

GRUNDY DISTINGUISHES TREEWIDTH FROM PATHWIDTH*

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Abstract.

Structural graph parameters, such as treewidth, pathwidth, and clique-width, are a central topic of study in parameterized complexity. A main aim of research in this area is to understand the “price of generality” of these widths: as we transition from more restrictive to more general notions, which are the problems that see their complexity status deteriorate from fixed-parameter tractable to intractable? This type of question is by now very well-studied, but, somewhat strikingly, the algorithmic frontier between the two (arguably) most central width notions, treewidth and pathwidth, is still not understood: currently, no natural graph problem is known to be W-hard for one but FPT for the other. Indeed, a surprising development of the last few years has been the observation that for many of the most paradigmatic problems, their complexities for the two parameters actually coincide exactly, despite the fact that treewidth is a much more general parameter. It would thus appear that the extra generality of treewidth over pathwidth often comes “for free”.

Our main contribution in this paper is to uncover the first natural example where this generality comes with a high price. We consider GRUNDY COLORING, a variation of coloring where one seeks to calculate the worst possible coloring that could be assigned to a graph by a greedy First-Fit algorithm. We show that this well-studied problem is FPT parameterized by pathwidth; however, it becomes significantly harder (W[1]-hard) when parameterized by treewidth. Furthermore, we show that GRUNDY COLORING makes a second complexity jump for more general widths, as it becomes paraNP-hard for clique-width. Hence, GRUNDY COLORING nicely captures the complexity trade-offs between the three most well-studied parameters. Completing the picture, we show that GRUNDY COLORING is FPT parameterized by modular-width.

Key words. Treewidth, Pathwidth, Clique-width, Grundy Coloring

1. Introduction. The study of the algorithmic properties of *structural graph parameters* has been one of the most vibrant research areas of parameterized complexity in the last few years. In this area we consider graph complexity measures (“graph width parameters”), such as treewidth, and attempt to characterize the class of problems which become tractable for each notion of width. The most important graph widths are often comparable to each other in terms of their generality. Hence, one of the main goals of this area is to understand which problems separate two comparable parameters, that is, which problems transition from being FPT for a more restrictive parameter to W-hard for a more general one¹. This endeavor is sometimes referred to as determining the “price of generality” of the more general parameter.

Treewidth and pathwidth, which have an obvious containment relationship to each other, are possibly the two most well-studied graph width parameters. Despite this, to the best of our knowledge, no natural problem is currently known to delineate their

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¹We assume the reader is familiar with the basics of parameterized complexity theory, such as the classes FPT and W[1], as given in standard textbooks [23].

39 complexity border in the sense we just described. Our main contribution is exactly to
 40 uncover a natural, well-known problem which fills this gap. Specifically, we show that
 41 GRUNDY COLORING, the problem of ordering the vertices of a graph to maximize the
 42 number of colors used by the First-Fit coloring algorithm, is FPT parameterized by
 43 pathwidth, but W[1]-hard parameterized by treewidth. We then show that GRUNDY
 44 COLORING makes a further complexity jump if one considers clique-width, as in this
 45 case the problem is paraNP-complete. Hence, GRUNDY COLORING turns out to be an
 46 interesting specimen, nicely demonstrating the algorithmic trade-offs involved among
 47 the three most central graph widths.

48 *Graph widths and the price of generality.* Much of modern parameterized com-
 49 plexity theory is centered around studying graph widths, especially treewidth and
 50 its variants. In this paper we focus on the parameters summarized in Figure 1, and
 51 especially the parameters that form a linear hierarchy, from vertex cover, to tree-
 52 depth, pathwidth, treewidth, and clique-width. Each of these parameters is a strict
 53 generalization of the previous ones in this list. On the algorithmic level we would
 54 expect this relation to manifest itself by the appearance of more and more problems
 55 which become *intractable* as we move towards the more general parameters. Indeed,
 56 a search through the literature reveals that for each step in this list of parameters,
 57 several *natural* problems have been discovered which distinguish the two consecutive
 58 parameters (we give more details below). The one glaring exception to this rule seems
 59 to be the relation between treewidth and pathwidth.

60 Treewidth is a parameter of central importance to parameterized algorithmics, in
 61 part because wide classes of problems (notably all MSO₂-expressible problems [20])
 62 are FPT for this parameter. Treewidth is usually defined in terms of tree decomposi-
 63 tions of graphs, which naturally leads to the equally well-known notion of pathwidth,
 64 defined by forcing the decomposition to be a path. On a graph-theoretic level, the
 65 difference between the two notions is well-understood and treewidth is known to de-
 66 scribe a much richer class of graphs. In particular, while all graphs of pathwidth k have
 67 treewidth at most k , there exist graphs of constant treewidth (in fact, even trees) of
 68 unbounded pathwidth. Naturally, one would expect this added richness of treewidth
 69 to come with some negative algorithmic consequences in the form of problems which
 70 are FPT for pathwidth but W-hard for treewidth. Furthermore, since treewidth and
 71 pathwidth are probably the most studied parameters in our list, one might expect the
 72 problems that distinguish the two to be the first ones to be discovered.

73 Nevertheless, so far this (surprisingly) does not seem to have been the case: on
 74 the one hand, FPT algorithms for pathwidth are DPs which also extend to treewidth;
 75 on the other hand, we give (in Section 1.1) a semi-exhaustive list of dozens of natural
 76 problems which are W[1]-hard for treewidth and turn out without exception to also
 77 be hard for pathwidth. In fact, even when this is sometimes not explicitly stated in
 78 the literature, the same reduction that establishes W-hardness by treewidth also does
 79 so for pathwidth. Intuitively, an explanation for this phenomenon is that the basic
 80 structure of such reductions typically resembles a $k \times n$ (or smaller) grid, which has
 81 both treewidth and pathwidth bounded by k .

82 Our main motivation in this paper is to take a closer look at the algorithmic barrier
 83 between pathwidth and treewidth and try to locate a natural (that is, not artificially
 84 contrived) problem whose complexity transitions from FPT to W-hard at this barrier.
 85 Our main result is the proof that GRUNDY COLORING is such a problem. This puts
 86 in the picture the last missing piece of the puzzle, as we now have natural problems
 87 that distinguish the parameterized complexity of any two consecutive parameters in
 88 our main hierarