3^{tw}n$ for MINIMUM FVS is given in [17]. It has therefore been of 89 much interest to understand which connectivity problems admit single-exponential algorithms 90 using such techniques (see e.g. [7] and the references within). Curiously, even though several 91 cousins of MINIMUM FEEDBACK VERTEX SET have been considered in this context (such as 92 SUBSET FEEDBACK VERTEX SET and RESTRICTED EDGE-SUBSET FEEDBACK EDGE SET). 93 for MAX MIN FVS, which is arguably a very natural variant, it was not known whether a 94 single-exponential algorithm for parameter treewidth is possible. Our work thus adds to the 95 literature a natural connectivity problem where Cut&Count can provably not be applied 96 (under standard assumptions). Interestingly, our lower bound even applies to the case of 97 vertex cover, which is rare, as most problems tend to become rather easy under this very 98 restrictive parameter. 99

We then move on to consider the parameterization of the problem by k, the size of the 100 sought solution. Observe that a $k^{O(k)}n^{O(1)}$ algorithm can easily be obtained by the results 101 sketched above and a simple win/win argument: start with any minimal feedback vertex 102 set S of the given graph G: if $|S| \ge k$ we are done; if not, then $tw(G) \le k$ and we can solve 103 the problem using the algorithm for treewidth. It is therefore only interesting to consider 104 algorithms with a single-exponential dependence on k. Such an algorithm, with complexity 105 $10^k n^{O(1)}$, was claimed by [23]. Unfortunately, as we explain in detail in Section 5, this 106 algorithm contains a significant $flaw^2$. 107

Our contribution is to present a corrected version of the algorithm of [23], which also 108 achieves a slightly better running time of $9.34^k n^{O(1)}$, compared to the $10^k n^{O(1)}$ of the (flawed) 109 algorithm of [23]. Our algorithm follows the same general strategy of [23], branching and 110 placing vertices in the forest or the feedback vertex set. However, we have to rely on a more 111 sophisticated measure of progress, because simply counting the size of the selected set is not 112 sufficient. We therefore measure our progress towards a restricted special case we identify, 113 namely the case where the undecided part of the graph induces a linear forest. Though 114 this special case sounds tantalizingly simple, we show that the problem is still NP-complete 115 under this restriction, but obtaining an FPT algorithm is much easier. We then plug in our 116 algorithm to a more involved branching procedure which aims to either reduce instances into 117 this special case, or output a certifiable minimal feedback vertex set of the desired size. 118

Finally, motivated by the above we note that a blocking point in the design of algorithms 119 for MAX MIN FVS seems to be the difficulty of the extension problem: given a set S_0 , 120 decide if a minimal fvs S that extends S_0 exists. As mentioned, Casel et al. [13] showed 121 that this problem is W[1]-hard parameterized by $|S_0|$. Intriguingly, however, it is not even 122 known if this problem is in XP, that is, whether it is solvable in polynomial time for fixed 123 k. We show that this is perhaps not surprising, as obtaining a polynomial time algorithm 124 in this case would imply the existence of a polynomial time algorithm for the notorious 125 k-IN-A-TREE problem: given k terminals in a graph, find an induced tree that contains them. 126 Since this problem was solved for k = 3 in a breakthrough by Chudnovsky and Seymour [15], 127 the complexity for fixed $k \ge 4$ has remained a big open problem (for example [29] states 128 that "Solving it in polynomial time for constant k would be a huge result"). It is therefore 129 perhaps not surprising that obtaining an XP algorithm for the extension problem for minimal 130 feedback vertex sets of fixed size is challenging, since such an algorithm would settle another 131

² Saket Saurabh, one of the authors of [23], confirmed so via private communication with Michael Lampis.

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¹³² long-standing problem.

Other relevant work. As mentioned, MAX MIN FVS is an example of a wider class of MaxMin problems which have recently attracted much attention in the literature, among the most well-studied of which are MAXIMUM MINIMAL VERTEX COVER [2, 11, 12, 34] and UPPER DOMINATING SET (which is the standard name for MAXIMUM MINIMAL DOMINATING SET) [1, 3, 5, 21]. Besides these problems, MaxMin or MinMax versions of cut and separations problems [19, 26, 30], knapsack problems [22, 24], matching problems [14], and coloring problems [6] have also been studied.

The question of which connectivity problems admit single-exponential algorithms param-140 eterized by treewidth has been well-studied over the last decade. As mentioned, the main 141 breakthrough was the discovery of the Cut&Count technique [16], which gave randomized 142 $2^{O(tw)}n^{O(1)}$ algorithms for many such problems, such as STEINER TREE, HAMILTONICITY, 143 CONNECTED DOMINATING SET and others. Follow-up work also provided deterministic 144 algorithms with complexity $2^{O(tw)}n^{O(1)}$ [8]. It is important to note that the discovery of 145 these techniques was considered a surprise at the time, as the conventional wisdom was that 146 connectivity problems probably require $tw^{O(tw)}$ time to be solved [31]. Naturally, the topic 147 was taken up with much excitement, in an attempt to discover the limits of such techniques, 148 including problems for which they cannot work. In this vein, [33] gave a meta-theorem 149 capturing many tractable problems, and also an example problem that cannot be solved in 150 time $2^{o(tw^2)}n^{O(1)}$ under the ETH. Several other examples of connectivity problems which 151 require slightly super-exponential time parameterized by treewidth are now known [4, 27], 152 with the most relevant to our work being the feedback vertex set variants studied in [7, 10], 153 as well as the digraph version of the minimum feedback vertex set problem (parameterized 154 by the treewidth of the underlying graph) [9]. The results of our paper seem to confirm the 155 intuition that the Cut&Count technique is rather fragile when applied to feedback vertex set 156 problems, since in many variations or generalizations of this problem, a super-exponential 157 dependence on treewidth is inevitable (assuming the ETH). 158

¹⁵⁹ **2** Preliminaries

Throughout the paper, we use standard graph notation [18]. Moreover, for vertex $u \in V(G)$, 160 let deg_X(u) denote its degree in $G[X \cup \{u\}]$, where $X \subseteq V(G)$. A multigraph G is a graph 161 which is permitted to have multiple edges with the same end nodes, thus, two vertices may 162 be connected by more than one edge. Given a (multi)graph G, where $e = \{u, v\} \in E(G)$ is a 163 not necessarily unique edge connecting distinct vertices u and v, the contraction of e results 164 in a new graph G' such that $V(G') = (V(G) \setminus \{u, v\}) \cup \{w\}$, while for each edge $\{u, x\}$ or 165 $\{v, x\}$ in E(G), there exists an edge $\{w, x\}$ in E(G'). Any edge $e \in E(G)$ not incident to 166 u, v also belongs to E(G'). If u and v were additionally connected by an edge apart from e, 167 then w has a self loop. 168

For $i \in \mathbb{N}$, [i] denotes the set $\{1, \ldots, i\}$. A feedback vertex set S of G is minimal if and only if $\forall s \in S$, $G[(V(G) \setminus S) \cup \{s\}]$ contains a cycle, namely a *private cycle* of s [21]. Lastly, we make use of a weaker version of ETH, which states that 3-SAT cannot be determined in time $2^{o(n)}$, where n denotes the number of the variables [28].

Finally, note that the proofs of all lemmas and theorems marked with (\star) are in the appendix.

175 **3** Treewidth Algorithm

Here we will present an algorithm for MAX MIN FVS parameterized by the treewidth of the input graph, arguably the most well studied structural parameter. As a corollary of the lower bound established in Section 4, it follows that the running time of the algorithm is essentially optimal under the ETH.

▶ **Theorem 1.** (*) Given an instance $\mathcal{I} = (G, k)$ of MAX MIN FVS, as well as a nice tree decomposition of G of width tw, there exists an algorithm that decides \mathcal{I} in time tw^{O(tw)}n^{O(1)}.

Proof sketch. The main idea lies on performing standard dynamic programming on the 182 nodes of the nice tree decomposition. To this end, for each node, we will consider all the 183 partial solutions, corresponding to (not necessarily minimal) feedback vertex sets of the 184 subgraph induced by the vertices of the nodes of the corresponding subtree of the tree 185 decomposition. We will try to extend such a feedback vertex set to a minimal feedback 186 vertex set of G, that respects the partial solution. For each partial solution, it is imperative 187 to identify, apart from the vertices of the bag that belong to the feedback vertex set, the 188 connectivity of the rest of the vertices in the potential final forest. In order to do so, we 189 consider a coloring indicating that, same colored vertices of the forest of the partial solution, 190 should be in the same connected component of the potential final forest. Moreover, we keep 191 track of which vertices of the forest of the partial solution are connected via paths containing 192 forgotten vertices. Finally, for each vertex of the feedback vertex set of the partial solution, 193 we need to identify one of its private cycles. To do so, we first guess the connected component 194 of the potential final forest that "includes" such a private cycle, while additionally keeping 195 track of the number of edges between the vertex and said component. 196

197 **4** ETH Lower Bound

In this section we present a lower bound on the complexity of solving MAX MIN FVS parameterized by vertex cover. Starting from a 3-SAT instance on n variables, we produce an equivalent MAX MIN FVS instance on a graph of vertex cover $O(n/\log n)$, hence any algorithm solving the latter problem in time $vc^{o(vc)}n^{O(1)}$ would refute the ETH. As already mentioned, vertex cover is a very restrictive structural parameter, and due to known relationships of vertex cover with more general parameters, such as treedepth and treewidth, analogous lower bounds follow for these parameters. We first state the main theorem.

Theorem 2. There is no $vc^{o(vc)}n^{O(1)}$ time algorithm for MAX MIN FVS, where vc denotes the size of the minimum vertex cover of the input graph, unless the ETH fails.

Before we present the details of our construction, let us give some high-level intuition. Our goal is to "compress" an *n*-variable instance of 3-SAT, into an MAX MIN FVS instance with vertex cover roughly $n/\log n$. To this end, we will construct $\log n$ choice gadgets, each of which is supposed to represent $n/\log n$ variables, while contributing only $n/\log^2 n$ to the vertex cover. Hence, each vertex of each such gadget must be capable of representing roughly $\log n$ variables.

Our choice gadget may be thought of as a variation of a bipartite graph with sets L, R, of size roughly $n/\log^2 n$ and \sqrt{n} respectively. If one naively tries to encode information in such a gadget by selecting which vertices of $L \cup R$ belong in an optimal solution, this would only give 2 choices per vertex, which is not efficient enough. Instead, we engineer things in a way that all vertices of $L \cup R$ must belong in the forest in an optimal solution, and the interesting

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choice for a vertex ℓ of L is with which vertex r of R we will place ℓ in the same component. In this sense, a vertex ℓ of L has |R| choices, which is sufficient to encode the assignment for $\Omega(\log n)$ variables. What remains, then, is to add machinery that enforces this basic setup, and then clause checking vertices which for each clause verify that the clause is satisfied by testing if an ℓ vertex that represents one of its literals is in the same component as an rvertex that represents a satisfying assignment for the clause.

4.1 Preliminary Tools

Before we present the construction that proves Theorem 2, we give a variant of 3-SAT from which it will be more convenient to start our reduction, as well as a basic force gadget that we will use in our construction to ensure that some vertices must be placed in the forest in order to achieve an optimal solution.

²²⁹ **3P3SAT.** We first define a constrained version of 3-SAT, called 3-PARTITIONED-3-SAT ²³⁰ (3P3SAT for short), and establish its hardness under the ETH.

3-PARTITIONED-3-SAT

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Input: A formula ϕ in 3-CNF form, together with a partition of the set of its variables V into three disjoint sets V_1, V_2, V_3 , with $|V_i| = n$, such that no clause contains more than one variable from each V_i .

Task: Determine whether ϕ is satisfiable.

Theorem 3. (*) 3-PARTITIONED-3-SAT cannot be decided in time $2^{o(n)}$, unless the ETH fails.

Force gadgets. We now present a gadget that will ensure that a vertex u must be placed 235 in the forest in any solution that finds a large minimal feedback vertex set. In the remainder, 236 suppose that A is a sufficiently large value (we give a concrete value to A in the next section). 237 When we say that we attach a force gadget to a vertex u, we introduce A + 1 new vertices 238 $\bar{u}, u_1, \ldots, u_A$ to the graph such that the vertices u_i form an independent set, while there 239 exist edges $\{u, u_i\}, \{\bar{u}, u_i\}$ for all $i \in [A]$, as well as the edge $\{u, \bar{u}\}$. We refer to vertex \bar{u} as 240 the *qadget twin* of u, while the rest of the vertices will be referred to as the *qadget leaves* of 241 u. Intuitively, the idea here is that if u (or \bar{u}) is contained in a minimal feedback vertex set, 242 then none of the A leaves of the gadget can be taken, because these vertices cannot have 243 private cycles. Hence, setting A to be sufficiently large will allow us to force u to be in the 244 forest. 245

246 4.2 Construction

Let ϕ be a 3P3SAT instance of m clauses, where $|V_p| = n$ for $p \in [3]$ and, without loss of 247 generality, assume that n is a power of 4 (this can be achieved by adding dummy variables 248 to the instance if needed). Partition each variable set V_p to log n subsets V_p^q of size at most 249 $\lceil \frac{n}{\log n} \rceil$, where $p \in [3]$ and $q \in \lfloor \log n \rfloor$. Let $L = \lceil \frac{n}{\log^2 n} \rceil$. Moreover, partition each variable 250 subset V_p^q into 2L subsets $\mathcal{V}_{\alpha}^{p,q}$ of size as equal as possible, where $\alpha \in [2L]$. In the following 251 we will omit p and q and instead use the notation \mathcal{V}_{α} , whenever p, q are clear from the 252 context. Define $R = \sqrt{n}$, $A = n^2 + m$ and $k = (4AL + AR + 2LR) \cdot 3\log n + m$. We will 253 proceed with the construction of a graph G such that G has a minimal feedback vertex set 254 of size at least k if and only if ϕ is satisfiable. 255

For each variable subset V_p^q , we define the choice gadget graph G_p^q as follows:

- $= V(G_p^q) = \{\ell_i, \ell'_i, \kappa_i, \lambda_i \mid i \in [2L]\} \cup \{r_j \mid j \in [R]\} \cup \{m_j^i \mid i \in [2L], j \in [R]\},$
- all the vertices ℓ_i, ℓ'_i and r_j have an attached force gadget,
- ²⁵⁹ for $i \in [2L]$, $N(\kappa_i) = M_i \cup \{\lambda_i\}$ and $N(\lambda_i) = M_i \cup \{\kappa_i\}$, where $M_i = \{m_i^i \mid j \in [R]\}$,
- for $i \in [2L]$ and $j \in [R]$, m_j^i has an edge with ℓ_i, ℓ'_i and r_j .
- We will refer to the set $X_i = M_i \cup \{\kappa_i, \lambda_i\}$ as the *choice set i*.

Intuitively, one can think of this gadget as having been constructed as follows: we start with a complete bipartite graph that has on one side the vertices ℓ_i and on the other the vertices r_j ; we subdivide each edge of this graph, giving the vertices m_j^i ; for each $i \in [2L]$ we add $\ell'_i, \kappa_i, \lambda_i$, connect them to the same m_j^i vertices that ℓ_i is connected to and connect κ_i to λ_i ; we attach force gadgets to all ℓ_i, ℓ'_i, r_j . Hence, as sketched before, the idea of this gadget is that the choice of a vertex ℓ_i is to pick an r_j with which it will be in the same component in the forest, and this will be expressed by picking one m_i^i that will be placed in the forest.



(a) Part of the construction concerning X_i . (b) The whole choice gadget graph G_p^q .

Figure 1 Black vertices have a force gadget attached.

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Each vertex ℓ_{α} of G_p^q is used to represent a variable subset $\mathcal{V}_{\alpha}^{p,q} \subseteq V_p^q$ containing at most

$$|\mathcal{V}^{p,q}_{\alpha}| \le \left\lceil \frac{|V^{p,q}|}{2L} \right\rceil \le \left\lceil \frac{\left\lceil \frac{n}{\log n} \right\rceil}{2L} \right\rceil = \left\lceil \frac{n}{2L\log n} \right\rceil \le \left\lceil \frac{n}{2\frac{n}{\log^2 n}\log n} \right\rceil = \left\lceil \frac{\log n}{2} \right\rceil = \frac{\log n}{2}$$

variables of ϕ , where we used Theorem 3.10 of [25], for f(x) = x/2L. We fix an arbitrary one-to-one mapping so that every vertex m_{β}^{α} , where $\beta \in [R]$, corresponds to a different assignment for this subset, which is dictated by which element of M_{α} was not included in the final feedback vertex set. Since $R = 2^{\log n/2} = \sqrt{n}$, the size of M_{α} is sufficient to uniquely encode all the different assignments of \mathcal{V}_{α} .

Finally, introduce vertices c_i , where $i \in [m]$, each of which corresponds to a clause of ϕ , 276 and define graph G as the union of these vertices as well as all graphs G_p^q , where $p \in [3]$ 277 and $q \in [\log n]$. For a clause vertex c, add an edge to ℓ_{α} when \mathcal{V}_{α} contains a variable 278 appearing in c, as well as to the vertices r_{β} for each such ℓ_{α} , such that $m_{\beta}^{\alpha} \notin S$ corresponds 279 to an assignment of \mathcal{V}_{α} satisfying c, where S denotes a minimal feedback vertex set. Notice 280 that since no clause contains multiple variables from the same variable set V_i , due to the 281 refinement of the partition of the variables, it holds that all the variables of a clause will be 282 represented by vertices appearing in distinct G_n^q . 283

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284 4.3 Correctness

Having constructed the previously described instance (G, k) of MAX MIN FVS, it remains to prove its equivalence with the initial 3-PARTITIONED-3-SAT instance.

Lemma 1. (\star) Any minimal feedback vertex set S of G of size at least k has the following properties:

(i) S does not contain any vertex attached with a force gadget or its gadget twin,

290 (ii) $|M_i \setminus S| \leq 1$, for every G_p^q and $i \in [2L]$,

- 291 (iii) $|S \cap V(G_p^q)| = 4AL + AR + 2LR$,
- where $p \in [3]$ and $q \in [\log n]$.

▶ Lemma 2. (*) If ϕ has a satisfying assignment, then G has a minimal feedback vertex set of size at least k.

▶ Lemma 3. (*) If G has a minimal feedback vertex set of size at least k, then ϕ has a satisfying assignment.

- ▶ Lemma 4. (*) $vc(G) = O(n/\log n)$.
- ²⁹⁸ Using the previous lemmas, we can prove Theorem 2.

Proof of Theorem 2. Let ϕ be a 3-PARTITIONED-3-SAT formula. In polynomial time, we can construct a graph G such that, due to Lemmas 2 and 3, deciding if G has a minimal feedback vertex set of size at least k is equivalent to deciding if ϕ has a satisfying assignment. In that case, assuming there exists a vc^{o(vc)} algorithm for MAX MIN FVS, one could decide 3-PARTITIONED-3-SAT in time

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$$\operatorname{vc}^{o(\operatorname{vc})} = \left(\frac{n}{\log n}\right)^{o(n/\log n)} = 2^{(\log n - \log \log n)o(n/\log n)} = 2^{o(n)},$$

³⁰⁵ which contradicts the ETH due to Theorem 3.

Since for any graph G it holds that $tw(G) \le vc(G)$, the following corollary holds.

Corollary 4. There is no $tw^{o(tw)}n^{O(1)}$ time algorithm for MAX MIN FVS, where tw denotes the treewidth of the input graph, unless the ETH fails.

5 Natural Parameter Algorithm

In this section we will present an FPT algorithm for MAX MIN FVS parameterized by the natural parameter, i.e. the size of the maximum minimal feedback vertex set k. The main theorem of this section is the following.

Theorem 5. MAX MIN FVS can be solved in time $9.34^k n^{O(1)}$.

Structure of the Section. In Section 5.1 we define the closely related ANNOTATED MMFVS problem, and prove that it remains NP-hard, even on some instances of specific form, called *path restricted instances*. Subsequently, we present an algorithm dealing with this kind of instances, which either returns a minimal feedback vertex set of size at least k or concludes that this is a No instance of ANNOTATED MMFVS. Afterwards, in Section 5.2, we solve MAX MIN FVS by producing a number of instances of ANNOTATED MMFVS and utilizing the previous algorithm, therefore proving Theorem 5.

Oversight of [23]. The algorithm of [23] performs a branching procedure which marks 321 vertices as either belonging in the feedback vertex set or the remaining forest. The flaw is 322 that the algorithm ceases the branching once k vertices have been identified as vertices of 323 the feedback vertex set. However, this is not correct, since deciding if a given set S_0 can be 324 extended into a minimal feedback vertex set $S \supseteq S_0$ is NP-complete and even W[1]-hard 325 parameterized by $|S_0|$ [13]. Hence, identifying k vertices of the solution is not, in general, 326 sufficient to produce a feasible solution and the algorithm of [23] is incomplete, because it 327 does not explain how the guessed part of the feedback vertex set can be extended into a 328 feasible minimal solution. 329

330 5.1 Annotated MMFVS and Path Restricted Instances

First, we define the following closely related problem, denoted by ANNOTATED MMFVS for
 short.

ANNOTATED MAXIMUM MINIMAL FEEDBACK VERTEX SET **Input:** A graph G = (V, E), disjoint sets $S, F \subseteq V$ where $S \cup F$ is a feedback vertex set of G, as well as an integer k. **Task:** Determine whether there exists a minimal feedback vertex set S' of G of size $|S'| \ge k$ such that $S \subseteq S'$ and $S' \cap F = \emptyset$.

Remarks. Notice that if F is not a forest, then the corresponding instance always has a negative answer. For the rest of this section, let $U = V(G) \setminus (S \cup F)$. Moreover, let $H = \{s \in S \mid \deg_F(s) \ge 2 \text{ and } \deg_U(s) \le 1\}$ denote the set of good vertices of S. An *interesting path* of G[U] is a connected component of G[U] such that for every vertex ubelonging to said component, it holds that $\deg_{F \cup U}(u) = 2$. If every connected component of G[U] is an interesting path, then this is a *path restricted instance*. Furthermore, given instance \mathcal{I} , let ammfvs(\mathcal{I}) be equal to 1 if it is a Yes instance and 0 otherwise.

Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS. We will present an algorithm that either returns a minimal feedback vertex set $S' \subseteq S \cup U$ of G of size at least k or concludes that this is a No instance of ANNOTATED MMFVS. Notice that ANNOTATED MMFVS remains NP-hard even on such instances, as dictated by Theorem 6. Therefore, we should not expect to solve path restricted instances of ANNOTATED MMFVS in polynomial time.

Theorem 6. (\star) ANNOTATED MMFVS is NP-hard on path restricted instances, even if all the paths are of length 2.

We proceed by presenting the main algorithm of this subsection, which will be essential in proving Theorem 5.

▶ Theorem 7. (*) Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS, and let g denote the number of its good vertices. There is an algorithm running in time $O(3^{k-g}n^{O(1)})$ which either returns a minimal feedback vertex set $S' \subseteq S \cup U$ of G of size at least k or concludes that \mathcal{I} is a No instance of ANNOTATED MMFVS.

Proof sketch. The main idea of the algorithm lies on the fact that we can efficiently handle instances where either k = 0 or $S = \emptyset$. Towards this, we will employ a branching strategy that, as long as S remains non empty, new instances with reduced k are produced. Prior to performing branching, we first observe that we can efficiently deal with the good vertices.

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Afterwards, by employing said branching strategy, in every step we decide which vertex will be counted towards the k required, thereby reducing parameter k on each iteration. If at some point k = 0 or $S = \emptyset$, it remains to decide whether this comprises a viable solution S'. Notice that S' may not be a solution for the annotated instance, since even if $|S'| \ge k$, it does not necessarily hold that $S' \supseteq S$.

5.2 Algorithm for Max Min FVS

We start by presenting a high level sketch of the algorithm for MAX MIN FVS. The starting 366 point is a minimal feedback vertex set S_0 of G. Note that such a set can be obtained 367 in polynomial time, while if it is of size at least k, we are done. Therefore, assume that 368 $|S_0| < k$. Then, assuming there exists a minimal feedback vertex set S^* , where $|S^*| \ge k$ and 369 $F^* = V(G) \setminus S^*$, we will guess $S_0 \cap S^*$, thereby producing instances $\mathcal{I}_0 = (G, S_0 \cap S^*, S_0 \cap F^*, k)$ 370 of ANNOTATED MMFVS. Subsequently, we will establish a number of safe reduction rules, 371 which do not affect the answer of the instances. We will present a measure of progress μ , 372 which guarantees that if an instance $\mathcal{I} = (G, S, F, k)$ of ANNOTATED MMFVS has $\mu(\mathcal{I}) \leq 1$, 373 then G has a minimal feedback vertex set $S' \subseteq S \cup U$ of size at least k, and employ a 374 branching strategy which, given \mathcal{I}_i , will produce instances $\mathcal{I}_{i+1}^1, \mathcal{I}_{i+1}^2$ of lesser measure of 375 progress, such that \mathcal{I}_i is a Yes instance if and only if at least one of $\mathcal{I}_{i+1}^1, \mathcal{I}_{i+1}^2$ is also a Yes 376 instance. If we can no further apply our branching strategy, and the measure of progress 377 remains greater than 1, then it holds that \mathcal{I} is a path restricted instance and Theorem 7 378 applies. 379

Measure of progress. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS. We define as $\mu(\mathcal{I}) = k + cc(F) - g - p$ its measure of progress, where

- cc(F) denotes the number of connected components of F,
- $g_{333} = g$ denotes the number of good vertices of S,
- $_{384}$ \square *p* denotes the number of interesting paths of G[U].
- It holds that if $\mu(\mathcal{I}) \leq 1$, then the underlying MAX MIN FVS instance has a positive answer, which does not necessarily respect the constraints dictated by the annotated version.
- **Lemma 5.** (★) Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, where $\mu(\mathcal{I}) \leq 1$. Then, G has a minimal feedback vertex set $S' \subseteq S \cup U$ of size at least k.

Reduction rules. In the following, we will describe some reduction rules which do not affect
 the answer of an instance of ANNOTATED MMFVS, while not increasing its measure of
 progress.

³⁹² ► Lemma 6. (*) Let G = (V, E) be a (multi)graph and $uv \in E(G)$. Then, G is acyclic if ³⁹³ and only if G/uv is acyclic.

Rule 1. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, $u, v \in F$ and $uv \in E$. Then, replace \mathcal{I} with $\mathcal{I}' = (G', S, F', k)$, where G' = G/uv occurs from the contraction of uand v into w, while $F' = (F \cup \{w\}) \setminus \{u, v\}$.

³⁹⁷ **Rule 2.** Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, $u \in U$ and ³⁹⁸ deg_{F\cupU}(u) = 0. Then, replace \mathcal{I} with $\mathcal{I}' = (G - u, S, F, k)$.

Rule 3. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, $u \in U$ and 399 $\deg_{F\cup U}(u) = 1$, while $v \in N(u) \cap (F \cup U)$. Then, replace \mathcal{I} with $\mathcal{I}' = (G', S, F', k)$, 400 where G' = G/uv occurs from the contraction of u and v into w, while $F' = (F \cup \{w\}) \setminus \{v\}$ 401 if $v \in F$, and F' = F otherwise. 402

▶ Lemma 7. (\star) Applying rules 1, 2 and 3 does not change the outcome of the algorithm and 403 does not increase the measure of progress. 404

After exhaustively applying the aforementioned rules, it holds that $\forall u \in U, \deg_{F \cup U}(u) \geq$ 405 2, i.e. G[U] is a forest containing trees, all the leaves of which have at least one edge to F. 406 Moreover, G[F] comprises an independent set. We proceed with a branching strategy that 407 produces instances of ANNOTATED MMFVS of reduced measure of progress. If at some 408 point $\mu \leq 1$, then Lemma 5 can be applied. 409

Branching strategy. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, on 410 which all of the reduction rules have been applied exhaustively, thus, it holds that a) $\forall u \in U$, 411 $\deg_{F\cup U}(u) \ge 2$ and b) F is an independent set. 412

Define $u \in U$ to be an *interesting* vertex if $\deg_{F \cup U}(u) \geq 3$. As already noted, G[U] is a 413 forest, the leaves of which all have an edge towards F, otherwise Rule 3 could still be applied. 414 Consider a root for each tree of G[U]. For some tree T, let v be an interesting vertex at 415 maximum distance from the corresponding root, i.e. v is an interesting vertex of maximum 416 height. Notice that such a tree cannot be an interesting path. We branch depending on 417 whether u is in the feedback vertex set or not. Towards this end, let $S' = S \cup \{v\}$ and 418 $F' = F \cup \{v\}$, while $\mathcal{I}_1 = (G, S', F, k)$ and $\mathcal{I}_2 = (G, S, F', k)$. It holds that \mathcal{I} is a Yes instance 419 if and only if at least one of $\mathcal{I}_1, \mathcal{I}_2$ is a Yes instance, while if G[F'] contains a cycle, \mathcal{I}_2 is a 420 No instance and we discard it. We replace \mathcal{I} with the instances \mathcal{I}_1 and \mathcal{I}_2 . 421

 \blacktriangleright Lemma 8. (*) The branching strategy produces instances of reduced measure of progress, 422 without reducing the number of good vertices. 423

Complexity. Starting from an instance (G, k) of MAX MIN FVS, we produce a minimal 424 feedback vertex set S_0 of G in polynomial time. If $|S_0| \ge k$, we are done. Alternatively, we 425 produce instances of ANNOTATED MMFVS by guessing the intersection of S_0 with some 426 minimal feedback vertex set of G of size at least k. Let $\mathcal{I} = (G, S, F, k)$ be one such instance. 427 It holds that $\mu(\mathcal{I}) \leq k + c$, where c = cc(F), therefore the branching will perform at most 428 k+c steps. Notice that, at any step of the branching procedure, the number of good vertices 429 never decreases. Now, consider a path restricted instance $\mathcal{I}' = (G', S', F', k)$ resulting from 430 branching starting on \mathcal{I} , on which branching, exactly ℓ times a vertex was placed in the 431 feedback vertex set, therefore $|S'| - |S| = \ell$. There are at most $\binom{k+c}{\ell}$ different such instances, 432 each of which has at least ℓ good vertices, thus Theorem 7 requires time at most $3^{k-\ell}n^{O(1)}$. 433 Since $0 \leq \ell \leq k + c$, and there are at most $\binom{k}{c}$ different instances \mathcal{I} , the algorithm runs in 434 time $9.34^k n^{O(1)}$, since 435

$$\sum_{c=0}^{k} \binom{k}{c} \sum_{\ell=0}^{k+c} \binom{k+c}{\ell} 3^{k-\ell} = 3^{k} \sum_{c=0}^{k} \binom{k}{c} \sum_{\ell=0}^{k+c} \binom{k+c}{\ell} 3^{-\ell} = 3^{k} \sum_{c=0}^{k} \binom{k}{c} \binom{4}{3}^{k+c}$$

$$= 4^{k} \sum_{c=0}^{k} \binom{k}{c} \binom{4}{3}^{c} = 4^{k} \binom{7}{3}^{k} \le 9.34^{k}.$$

$$= 4 \sum_{c=0}^{37} ($$

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6 The Extension Problem

⁴⁴⁰ In this section we consider the following extension problem:

	MINIMAL FVS EXTENSION
441	Input: A graph $G = (V, E)$ and a set $S \subseteq V$.
	Task: Determine whether there exists $S^* \supseteq S$ such that S^* is a minimal feedback vertex
442	set of G .

⁴⁴³ Observe that this is a special case of ANNOTATED MMFVS, since we essentially set ⁴⁴⁴ $F = \emptyset$ and do not care about the size of the produced solution, albeit with the difference that ⁴⁴⁵ now we will not focus on the case where $V \setminus S$ is already acyclic. This extension problem ⁴⁴⁶ was already shown to be W[1]-hard parameterized by |S| by Casel et al. [13]. One question ⁴⁴⁷ that was left open, however, was whether it is solvable in polynomial time for fixed |S|, that ⁴⁴⁸ is, whether it belongs in the class XP.

Though we do not settle the complexity of the extension problem for fixed k, we provide evidence that obtaining a polynomial time algorithm would be a challenging task, because it would imply a similar algorithm for the k-IN-A-TREE problem. In the latter, we are given a graph G and a set T of k terminals and are asked to find a set T^* such that $T \subseteq T^*$ and $G[T^*]$ is a tree [15, 29].

▶ **Theorem 8.** *k*-IN-A-TREE parameterized by *k* is fpt-reducible to MINIMAL FVS EXTENSION parameterized by the size of the given set.

Proof. Consider an instance G = (V, E) of k-IN-A-TREE, with terminal set T. Let $T = \{t_1, \ldots, t_k\}$. We add to the graph k - 1 new vertices, s_1, \ldots, s_{k-1} and connect each s_i to t_i and to t_{i+1} , for $i \in [k-1]$. We set $S = \{s_1, \ldots, s_{k-1}\}$. This completes the construction. Clearly, this reduction preserves the value of the parameter.

To see correctness, suppose first that a tree $T^* \supseteq T$ exists in G. We set $S_1 = S \cup (V \setminus T^*)$ 460 in the new graph. S_1 is a feedback vertex set, because removing it from the graph leaves T^* , 461 which is a tree. S_1 contains S. Furthermore, if S_1 is not minimal, we greedily remove from it 462 arbitrary vertices until we obtain a minimal feedback vertex set S_2 . We claim that S_2 must 463 still contain S. Indeed, each vertex s_i , for $i \in [k-1]$ has a private cycle, since its neighbors 464 $t_i, t_{i+1} \in T^*$. For the converse direction, if there exists in the new graph a minimal feedback 465 vertex set S^* that contains S, then the remaining forest $F^* = V \setminus S^*$ must contain T, since 466 each vertex of S must have a private cycle in the forest, and vertices of S have degree 2. 467 Furthermore, all vertices of T must be in the same component of F^* , because to obtain a 468 private cycle for s_i , we must have a path from t_i to t_{i+1} in F^* , for all $i \in [k-1]$. Therefore, 469 in this case we have found an induced tree in G that contains all terminals. 470

471 **7** Conclusions and Open Problems

We have precisely determined the complexity of MAX MIN FVS with respect to structural 472 parameters from vertex cover to treewidth as being slightly super-exponential. One natural 473 question to consider would then be to examine if the same complexity can be achieved when 474 the problem is parameterized by clique-width. Regarding the complexity of the extension 475 problem for sets of fixed size k, we have shown that this is at least as hard as the well-known 476 (and wide open) k-IN-A-TREE problem. Barring a full resolution of this question, it would 477 also be interesting to ask if the converse reduction also holds, which would prove that the 478 two problems are actually equivalent. 479

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A Proofs for Section 3 (Treewidth Algorithm)

Theorem 1. Given an instance $\mathcal{I} = (G, k)$ of MAX MIN FVS, as well as a nice tree decomposition of G of width tw, there exists an algorithm that decides \mathcal{I} in time tw^{O(tw)}n^{O(1)}.

⁵⁸³ **Proof.** The main idea lies on performing standard dynamic programming on the nodes of ⁵⁸⁴ the nice tree decomposition. For a node t, let B_t denote its bag, and $B_t^{\downarrow} \supseteq B_t$ denote the ⁵⁸⁵ union of the bags in the subtree rooted at t.

Let $S^* \subseteq V$ be a minimal feedback vertex set of G, where $F^* = V \setminus S^*$ and $G[F^*]$ is a 586 forest. For each $u \in S^*$, it holds that there exists a set of vertices $T_u \subseteq F^*$ such that $G[T_u]$ 587 is a tree and $G[\{u\} \cup T_u]$ is not acyclic, as u has a private cycle containing at least two of its 588 neighbors. Our goal is, for each node t, to build all partial solutions S, where $S \subseteq B_t^{\downarrow}$ is a 589 feedback vertex set of $G[B_t^{\dagger}]$ and for each $u \in S \setminus B_t$, its neighboring vertices in its private 590 cycle belong to B_{\star}^{\downarrow} . By considering all the partial solutions of the root node, and extending 591 them appropriately, we can eventually determine the maximum minimal feedback vertex set 592 of the input graph G. 593

More precisely, for each partial solution S of a node t, let S^* be a minimal feedback vertex set of G respecting S, in the sense of $S^* \supseteq S$ and $(V \setminus S^*) \supseteq (B_t^{\downarrow} \setminus S)$ (note that such an S^* does not necessarily exist). We keep the following information:

⁵⁹⁷ the set $S \cap B_t$ as well as the size of S,

which vertices of S have private cycle in $G[B_t^{\downarrow}]$,

⁵⁹⁹ information regarding the connectivity of the forest $G[B_t^{\downarrow}]$: a coloring of $B_t \setminus S$, such that ⁶⁰⁰ if 2 vertices share the same color, then they belong to the same connected component of ⁶⁰¹ $G[V \setminus S^*]$,

information regarding the private cycle of the vertices of $S \cap B_t$: a coloring of all $u \in S \cap B_t$ which matches the color of the connected component T_u of $V \setminus S^*$, where $G[T_u \cup \{u\}]$ is not acyclic.

Note that we need at most tw + 1 different colors, as we cannot have more that tw + 1connected components appearing in a bag and we can reuse the colors. We will keep these colors in a table C.

For the vertices of the partial solution, we also need to consider whether they have 608 found both their neighbors in the private cycle or not. To do so, for a vertex $u \in S$ colored 609 c, we will distinguish between two cases. First, consider the case where there exist two 610 vertices $v_1, v_2 \in N(u)$ such that, $v_1, v_2 \in B_t^{\downarrow} \setminus B_t$ belong to the same connected component 611 of $G[V \setminus S^*]$ and $C[v_1] = C[v_2] = C[u]$ when we considered the bags of nodes t_1, t_2 where 612 $B_{t_1} \supseteq \{u, v_1\}$ and $B_{t_2} \supseteq \{u, v_2\}$ respectively. For the second case, no such two vertices have 613 been found yet. Consequently, we need to remember the number $i \leq 1$ of same colored 614 neighbors of u in $B_t^{\downarrow} \setminus B_t$ that belong to a connected component T of the forest $G[V \setminus S^*]$ 615 that has vertices in B_t (i.e. $T \cap B_t \neq \emptyset$). We will store this information in a table D by 616 setting D[u] = 2 in the first case and D[u] = i in the second case, for each $u \in S \cap B_t$ that 617 belongs to the partial solution. 618

Moreover, it is imperative that we keep information regarding the connectivity of the forest vertices that appear in B_t , since otherwise cycles might be formed when we consider Introduce or Join Nodes. In particular, when considering a node t, we want to remember the subsets of vertices $T \subseteq B_t \setminus S$ such that, all $u \in T$ have the same color, all $u \in T$ are in the same connected component in $G[B_t^{\downarrow} \setminus S]$, and G[T] is disconnected. We will call such subsets as *interesting*.

In order to store this information, we employ a second coloring on the vertices, kept in a table F. In particular, let all vertices belonging to the same interesting subset share the

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same color, while vertices of different interesting subsets are distinguished by different colors. We are going to use colors f_i , for $i \in [tw + 1]$, for these components. Lastly, we will also use an extra color f_0 to distinguish all the vertices of B_t that are not included in any interesting component (including the vertices of $S \cap B_t$).

All of the previously described information will be kept in a tuple, each one of which represents a (partial) solution, affiliated with a node t of the tree decomposition. In particular, each tuple is of the form $s = \{S \cap B_t, |S|, C, D, F\}$, where C, F are tables defined on the vertices of B_t and D table defined on vertices of $S \cap B_t$. Note that, if there exist two tuples s_1 and s_2 , differing only on the cardinality of the partial solutions, it suffices to only keep the one of the largest size. Now we will explain how we deal with the different kind of nodes in the tree decomposition.

⁶³⁸ Leaf Nodes. Since the bags of Leaf Nodes are empty, it follows that we keep an empty ⁶³⁹ partial solution and all the tables C, D and F are also empty.

Introduce Nodes. Let t be an Introduce Node, where t' is its child node and u is the newly introduced vertex. We will build all the partial solutions of t by considering the partial solutions of t' and all possibilities for vertex u. In particular, notice that, for any partial solution S of t, $S' = S \setminus \{u\}$ corresponds to a partial solution for t'. Assume that for the partial solution S' we have stored the tuple $s' = \{X', |S'|, C', D', F'\}$, where $X' = S' \cap B_{t'}$, for t'. We build the tuple for S by considering all cases for the vertex u.

First we consider the case where u belongs to the partial solution, i.e. $u \in S$, and has a private cycle using vertices of color c. Note that the values of C', D' and F' must remain the same for all vertices $v \in B_{t'}$ because u is included in the partial solution. So, for the tables C, D and F it suffices to extend them to C', D' and F' by setting C[u] = c, D[u] = 0 and $F[u] = f_0$ respectively. In particular, we create the tuple $s = \{X' \cup \{u\}, |S'| + 1, C', D', F'\}$ for t. Notice that D[u] = 0, since u has no neighbors in $B_t^{\downarrow} \setminus B_t$.

Now we consider the case where $u \notin S$ and it is colored c. Note that, if there exists 652 vertex $v \in N(u) \cap (B_t \setminus S)$ such that $C[v] \neq c$, then we discard this solution, since it should 653 hold that C[u] = C[v], otherwise we will use two colors for the same connected component 654 of the final forest. Also, if u has at least two neighbors $v_1, v_2 \in N(u) \cap B_t$ such that v_1, v_2 655 are in the same component of $G[B_{t'} \setminus S]$ or $F'[v_1] = F'[v_2] \neq f_0$, then $G[B_t^{\downarrow} \setminus S]$ contains a 656 cycle and we discard this solution. If none of the previous hold, then this is a valid partial 657 solution. We build the tuple $s = \{X, |S|, C, D, F\}$ for this solution as follows. For the tables 658 C and D note that, for any vertex $v \in B_{t'}$, since $u \notin S$, D[v] = D'[v] and C[v] = C'[v]. For 659 u, we just set C[u] = c. We also need to modify the table F accordingly. Notice that, for 660 the vertices v of $B_{t'}$ which do not belong to N(u), it suffices to set F[v] = F'[v], since the 661 introduction of u does not create any extra interesting components involving those vertices. 662 Also, the vertices v of S always have $F[v] = f_0$. It remains to determine the value of F for 663 the vertices belonging to $N(u) \setminus S$, for which there are two cases. 664

Case 1. For all $v \in N(u) \setminus S$, C'[v] = c and $F'[v] = f_0$. In this case, there is no interesting 665 component $T \subseteq B_{t'} \setminus S$ that includes any $v \in N(u) \setminus S$. Also the addition of u does not 666 create such a component. Therefore we set $F[u] = f_0$ and F[v] = F'[v] for all $v \in N(u) \setminus S$. 667 **Case 2.** There is at least one vertex $v \in N(u) \setminus S$ such that $F[v] \neq f_0$. Note that, if there 668 are more than one such vertices, then they must belong to different components of $G[B_t^{\downarrow} \setminus S]$ 669 as otherwise we have discarded this solution. In this case, the modification we need to make 670 is to change the color of table F of all vertices that can be reached by u. In particular, let 671 $L_u = \{f_i \mid f_i = F'[v] \neq f_0, \text{ for a vertex } v \in N(u)\}$ be the list of colors different than f_0 672

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that appear in the neighborhood of u when we consider the table F'. Here, we select a color $f \in L_u$ and we set F[v] = f for all vertices $v \in B_{t'}$ such that $F'[v] \in L_u$ and all vertices colored f_0 that belong in the same component as u in $G[B_t \setminus S]$.

Join Nodes. Let t be a Join Node and t_1 and t_2 be its two children. Note that, for any partial 676 solution S for t, $S_1 = S \cap B_{t_1}^{\downarrow}$ and $S_2 = S \cap B_{t_2}^{\downarrow}$ are partial solutions for t_1 and t_2 respectively. 677 Also, in the tuples $s_1 = \{S_1 \cap B_{t_1}, |S_1|, C_1, D_1, F_1\}$ and $s_2 = \{S_2 \cap B_{t_2}, |S_2|, C_2, D_2, F_2\}$ 678 that we have been stored for S_1 and S_2 in t_1 and t_2 respectively, we must have $S_1 \cap B_{t_1} =$ 679 $S_2 \cap B_{t_2} = S \cap B_t = X$ and $C_1[v] = C_2[v]$, for all $v \in B_t$. Therefore, we can create all partial 680 solutions of t by considering the partial solutions of t_1 and t_2 that respect those constraints. 681 Now, assume that we have two tuples $s_1 = \{X, |S_1|, C, D_1, F_1\}$ and $s_2 = \{X, |S_2|, C, D_2, F_2\}$, 682 for partial solutions S_1 and S_2 for t_1 and t_2 respectively. We want to create a partial 683 solution S for t only if S_1 and S_2 do not result in a cycle in $G[B_t^{\downarrow} \setminus (S_1 \cup S_2)]$. Since S_1 684 and S_2 are partial solutions of t_1 and t_2 respectively, such a cycle must use vertices in both 685 $B_{t_1}^{\downarrow} \setminus (S_1 \cup B_{t_1})$ and $B_{t_2}^{\downarrow} \setminus (S_2 \cup B_{t_2})$. Additionally, note that such a cycle may appear 686 only if at least two vertices $v_1, v_2 \in B_t$, where $C[v_1] = C[v_2]$, belong in different connected 687 components in $G[B_t \setminus X]$ and in interesting components in both t_1 and t_2 (i.e. $F_1[v_1] = F_1[v_2]$ 688 and $F_2[v_1] = F_2[v_2]$). In that case, we discard such a solution. Alternatively, it is a valid one. 689 If the solution is valid, we need to create the tables D and F. For any vertex $v \in X$, 690 we set $D[v] = \min\{2, D_1[v] + D_2[v]\}$. To see that this is a correct value for D[v] first recall 691 that the maximum value of D[u] is 2. Also assume that the color we have set for v is c. If 692 $D_1[v] = 2$ or $D_2[v] = 2$ then we have already found the two needed neighbors so obviously 693 $D[v] = \min\{2, D_1[v] + D_2[v]\}$. Otherwise, $D_1[v] \neq 1$ and $D_2[v] \neq 1$. Here, the correct value 694 is $D_1[v] + D_2[v]$ since these vertices must belong in the same connected component as colored 695 c vertices that remain in B_t . 696

Now, we need to create the table F. Since we have the tables F_1 and F_2 , and also the colors for the vertices of $G[B_t \setminus X]$ we can build the table F in tw^{O(1)}.

Finally, regarding the size of the partial solution, that is $|S| = |S_1| + |S_2| - |X|$, since the vertices of X are present in both S_1 and S_2 .

Forget Nodes. Let t be a Forget Node, where t' denotes its child node and u the forgotten 701 vertex. Note that any partial solution for t can be constructed by a partial solution of t'. 702 Therefore, we construct the partial solutions for t as follows. Let $s' = \{X', |S'|, C', D', F'\}$ 703 be a tuple representing a partial solution S' for node t'. If u is included in X', then we need 704 to verify whether it has found at least 2 of its neighbors which are included in its private 705 cycle in the potential final solution. To do so, we first define the set U as follows. If C[u] = c, 706 we set $U = \{v \in (N(u) \cap B_{t'}) \setminus X' \mid C'[v] = c\}$. Now, if $D[u] + |U| \ge 2$, then we have 707 a valid partial solution for t and we construct a tuple $s = \{X' \setminus \{u\}, |S'|, C, D, F\}$ where 708 C[v] = C'[v] for all $v \in B_t$, D[v] = D'[v] for all $v \in X' \setminus \{u\}$ and F[v] = F'[v] for all $v \in B_t$. 709 Otherwise, D[u] + |U| < 2 and we discard this tuple. 710

In the case that $u \notin X'$, we need to consider the interesting components before and after its removal. As we have mentioned, we want all the vertices in $B_t \setminus X'$ that share the same color in table C to belong in the same component in the potential final forest. Because of that we need to consider several cases. Let C'[u] = c and U_c be the connected component of $G[B'_t \setminus X']$ that u belongs in.

⁷¹⁶ **Case 1.** For all vertices $v \in B_{t'} \setminus (X \cup \{u\})$, it holds that $C'[v] \neq C'[u]$, i.e. u is the only c⁷¹⁷ colored vertex of $B_{t'} \setminus X'$. In this case, there is no connected component of $B_t \setminus X'$ colored ⁷¹⁸ c, and no interesting component is affected, thus F[v] = F'[v], for all $v \in B_t$. However,

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we need to modify the table D'. For the vertices $v \in X$ such that $C'[v] \neq c$, it holds that D[v] = D'[v] as their same colored forgotten neighbors remain the same. The same holds for the vertices $v \in X$ such that C[v] = c and D'[v] = 2 as they already their neighbors in their private cycle. However, for any vertex $v \in X$ colored c that has D'[v] < 2, we need to find a new component colored c for its private cycle. Therefore, for these vertices, we set D[v] = 0as we have no color c connected component at the moment.

Case 2. There is at least one vertex $v \in B_{t'} \setminus (X \cup \{u\})$, such that C'[v] = C'[u], while 725 $U_c = \{u\}$. Note that, if $F'[u] = f_0$ then u does not belong in any interesting component of 726 $B_{t'} \setminus X$. Therefore, we discard this tuple as u should be connected to the other c colored 727 vertices of $B_{t'} \setminus (X \cup \{u\})$ in the final forest. Consequently, we can assume that $F'[u] \neq f_0$. 728 Now, let $U'_c \subseteq B_{t'}$ be the set $\{v \in B_{t'} \mid F'[v] = F'[u]\}$. We need to consider two cases, a) 729 when all the vertices of $U'_c \setminus \{u\}$ are in the same connected component in $G[B_t \setminus X']$ and b) 730 when they are not. In case a), we set $F[v] = f_0$ for all vertices $v \in U'_c \setminus \{u\}$ as they do not 731 need any forgotten vertices in order to maintain connectivity between them. If $U'_c \setminus \{u\}$ is 732 not a connected component, then we set $F[v] = F'[v] \neq f_0$ for all $G[B_{t'} \setminus X]$ as removing u 733 does not change the fact that $U'_c \setminus \{u\}$ is still an interesting component of $B_t \setminus X$. 734

⁷³⁵ **Case 3.** There is at least one vertex $v \in B_{t'} \setminus (X \cup \{u\})$, such that C'[v] = C'[u], while ⁷³⁶ $U_c \supset \{u\}$. Now we consider two cases, $F'[u] = f_0$ and $F'[u] \neq f_0$.

⁷³⁷ **Case 3.a.** $F'[u] \neq f_0$. In this case, we know that all the vertices of $v \in U_c$ have F'[v] = F'[u]. ⁷³⁸ Also, there are vertices $v \notin U_c$ such that F'[v] = F'[u]. Therefore, even if we remove u, the ⁷³⁹ other vertices of U_c still belongs in the interesting component colored F'[u]. Finally the ⁷⁴⁰ removal of u does not change the other interesting components. Thus, F[v] = F'[v] for all ⁷⁴¹ $v \in B_t$.

⁷⁴² **Case 3.b.** $F'[u] = f_0$. Here we need to consider the connectivity of $G[U_c \setminus \{u\}]$ in order to ⁷⁴³ decide the values in F. If $G[U_c \setminus \{u\}]$ is connected then we do not need to change the colors ⁷⁴⁴ of F' for any vertex in B_t . On the other hand, if $G[U_c \setminus \{u\}]$ is not connected then $U_c \setminus \{u\}$ ⁷⁴⁵ comprises a new interesting component in B_t . Therefore, for every vertex v of $U_c \setminus \{u\}$ we ⁷⁴⁶ set F[v] = f, where f is a color that does not appear in F'. Also we keep the same values ⁷⁴⁷ for all other vertices in B_t .

Finally, for both cases 2 and 3, we need to create a new table D. For the same reasons as in case 1, for the vertices $v \in S$ such that $C[v] \neq c$ or D[v] = 2, we set D[v] = D'[v]. Also, for vertices $v \in S$, such that C[v] = C[u] and D'[v] < 2, we need to check whether $u \in N(v)$ rot not. If $u \notin N(v)$ we set D[v] = D'[v] otherwise D[v] = D'[v] + 1.

Now we consider the running time. First we calculate the number of different partial 752 solutions for each node. Observe that for each vertex of a bag we have two cases, since it is 753 either included in the (partial) solution or not. Also, we have tw + 1 different choices per 754 vertex, for the tables C and F. Finally, for each vertex in the solution we have three choices 755 for the table D. In total, we have O(tw) choices per vertex. Therefore, we keep at most 756 $tw^{O(tw)}$ tuples for each node of the tree decomposition. Now, notice that in the dynamic 757 programming part of the algorithm, we can create all the tuples for Introduce and Forget 758 Nodes in time $T \cdot |V|^{O(1)}$ where T is the number of tuples we have stored for the child of the 759 node we consider. Therefore, we can compute all tuples for these nodes in $tw^{O(tw)}|V|^{O(1)}$ 760 time. For the Join Nodes, in the worst case, we many need to consider all pairs s_1 , s_2 of 761 tuples where s_1 and s_2 are tuples corresponding to the first and second child of the Join 762 Node respectively. However, as all the other calculations remain polynomial to the number 763 of vertices, the time required to compute the tuples for this node is again $tw^{O(tw)}|V|^{O(1)}$. 764 Therefore, the total running time is $tw^{O(tw)}$. 765

B Proofs for Section 4 (ETH Lower Bound)

Theorem 3. 3-PARTITIONED-3-SAT cannot be decided in time $2^{o(n)}$, unless the ETH fails.

Proof. Let ϕ be a 3-SAT formula of m clauses, where V denotes the set of its variables and |V| = n. We will construct an equivalent instance ϕ' of 3-PARTITIONED-3-SAT as follows:

For every variable $x \in V$, introduce variables $x_i \in V_i$, for $i \in [3]$.

For every clause $x \lor y \lor z$ of ϕ , introduce a clause $x_1 \lor y_2 \lor z_3$ in ϕ' . In an analogous way, for every clause $x \lor y$ of ϕ , introduce a clause $x_1 \lor y_2$ in ϕ' .

Introduce clauses $\neg x_1 \lor x_2$, $\neg x_2 \lor x_3$ and $\neg x_3 \lor x_1$ in ϕ' . Note that these clauses are all satisfied if and only if variables x_1, x_2 and x_3 share the same assignment, i.e. either all are true or false.

⁷⁷⁷ Let $V' = V_1 \cup V_2 \cup V_3$. Notice that this is a valid 3-PARTITIONED-3-SAT instance, since ⁷⁷⁸ $|V_i| = n$ and in none of the m + 3n clauses of ϕ' variables belonging to the same V_i appear. ⁷⁷⁹ It holds that ϕ is satisfiable if and only if ϕ' is satisfiable:

If ϕ is satisfied by some assignment $f: V \to \{T, F\}$, then consider the assignment $f': V' \to \{T, F\}$, where $f'(x_i) = f(x)$, for $i \in [3]$ and $x \in V$. This is a satisfying assignment for ϕ' .

⁷⁸⁵ If ϕ' is satisfied by some assignment $f': V' \to \{T, F\}$, then it holds that $f'(x_1) = f'(x_2) = f'(x_3)$. Then, consider the assignment $f: V \to \{T, F\}$ where $f(x) = f(x_i)$, for ⁷⁸⁵ $x \in V$. This is a satisfying assignment for ϕ .

Lastly, assume there exists a $2^{o(|V_i|)}$ algorithm deciding whether ϕ' is satisfiable. Then, since $|V_i|$ is equal to the number of variables of ϕ , 3-SAT could be decided in $2^{o(n)}$, thus the ETH fails. Consequently, unless the ETH is false, there is no $2^{o(n)}$ algorithm deciding if ϕ' is satisfiable, where $n = |V_i|$.

Lemma 1. Any minimal feedback vertex set S of G of size at least k has the following properties:

(i) S does not contain any vertex attached with a force gadget or its gadget twin,

793 (ii) $|M_i \setminus S| \leq 1$, for every G_p^q and $i \in [2L]$,

794 (iii) $|S \cap V(G_p^q)| = 4AL + AR + 2LR$,

where $p \in [3]$ and $q \in [\log n]$.

Proof. Let S be a minimal feedback vertex set of size $|S| \ge k > (4L + R) \cdot 3A \log n$. Let ube a vertex attached with a force gadget, and \bar{u} its gadget twin.

For the first statement, suppose that $u, \bar{u} \in S$. In that case, $S \setminus \{\bar{u}\}$ remains a feedback vertex set, thus S cannot be minimal. On the other hand, if one of u, \bar{u} belongs to S, then $|S| \leq |G| - (A + 1)$, since S cannot include the rest of the vertices of the corresponding force gadget, due to minimality. However, for the defined A and sufficiently large n, this leads to a contradiction, since

$$(4L+R) \cdot 3A \log n \le |G| - A - 1 \iff (4L+R) \cdot 3A \log n \le m + (8L + 4AL + 2R + AR + 2L(2+R)) 3 \log n - A - 1 \iff n^2 \le (12L + 2R + 2LR) 3 \log n - 1 = O\left(\frac{n\sqrt{n}}{\log n}\right)$$

⁸⁰⁷ Consequently, $u, \bar{u} \notin S$, for any vertex u attached with a force gadget.

For the second statement, let G_p^q for some $p \in [3]$ and $q \in [\log n]$, and $Y_i = S \cap X_i$, for choice set X_i , where $i \in [2L]$. Since S does not contain any vertices attached with a force

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gadget, it must contain at least R-1 vertices of M_i . If not, there exists a $\ell_i, m_j^i, \ell'_i, m_{j'}^i$ cycle. Therefore, $|M_i \setminus S| \le 1$.

Lastly, S should contain an additional vertex per choice set, since a $\kappa_i, \lambda_i, m_j^i$ cycle remains otherwise. Hence, $|Y_i| \ge R$. Suppose that $|Y_i| > R$. In that case, if $M_i \subseteq Y_i$, then Y_i contains at least one of κ_i and λ_i . However, $S' = S \setminus {\{\kappa_i, \lambda_i\}}$ remains a feedback vertex set, thus S is not minimal. Alternatively, Y_i contains both κ_i, λ_i and all but one element of M_i . However, $S' = S \setminus {\{\lambda_i\}}$ remains a feedback vertex set, thus S is not minimal.

Since S includes A vertices per force gadget and exactly R vertices per choice set, property (iii) follows.

▶ Lemma 2. If ϕ has a satisfying assignment, then G has a minimal feedback vertex set of size at least k.

Proof. Assume that ϕ has a satisfying assignment $f: V \to \{T, F\}$. For each set of variables V_p^q , consider the corresponding G_p^q . For each vertex ℓ_{α} in G_p^q , which represents a subset $\mathcal{V}_{\alpha} \subseteq V_p^q$, there exists a β such that m_{β}^{α} corresponds to the restriction of f to \mathcal{V}_{α} . Moreover, each variable $x \in V_p^q$ is uniquely represented by some vertex ℓ_{α} in G_p^q . Let S be a set of size k containing:

all the A gadget leaves per force gadget,

all the $2L \cdot 3 \log n$ vertices κ_i ,

⁸²⁸ = $m_{\beta'}^{\alpha}$, with $\beta' \neq \beta$, for each G_p^q and each subset $\mathcal{V}_{\alpha} \subseteq V_p^q$, where m_{β}^{α} corresponds to the ⁸²⁹ restriction of f to \mathcal{V}_{α} ,

all clause vertices c_1, \ldots, c_m .

Claim. S is a feedback vertex set: Since S contains all the clause vertices c_i , the only possible 831 remaining cycles concern vertices in the same G_p^q . Since S contains all the gadget leaves per 832 force gadget, all the vertices attached with a force gadget do not belong to S. All λ vertices 833 have a single neighbor, hence cannot be part of any cycle. Moreover, vertices ℓ and ℓ' cannot 834 be part of a cycle, since they are of degree 2 and one of their neighbors (their gadget twin) is 835 a leaf. Therefore, any possible cycle contains vertices r and m. However, vertices m form an 836 independent set, and each of them has a single vertex r as neighbor. Finally, vertices r also 837 form an independent set. Consequently, G - S cannot have any cycles. 838

Claim. S is a minimal feedback vertex set: Assume there exists $u \in S$ such that $S \setminus \{u\}$ is a 839 feedback vertex set. In that case, u cannot be a vertex leaf introduced by a force gadget, 840 since both the vertex it is attached to as well as the latter's gadget twin do not belong to S. 841 On the other hand, if u were a vertex $m_{\beta'}^{\alpha}$, then a $\ell_{\alpha} - m_{\beta}^{\alpha} - \ell_{\alpha}' - m_{\beta'}^{\alpha}$ cycle would remain. 842 Furthermore, if it were a κ_{α} vertex, then a $\kappa_{\alpha} - \lambda_{\alpha} - m_{\beta}^{\alpha}$ cycle would remain. Lastly, u843 cannot be any clause vertex c. Indeed, for any c, there exists a variable x due to which c is 844 satisfied. Consequently, there exists ℓ_{α} representing $\mathcal{V}_{\alpha} \ni x$, as well as $m_{\beta}^{\alpha} \notin S$ encoding said 845 satisfying assignment. Therefore, $\ell_{\alpha} - m_{\beta}^{\alpha} - r_{\beta} - c$ comprises a cycle, because we connect c 846 to all vertices r_i that encode a satisfying assignment for c. 847

Lemma 3. If G has a minimal feedback vertex set of size at least k, then ϕ has a satisfying assignment.

Proof. Let S denote said minimal feedback vertex set. Due to Lemma 1, it follows that $c_i \in S$, for all $i \in [m]$, otherwise S cannot reach the stated size.

Since S is minimal, it holds that, for all clause vertices $c, S \setminus \{c\}$ is not a feedback vertex set. Consequently, $G - (S \setminus \{c\})$ contains at least one cycle involving vertex c. Notice that each such cycle can only involve vertices belonging to a specific G_p^q , since vertices not

belonging to the same G_p^q can only be connected via paths containing vertices c_i , but only a single such vertex remains in $G - (S \setminus \{c\})$. Let $G_c = G[(V(G_p^q) \setminus S) \cup \{c\}]$ be a subgraph of *G* containing one such cycle.

We will show that the aforementioned cycle must be of the form $\ell_i - m_i^i - r_j - c$, for 858 some i and j. In order to do so, first notice that there is no path in $G_c - \{c\}$ between any 859 two r vertices. Suppose there exists such a path, connecting r_{α} and r_{β} , for $\alpha, \beta \in [R]$ and 860 $\alpha \neq \beta$. This path cannot involve only r vertices, since they constitute an independent set. 861 Additionally, it cannot involve only r and m vertices, since each m vertex has a single r862 vertex in its neighborhood, while m vertices also induce an independent set. Therefore, any 863 path from r_{α} to r_{β} must include a vertex ℓ_{γ} or ℓ'_{γ} for some γ , denoted by w_{γ} . In that case, 864 the shortest such path must be of the form $r_{\alpha} - m_{\alpha}^{\gamma} - w_{\gamma} - m_{\beta}^{\gamma} - r_{\beta}$. However, this cannot 865 be the case, since G_c contains at most one vertex belonging to M_{γ} , due to Lemma 1. 866

⁸⁶⁷ Consequently, any cycle that contains c in G_c must include the unique vertex ℓ_i that is a ⁸⁶⁸ neighbor of c. Moreover, as the only other vertices that are adjacent to c are r vertices, and ⁸⁶⁹ there are no paths between any two r vertices, the cycle must be of the form $\ell_i - m_j^i - r_j - c$ ⁸⁷⁰ for some j.

Now, consider the following assignment for the variables of ϕ : for a set of variables 871 $\mathcal{V}_{\alpha} \subseteq V_{p}^{q}$ represented by ℓ_{α} in G_{p}^{q} , if there exists a vertex $m_{\beta}^{\alpha} \notin S$ for some β , then let these 872 variables have the assignment encoded by this choice. Alternatively, if there is no such vertex 873 m, let all of these variables have a truthful assignment. This is valid assignment, since every 874 variable of ϕ appears in a single variable set $\mathcal{V}_{\alpha} \subseteq V_p^q$, for some $p \in [3]$ and $q \in [\log n]$, which 875 is uniquely represented by a single vertex ℓ_{α} in G_p^q , while $|M_{\alpha} \setminus S| \leq 1$. Lastly, this is a 876 satisfying assignment, since for every clause vertex c, there exist neighboring vertices ℓ_{α} and 877 r_{β} , such that $m_{\beta}^{\alpha} \notin S$, i.e. for every clause, there exists at least one variable in \mathcal{V}_{α} encoded 878 by ℓ_{α} such that its assignment satisfies the clause. 879

Example 1 Lemma 4.
$$vc(G) = O(n/\log n)$$
.

Proof. Notice that the deletion of all vertices $\ell_i, \ell'_i, r_i, \kappa_i$ and λ_i , as well as their gadget twins, induces an independent set. Therefore,

⁸⁸³
$$\operatorname{vc}(G) \le (8L + 2R + 4L) \cdot 3\log n = O(n/\log n).$$

884

C Proofs for Section 5 (Natural Parameter Algorithm)

▶ **Theorem 6.** ANNOTATED MMFVS is NP-hard on path restricted instances, even if all the paths are of length 2.

Proof. Let graph G = (V, E), where |V| = n and |E| = m, be an instance of 3-COLORING. We will construct an equivalent (G', S, F, k) instance of ANNOTATED MMFVS. Construct graph G' = (V', E'), such that

⁸⁹¹ introduce $w \in V'$,

- for every vertex $u_i \in V$, introduce $u_j^i \in V'$, where $j \in [3]$,
- for every edge $e_i \in E$, introduce $e_j^i \in V'$ and $\{e_j^i, w\} \in E'$, where $j \in [3]$,
- introduce edges $\{w, u_1^i\}, \{u_1^i, u_2^i\}, \{u_2^i, u_3^i\}$ and $\{u_3^i, w\}$ in E', for all $i \in [n]$,
- for every edge $e_i = \{u_k, u_\ell\} \in E$, introduce edges $\{e_i^i, u_j^k\}, \{e_j^i, u_j^\ell\} \in E'$, where $j \in [3]$.
- Set $F = \{w\}, S = \{e_i^i \in V' \mid i \in [m], j \in [3]\}$ and k = n + 3m. Moreover, let $U_i = \{u_1^i, u_2^i, u_3^i\}, i \in [m], j \in [3]\}$
- for all $i \in [n]$. Notice that this is a valid instance of ANNOTATED MMFVS. In Figure 2 part



Figure 2 Part of the graph depicting vertices associated with $e_1 = \{u_i, u_j\} \in E$. Black vertex w belongs to F.

of the construction is shown, assuming there exists an edge $e_1 = \{u_i, u_j\} \in E$. It remains to show that the two problems are equivalent.

Assume that G has a valid 3-coloring, e.g. $f: V \to [3]$. Let $S' = \{u_i^i \in V' \mid f(u_i) = j\} \cup S$ 900 be a set of size n + 3m. S' is a feedback vertex set of G'. Indeed, since it contains all 901 vertices e_i^i , the only remaining cycles are due to the vertices of U_i and w, for every $i \in [n]$, 902 but $|S' \cap U_i| = 1$, for every *i*. It remains to show that S' is minimal. $S_1 = S' \setminus \{u_i^i\}$ is 903 not a feedback vertex set, for any $u_j^i \in S'$, since then $w, u_j^i \notin S_1$, for $j \in [3]$. Additionally, 904 $S_2 = S' \setminus \{e_i^i\}$ is not a feedback vertex set, for any $e_i^i \in S'$. Assume that $e_i = \{u_p, u_q\}$. Then, 905 since $f(u_p) \neq f(u_q)$, it holds that at least one of u_j^p, u_j^q does not belong to S'. Name this 906 vertex v_i , and notice that since $|S' \cap U_i| = 1$, there exists a path from v_i to w containing 907 only vertices of U_j . In that case, since e_j^i has an edge with w and v_j is a neighbor of e_j^i , it 908 follows that S_2 is not a feedback vertex set. 909

Assume that G' has a minimal feedback vertex set $S' \supseteq S$, where $S' \cap F = \emptyset$ and 910 $|S'| \ge n+3m$. Then, if $u_{k}^{i}, u_{\ell}^{i} \in S'$ for some i and some $k \ne \ell \in [3], S'$ is not minimal, since 911 $S' \setminus \{u_k^i\}$ remains a feedback vertex set. Consequently, S' contains a single element from 912 each U_i . Now consider the coloring $f: V \to [3]$ where $f(u_i) = j$ if $u_i^i \in S'$. In that case, 913 for f to be a valid coloring, it suffices to prove that if $\{u_i, u_j\} \in E$, then $u_k^i, u_\ell^j \in S'$ for 914 $k \neq \ell$. Assume that this is not the case, i.e. there exist $u_k^i, u_k^j \in S'$ and $e = \{u_i, u_j\} \in E$. In 915 that case, $S' \setminus \{e_k\}$ remains a feedback vertex set, since e_k only has a single neighbor not 916 belonging to S', contradiction. 917

▶ **Theorem 7.** Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS, and let g denote the number of its good vertices. There is an algorithm running in time $O(3^{k-g}n^{O(1)})$ which either returns a minimal feedback vertex set $S' \subseteq S \cup U$ of G of size at least k or concludes that \mathcal{I} is a No instance of ANNOTATED MMFVS.

Proof. The main idea of the algorithm lies on the fact that we can efficiently handle instances 922 where either k = 0 or $S = \emptyset$. Towards this, we will employ a branching strategy that, as long 923 as S remains non empty, new instances with reduced k are produced. Prior to performing 924 branching, we first observe that we can efficiently deal with the good vertices. Afterwards, 925 by employing said branching strategy, in every step we decide which vertex will be counted 926 towards the k required, thereby reducing parameter k on each iteration. If at some point 927 k = 0 or $S = \emptyset$, it remains to decide whether this comprises a viable solution S'. Notice 928 that S' may not be a solution for the annotated instance, since even if $|S'| \ge k$, it does not 929 necessarily hold that $S' \supset S$. 930

We first show that indeed, the case where either k = 0 or $S = \emptyset$ can be efficiently decided. Afterwards, we present the algorithm and finally we argue about its correctness.

P33 ► Lemma 9. Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS and $S^* \subseteq S \cup U$ a minimal feedback vertex set of G, where $F^* = V(G) \setminus S^*$ denotes the corresponding forest.

(i) From every path of G[U], at most one vertex belongs to S^* .

(ii) Let $u, v \in F^*$. Then, u and v are in the same connected component of $G[F \cup U]$ if and only if they are in the same connected component of $G[F^*]$.

Proof. For the first statement, suppose there exist $u_1, u_2 \in S^* \cap U$ belonging to the same connected component of G[U], and let $P_u \subseteq U$ denote the set of the vertices belonging to said component. In that case, $G[F^* \cup \{u_1\}]$ must contain a cycle involving u_1 . Since \mathcal{I} is a path restricted instance, it holds that $\forall v \in P_u$, $\deg_{F \cup U}(v) = 2$, and since $F^* \cup \{u_1\} \subseteq F \cup U$, $\deg_{F^* \cup \{u_1\}}(v) \leq 2$ follows. Therefore, for $G[F^* \cup \{u_1\}]$ to contain a cycle it holds that $F^* \supseteq P_u \setminus \{u_1\}$, contradiction.

For the second statement, first consider the case when $u, v \in F$, both belonging to the 945 same connected component of $G[F \cup U]$. If u, v are connected in $G[F^*]$, we are done. Suppose 946 that this is not the case. Assume there exists a path of U the endpoints of which have an 947 edge towards both u and v. Then, either this path belongs entirely to F^* , or one of its 948 vertices, say w, is in S^* . In the first case, u and v are in the same connected component of 949 F^* due to said path. In the latter case, the private cycle of w in $G[F^* \cup \{w\}]$ contains both u 950 and v, thus they are in the same connected component of F^* . Therefore, the statement holds. 951 If no such path connecting u and v exists in U, let P be the path of $G[F \cup U]$ connecting u 952 and v, where f_1, \ldots, f_j are the vertices of P belonging to F in the order that they appear in 953 P. Then, due to the previous arguments, any consecutive vertices f_i, f_{i+1} are in the same 954 connected component of F^* . Lastly, due to transitivity of connectivity, the statement follows. 955 In case at least one of u, v belongs to U, let $F' = F \cup \{u, v\}$ and consider the instance 956 $\mathcal{I}' = (G, S, F', k)$. Obviously, u, v are in the same connected component of $G[F \cup U]$ if and 957 only if they are in the same connected component of $G[F' \cup U']$, where $U' = U \setminus \{u, v\}$. 958 Moreover, any $S^* \not\supseteq u, v$ is a solution of instance \mathcal{I} if and only if it is a solution of \mathcal{I}' . Thus, 959

⁹⁶⁰ the statement follows.

Since $F^* \subseteq F \cup U$, the converse direction also holds. Consequently, if $u, v \in F^*$, then u, v are in the same connected component of $G[F \cup U]$ if and only if u, v are in the same connected component of $G[F^*]$.

Due to Lemma 9, we can therefore infer the connected components of any forest F^* corresponding to a minimal feedback vertex set $S^* \subseteq S \cup U$ of G. Based on this property, we will establish the following reduction rules.

⁹⁶⁷ **Rule** (*i*). Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS, and ⁹⁶⁸ $u \in U$ such that the connected components of $G[(F \cup U) \setminus \{u\}]$ are more than the connected ⁹⁶⁹ components of $G[F \cup U]$. Then, replace \mathcal{I} with $\mathcal{I}' = (G, S, F \cup \{u\}, k)$.

PTO Lemma 10. Applying rule (i) does not change the outcome of the algorithm.

Proof. Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS and $\mathcal{I}' = (G, S, F \cup \{u\}, k)$ be the path restricted instance of ANNOTATED MMFVS resulting from applying Rule (i) to \mathcal{I} , where $u \in U$. In that case, the connected components of $G[(F \cup U) \setminus \{u\}]$ are more than the connected components of $G[F \cup U]$. We will show that if $S' \subseteq S \cup U$ is a minimal feedback vertex set of G, then $u \notin S'$. Let $F' = V(G) \setminus S'$ be the corresponding forest. Suppose that $u \in S'$. Then u must have a private cycle in $G[F' \cup \{u\}]$. However, both neighbors of u are in different connected components of $G[(F \cup U) \setminus \{u\}]$.

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and since $F' \subseteq (F \cup U) \setminus \{u\}$, its neighbors are in different connected components of G[F'], hence contradiction.

Rule (*ii*). Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS, and $u \in S$ such that it has two edges towards F such that they are in the same connected component of $G[F \cup U]$. Then, replace \mathcal{I} with $\mathcal{I}' = (G - u, S \setminus \{u\}, F, k - 1)$.

▶ Lemma 11. Applying rule (ii) does not change the outcome of the algorithm.

Proof. Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS and $\mathcal{I}' = (G', S \setminus \{u\}, F, k-1)$ be the path restricted instance of ANNOTATED MMFVS resulting from applying Rule (*ii*) to \mathcal{I} , where $u \in S$ and G' = G - u. In that case, u has two edges towards F such that they are in the same connected component of $G[F \cup U]$.

Let $S_1 \supseteq S$ be a minimal feedback vertex set of G of size at least k, where $S_1 \cap F = \emptyset$. 988 Then, $S_1 \setminus \{u\}$ is a minimal feedback vertex set of G - u, since the private cycles of the 989 vertices of S_1 remain unaffected by the deletion of u, and $\operatorname{ammfvs}(\mathcal{I}) \leq \operatorname{ammfvs}(\mathcal{I}')$ follows. 990 Let $S' \subseteq (S \cup U) \setminus \{u\}$ be a minimal feedback vertex set of G-u, where $F' = V(G-u) \setminus S'$. 991 Since the neighbors of u in F are in the same connected component of $G[F \cup U]$, they are 992 in the same connected component of $G'[F \cup U]$. Consequently, due to Lemma 9, u has two 993 edges towards F such that they are in the same connected component of G'[F'], therefore, 994 $S' \cup \{u\}$ is a minimal feedback vertex set of G, since u has a private cycle in $G[F' \cup \{u\}]$. 995 Notice that this also implies that $\operatorname{ammfvs}(\mathcal{I}') \leq \operatorname{ammfvs}(\mathcal{I})$. 996 4

⁹⁹⁷ Note that, if applying rule (*ii*) to $\mathcal{I} = (G, S, F, k)$ results in $\mathcal{I}' = (G - u, S \setminus \{u\}, F, k)$, ⁹⁹⁸ and the algorithm returns a minimal feedback vertex set S' of G - u, where $S' \cap F = \emptyset$, then ⁹⁹⁹ this can be extended to a minimal feedback vertex set $S' \cup \{u\}$ of G, although S' might not ¹⁰⁰⁰ be a solution to the annotated instance \mathcal{I}' , since $S' \supseteq S$ does not necessarily hold.

Utilizing Lemma 9, we now prove that any instance where either $S = \emptyset$ or k = 0 can be solved in polynomial time.

▶ Lemma 12. Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS. If $k = 0 \text{ or } S = \emptyset$, we can determine whether G has a minimal feedback vertex set $S' \subseteq S \cup U$ 1005 of size at least k in time $n^{O(1)}$.

Proof. Due to Lemma 9, it holds that for any minimal feedback vertex set $S' \subseteq S \cup U$, if $u, v \in F'$, where $F' = V(G) \setminus S'$, then u and v are in the same connected component if and only if that is the case in $G[F \cup U]$. We will say that a path of U belongs to F' when all of its vertices belong to F'.

Notice that the vertices of F can be partitioned into equivalence classes, depending on 1010 their connectivity in $G[F \cup U]$. For $u, v \in F$, let them belong to the same equivalence class 1011 C_i if they are in the same connected component of $G[F \cup U]$. Let p denote the number 1012 of equivalence classes, where $p \leq |F|$. Now, for each C_i , let c_i be equal to the number of 1013 connected components $G[C_i]$. Since every path of U has exactly 2 edges towards F, it holds 1014 that the number of paths belonging to F' will be exactly $c_i - 1$ per equivalence class C_i . 1015 Intuitively, since all components of $G[C_i]$ must be connected in the final forest, the number 1016 of paths required is $c_i - 1$, per equivalence class C_i . Therefore, it suffices to greedily add each 1017 path to the final forest F', as long as no cycle is formed. If that is not the case, it suffices to 1018 add one of its vertices to S', since it has two edges towards the same connected component 1019 of F'. In the end, G[F'] has the connectivity dictated by $G[F \cup U]$, while $S' \subseteq S \cup U$ is 1020 a minimal feedback vertex set, since all of its elements have a private cycle. If k = 0, we 1021 are done. Alternatively, if $S = \emptyset$, notice that, due to Lemma 9, S' is a maximum minimal 1022

feedback vertex set of G such that $S' \cap F = \emptyset$. In that case, we can determine whether \mathcal{I} is a Yes or No instance, depending on whether $|S'| \ge k$ holds.

Armed with the previous lemmas, we are now ready to describe our algorithm. Let $\mathcal{I} = (G, S, F, k)$ be a path restricted instance of ANNOTATED MMFVS. Notice that if at any point of execution of our algorithm there exists some vertex $s \in S$ which does not have two edges towards the same connected component of $G[F \cup U]$, then this is a No instance of ANNOTATED MMFVS and we discard it. Moreover, we exhaustively apply rules (i) and (ii) in every produced instance. Note that this induces a polynomial time overhead.

Regarding our branching strategy, we consider the different cases for vertices of U. When these vertices are moved from U to S, it is imperative that the connectivity of the vertices belonging to the forest remains the same in any final forest. Since we have assumed that rule (i) has been exhaustively applied, that is indeed the case. We will firstly do some preprocessing and afterwards describe a branching strategy which, as long as S remains non empty, produces instances with reduced k.

Preprocessing. Assume that rule (*ii*) has already been applied exhaustively. Suppose there 1037 still exists some good vertex $h \in H$. Recall that h has at most one neighbor in U. In that 1038 case, for h to have a private cycle, it is necessary that its neighbor $u \in N(h) \cap U$ belongs to 1039 the forest. Also, u must be in the same connected component of $G[F \cup U]$ as one of the other 1040 neighbors of h in F. Therefore, we consider the instance $\mathcal{I}' = (G, S, F \cup \{u\}, k)$ in which rule 1041 (ii) can be applied due to h. Therefore, we replace the current instance with the instance 1042 $\mathcal{I}'' = (G-h, S \setminus \{h\}, F \cup \{u\}, k-1)$. Note that the preprocessing can be done in polynomial 1043 time while for the resulting instance $\mathcal{I}^* = (G^*, S^*, F^*, k^*)$ it holds that $k^* \leq k - g$. 1044

Branching. Let $s \in S$, where $\mathcal{I} = (G, S, F, k)$ is the instance after the preprocessing. For $u \in U$, let $T_u \subseteq U \setminus \{u\}$ denote the vertices in the same connected component as u in G[U]. Consider the following cases: either there exists $u \in N(s) \cap U$ such that u is in the same connected component of $G[F \cup U]$ as some $f \in N(s) \cap F$ or not.

In the first case, we branch depending on whether u is in the feedback vertex set or not. Notice that if u is in the feedback vertex, then all vertices of T_u must be in the forest due to Lemma 9. Therefore, we replace our current instance with the following two:

1052
$$\mathcal{I}_1 = (G, S \cup \{u\}, F \cup T_u, k), \text{ and}$$

1053 $\mathcal{I}_2 = (G, S, F \cup \{u\}, k)$

In both instances we can apply Rule (*ii*). In particular, in \mathcal{I}_1 , u has two neighbors in $F \cup T_u$ which are in the same component of $G[F \cup U]$, therefore applying rule Rule (*ii*) gives $\mathcal{I}'_1 = (G - u, S, F \cup T_u, k - 1)$. Also, in \mathcal{I}_2 , s has two neighbors in $F \cup \{u\}$ which are in the same component of $G[F \cup U]$, therefore, applying Rule (*ii*) gives $\mathcal{I}'_2 = (G - s, S \setminus \{s\}, F \cup \{u\}, k - 1)$.

- In the latter case, two vertices $a, b \in N(s) \cap U$ that belong to the same connected component of $G[F \cup U]$ must exist. For these vertices we branch on the following 3 cases: $a, b \in F$, or $a \in S$, or $b \in S$. Therefore, we replace the current instance with the following three:
- 1063 $\mathcal{I}_1 = (G, S, F \cup \{a, b\}, k),$

1064
$$\mathcal{I}_2 = (G, S \cup \{a\}, F \cup T_a, k),$$

1065 $\mathcal{I}_3 = (G, S \cup \{b\}, F \cup T_b, k).$

Now, in each one of these instances we can apply Rule (ii). Indeed, vertices s, a and b can be used to apply rule (ii) and obtain instances

1068 $\mathcal{I}'_1 = (G - s, S \setminus \{s\}, F \cup \{a, b\}, k - 1),$

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1069 $\mathcal{I}'_{2} = (G - a, S, F \cup T_{a}, k - 1),$ 1070 $\mathcal{I}'_{3} = (G - b, S, F \cup T_{b}, k - 1)$ 1071 respectively.

Complexity. The preprocessing part of the algorithm, as well as the application of the rules requires polynomial time. The branching strategy previously described results in at most 3^{k-g} instances, since on every step at most 3 instances may be produced, each with reduced k. Lastly, due to Lemma 12, the case when $S = \emptyset$ or k = 0 is solvable in polynomial time. Therefore, the final running time is $3^{k-g}n^{O(1)}$.

▶ Lemma 5. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS, where $\mu(\mathcal{I}) \leq 1$. 1078 Then, G has a minimal feedback vertex set $S' \subseteq S \cup U$ of size at least k.

Proof. Since F is a forest, $S \cup U$ comprises a valid feedback vertex set of G. Let S' be a 1079 minimal feedback vertex set obtained in polynomial time from $S \cup U$, while $F' = V \setminus S'$ 1080 denotes the forest resulting from the vertices belonging to F plus the vertices of $(S \cup U) \setminus S'$. 1081 Let a loss be when either a good vertex of S, or the entirety of an interesting path belongs 1082 to $F' = V \setminus S'$. Notice that both good vertices and interesting paths have at least 2 edges 1083 to some vertices of F. Consequently, for every loss, the connected components of F reduce 1084 by at least 1: in order to move a good vertex or an interesting path to the forest, no cycles 1085 should be formed, i.e. all of their neighbors are in distinct connected components of F, thus 1086 the connected components of the forest will be reduced. Therefore, it follows that at most 1087 cc(F) - 1 losses may happen, which means that S' contains at least q + p - (cc(F) - 1)1088 vertices; each of those corresponds to either a good vertex or belongs to an interesting path 1089 which has not moved entirely to F. In that case however, $|S'| \ge g + p - (cc(F) - 1) \ge k$, 1090 since $\mu(\mathcal{I}) \leq 1$. -1091

▶ Lemma 6. Let G = (V, E) be a (multi)graph and $uv \in E(G)$. Then, G is acyclic if and only if G/uv is acyclic.

Proof. First we consider the case that there more that one edges between u and v. In this case, G has a cycle that uses these edges. Therefore, contracting one of these edges results in a self loop in G' and the statement holds. So, we only need to consider the case where there is only one edge between u and v and w does not have a self loop in G/uv.

Suppose that uv is part of a cycle in G. Since G does not include any edges parallel to uv, this cycle has at least three vertices. This means that there exists a path from u to vwhich does not include the edge uv. Then, in G/uv, this path is a cycle as we have replaced u and v with a single vertex. Moreover, any cycles not including edge uv are not affected by its contraction.

For the other direction, assume that G/uv has a cycle C and let w be the vertex that 1103 has replaced u and v in G/uv. There are two cases, either $w \notin C$ or $w \in C$. In the first 1104 case notice that C is also a cycle in G therefore the statement holds. In the latter, since we 1105 know that w does not have a self loop, there is a path P of size at least 1 such that, the 1106 starting and the ending vertices of this path are adjacent to w. Let v_s and v_t be these (not 1107 necessarily distinguished) vertices. If there is $v' \in \{u, v\}$ such that $v' \in N(v_s) \cap N(v_t)$ then 1108 the path P together with v' comprises a cycle in G. Otherwise, one of v_s, v_t is adjacent to u 1109 and the other to v. W.l.o.g. let $v_s u, v_t v \in E(G)$. Notice that there is a path in G that starts 1110 with u, ends with v, and uses the vertices in P. Consequently, this path does not include the 1111 edge uv. Adding the edge uv to this path results in a cycle in G. 1112

▶ Lemma 7. Applying rules 1, 2 and 3 does not change the outcome of the algorithm and does not increase the measure of progress.

¹¹¹⁵ **Proof.** We will prove each rule in a distinct paragraph.

Rule 1. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS and $\mathcal{I}' = (G', S, F', k)$ be the instance of ANNOTATED MMFVS resulting from applying Rule 1 to \mathcal{I} , where G' = (V', E') occurs from the contraction of u and v into w (i.e. G' = G/uv), while $F' = (F \cup \{w\}) \setminus \{u, v\}.$

We will show that $\operatorname{ammfvs}(\mathcal{I}') = \operatorname{ammfvs}(\mathcal{I})$ and $\mu(\mathcal{I}') \leq \mu(\mathcal{I})$.

Let $S_1 \supseteq S$ be a minimal feedback vertex set of G, such that $S_1 \cap F = \emptyset$. We claim that S_1 is a minimal feedback vertex set of G'. Indeed, $G'[V' \setminus S_1]$ is obtained from $G[V \setminus S_1]$ by contracting uv, so both are acyclic due to Lemma 6. Furthermore, for all $z \in S_1$, $G'[(V' \setminus S_1) \cup \{z\}]$ is obtained from $G[(V \setminus S_1) \cup \{z\}]$ by contracting uv, therefore both have a cycle due to Lemma 6, hence no vertex of S_1 is redundant in G'. Consequently, $ammfvs(\mathcal{I}) \leq ammfvs(\mathcal{I}')$.

For the other direction, let $S_2 \supseteq S$ be a minimal feedback vertex set of G', such that 1127 $S_2 \cap F' = \emptyset$, which implies that $w \notin S_2$. We claim that S_2 is a minimal feedback vertex set 1128 of G. Let $F_1 = V \setminus S_2$ and $F_2 = V' \setminus S_2$. By definition, $G'[F_2]$ is acyclic. $G[F_1]$ is also a 1129 forest due to Lemma 6 and the fact that $G'[F_2]$ is obtained from $G[F_1]$ by contracting uv. 1130 To see that S_2 is minimal, let $z \in S_2$ and consider the graphs $G_1 = G[(V \setminus S_2) \cup \{z\}]$ and 1131 $G_2 = G'[(V' \setminus S_2) \cup \{z\}]$. We see that G_2 can be obtained from G_1 by contracting uv. But 1132 G_2 must have a cycle, by the minimality of S_2 , so, by Lemma 6, G_1 also has a cycle. Thus, 1133 S_2 is minimal in G, and $\operatorname{ammfvs}(\mathcal{I}) \geq \operatorname{ammfvs}(\mathcal{I}')$ follows. 1134

Moreover, it holds that $\mu(\mathcal{I}') = \mu(\mathcal{I})$, since cc(F) = cc(F'), while p and g are not affected.

Rule 2. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS and $\mathcal{I}' = (G', S, F, k)$ be the instance of ANNOTATED MMFVS we take by applying Rule 2 to \mathcal{I} , where G' = (V', E')occurs from the deletion of some $u \in U$ such that $\deg_{F \cup U}(u) = 0$ (i.e. G' = G - u). We will show that $\operatorname{ammfvs}(\mathcal{I}') = \operatorname{ammfvs}(\mathcal{I})$ and $\mu(\mathcal{I}') \leq \mu(\mathcal{I})$.

Let $S_1 \supseteq S$ be a minimal feedback vertex set of G, such that $S_1 \cap F = \emptyset$. Since $N(u) \subseteq S \subseteq S_1$, it follows that $u \notin S_1$, since $S_1 \setminus \{u\}$ remains a feedback vertex set. Then, S_1 is a feedback vertex set of G - u. To see that S_1 is also minimal in G - u, note that any private cycle of G also exists in G - u, since no private cycle contains u. Therefore, $\operatorname{ammfvs}(\mathcal{I}) \leq \operatorname{ammfvs}(\mathcal{I}')$.

For the other direction, let $S_2 \supseteq S$ be a minimal feedback vertex set of G - u, such that $S_2 \cap F = \emptyset$. We observe that $S_2 \cup \{u\}$ is a feedback vertex set of G. If $S_2 \cup \{u\}$ is minimal, we are done. Alternatively, we delete vertices from it until it becomes minimal. We now note that the only vertex which may be deleted in this process is u, since all vertices of S_2 have a private cycle in G - u. Therefore, $\operatorname{ammfvs}(\mathcal{I}) \geq \operatorname{ammfvs}(\mathcal{I}')$.

Lastly, $\mu(\mathcal{I}') \leq \mu(\mathcal{I})$, since the deletion of u does not affect cc(F) and p, while g could potentially increase.

Rule 3. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS and $\mathcal{I}' = (G', S, F', k)$ be the instance of ANNOTATED MMFVS we take by applying Rule 3 to \mathcal{I} , where G' = (V', E')occurs from the contraction of u and v into w (i.e. G' = G/uv), for some $u \in U$ such that $\deg_{F \cup U}(u) = 1$, and $v \in N(u) \cap (F \cup U)$. Moreover, it holds that $F' = (F \cup \{w\}) \setminus \{v\}$ if $v \in F$, and F' = F otherwise. We will show that $\operatorname{ammfvs}(\mathcal{I}') = \operatorname{ammfvs}(\mathcal{I})$ and $\mu(\mathcal{I}') \leq \mu(\mathcal{I})$.

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Notice that, since $\deg_{F \cup U}(u) = 1$, $u \notin S_1$ for any minimal feedback vertex set $S_1 \supseteq S$ of G such that $S_1 \cap F = \emptyset$. We will continue by considering the two cases separately.

First, assume that $v \in F$. Since $u \notin S_1$, we have that \mathcal{I} is a Yes instance of ANNOTATED MMFVS if and only if $\mathcal{J} = (G, S, F \cup \{u\}, k)$ is a Yes instance of ANNOTATED MMFVS. Notice that, by applying Rule 1 on \mathcal{J} , the resulting instance is \mathcal{I}' . Therefore the statement holds in this case.

It remains to prove the statement when both $u, v \in U$. Assume that this is the case. Let $S_1 \supseteq S$ be a minimal feedback vertex set of G, such that $S_1 \cap F = \emptyset$. We consider two cases: either $v \notin S_1$ or $v \in S_1$.

If $v \notin S_1$, then we claim that S_1 is also a minimal feedback vertex set of G'. Indeed, $G'[V' \setminus S_1]$ is obtained from $G[V \setminus S_1]$ by contracting uv, so, by Lemma 6, both are acyclic. Furthermore, for all $z \in S_1$, $G'[(V' \setminus S_1) \cup \{z\}]$ is obtained from $G[(V \setminus S_1) \cup \{z\}]$ by contracting uv, therefore, by Lemma 6, both have a cycle. So, S_1 is minimal feedback vertex set of G'.

If $v \in S_1$, then we claim that $S^* = (S_1 \setminus \{v\}) \cup \{w\}$ is a minimal feedback vertex set 1171 of G'. It is not hard to see that S^* is a feedback vertex set of G', since it corresponds to 1172 deleting $S_1 \cup \{u\}$ from G. To see that it is minimal, for all $z \in S^* \setminus \{w\}$ we observe that 1173 $G'[(V \setminus S^*) \cup \{z\}]$ is obtained from $G[(V \setminus S_1) \cup \{z\}]$ by deleting u, which has degree at 1174 most 1 due to z. Therefore, this deletion strongly preserves acyclicity. Finally, to see that w1175 is not redundant for S^* , we observe that $G[(V \setminus S_1) \cup \{v\}]$ has a cycle, and a corresponding 1176 cycle must be present in $G'[(V' \setminus S^*) \cup \{w\}]$, which is obtained from the former graph by 1177 contracting uv. 1178

Consequently, $\operatorname{ammfvs}(\mathcal{I}) \leq \operatorname{ammfvs}(\mathcal{I}')$ follows. For the other direction, let $S_1 \supseteq S$ be a minimal feedback vertex set of G', such that $S_1 \cap F' = \emptyset$. Recall that we consider the case where $u, v \in U$, so F' = F. We consider two cases, either $w \in S_1$ or $w \notin S_1$.

If $w \in S_1$, we claim that $S_2 = (S_1 \cup \{v\}) \setminus \{w\}$ is a minimal feedback vertex set of 1182 G. Let $F_1 = V' \setminus S_1$ and $F_2 = V \setminus S_2$. Notice that in $G[F_2]$, u is an isolated vertex since 1183 $\deg_{F \cup U}(u) = 1$ and $v \in S_2$. Also, $G[F_2 \setminus \{v\}]$ is acyclic since it is the same as $G'[F_1]$. 1184 Therefore S_2 is a feedback vertex set of G. We need to show that S_2 is minimal. Let 1185 $x \in S_1 \setminus \{w\}$. Notice that in $G[F_2 \cup \{x\}]$, u has degree at most 1 due to x, therefore, it 1186 cannot be included in any cycle of $G[F_2 \cup \{x\}]$. This means that $G[F_2 \cup \{x\}]$ has a cycle if 1187 and only if $G[(F_2 \setminus \{u\}) \cup \{x\}]$ has a cycle. However, $G[(F_2 \setminus \{u\}) \cup \{x\}]$ has a cycle because 1188 it is the same as $G'[F_1 \cup \{x\}]$ and $x \in S_1$. It remains to show that $G[F_2 \cup \{v\}]$ has a cycle. 1189 Notice that $G'[F_1 \cup \{w\}]$ can be obtained from $G[F_2 \cup \{v\}]$ by contracting uv. Therefore 1190 $G[F_2 \cup \{v\}]$ has a cycle and S_2 is minimal. 1191

If $w \notin S_1$ we claim that S_1 is a minimal feedback vertex set of G. Notice that we can obtain $G'[V' \setminus S_1]$ by contracting uv in $G[V \setminus S_1]$, therefore $G[V \setminus S_1]$ is acyclic and S_1 a feedback vertex set of G. We also need to show minimality. Assume that $x \in S_1$. Since we can obtain $G'[(V' \setminus S_1) \cup \{x\}]$ by contracting uv in $G[(V \setminus S_1) \cup \{x\}]$, we have that $G[(V \setminus S_1) \cup \{x\}]$ has a cycle. Therefore, S_1 is a minimal feedback vertex set of G.

Consequently, $\operatorname{ammfvs}(\mathcal{I}') = \operatorname{ammfvs}(\mathcal{I})$ follows. Lastly, we need to show that $\mu(\mathcal{I}') \leq \mu(\mathcal{I})$ in the case where $u, v \in U$. Indeed, if both of them are in U the contraction does not change the number of components in F, or the number of interesting paths or the number of good vertices in S.

Lemma 8. The branching strategy produces instances of reduced measure of progress,
 without reducing the number of good vertices.

Proof. Let $\mathcal{I} = (G, S, F, k)$ be an instance of ANNOTATED MMFVS and $\mathcal{I}_1 = (G, S', F, k)$,

 \mathcal{I}_{204} $\mathcal{I}_2 = (G, S, F', k)$ the instances produced by the branching strategy, where $S' = S \cup \{v\}$ and $F' = F \cup \{v\}$ for $v \in U$. Moreover, let g, g_1 and g_2 denote the number of good vertices of each instance respectively. Notice that $g \leq g_1$ and $g \leq g_2$. We assume that none of $\mathcal{I}_1, \mathcal{I}_2$ has been discarded, i.e. G[F'] is a forest. Notice that then, if $\deg_F(v) \geq 2$, it follows that vhas at least two neighbors in distinct connected components of G[F]. We will prove that $\mu(\mathcal{I}_1) < \mu(\mathcal{I})$ and $\mu(\mathcal{I}_2) < \mu(\mathcal{I})$.

¹²¹⁰ We will distinguish between three different cases.

¹²¹¹ Case 1. deg_U(v) = 0 and deg_F(v) \geq 3, i.e. v is an isolated vertex of G[U] with multiple edges ¹²¹² to F. On \mathcal{I}_1 , it holds that $\mu(\mathcal{I}_1) \leq \mu(\mathcal{I}) - 1$, since $g_1 \geq g + 1$. On the other hand, on \mathcal{I}_2 , it ¹²¹³ holds that $\mu(\mathcal{I}_2) \leq \mu(\mathcal{I}) - 2$, since $cc(F') \leq cc(F) - 2$, otherwise G[F'] contains a cycle.

¹²¹⁴ Case 2. $\deg_U(v) = 1$ and $\deg_F(v) \ge 2$. On \mathcal{I}_1 , it holds that $\mu(\mathcal{I}_1) \le \mu(\mathcal{I}) - 1$, since $g_1 \ge g + 1$. ¹²¹⁵ On the other hand, on \mathcal{I}_2 , it holds that $\mu(\mathcal{I}_2) \le \mu(\mathcal{I}) - 1$, since $cc(F') \le cc(F) - 1$, otherwise ¹²¹⁶ G[F'] contains a cycle. As a matter of fact, the number of interesting paths might also ¹²¹⁷ increase.

Case 3. Lastly, either (i) $\deg_U(v) = 2$ and $\deg_F(v) \ge 1$, or (ii) $\deg_U(v) \ge 3$. Since v is an 1218 interesting vertex of maximum height, for all of its descendants w in its corresponding tree in 1219 G[U], it holds that $\deg_{F \cup U}(w) = 2$. On \mathcal{I}_1 , for any child u of v, it holds that $\deg_{V \setminus S'}(u) = 1$. 1220 In that case, by exhaustively applying Rule 3 and producing an instance $\mathcal{I}_1^* = (G', S', F^*, k)$, 1221 it follows that v has an additional edge to F^* for each such child. In total, v has at least 1222 2 edges towards F^* in both (i) and (ii), either due to the children or preexisting edges. 1223 Consequently, $g_1 \ge g + 1$ and $\mu(\mathcal{I}_1) \le \mu(\mathcal{I}) - 1$. Note that the number of interesting paths 1224 might also increase in the new instance. 1225

For \mathcal{I}_2 , we consider (i) and (ii) separately.

In (i), $cc(F') \leq cc(F)$ since v has at least 1 neighbor in F, while p is increased by at least 1. Indeed, since v has at least one child u in U, all of the descendants of which have degree 2 in $G[V \setminus S]$, this means that we have increased the interesting paths by at least 1.

In (ii), since v does not necessarily have a neighbor in F, it holds that $cc(F') \le cc(F) + 1$. However, v has at least 2 children in U, all of the descendants of which have degree 2 in

 $G[V \setminus S]$, therefore the number of interesting paths p increase by at least 2.

1234 Consequently, $\mu(\mathcal{I}_2) \leq \mu(\mathcal{I}) - 1$.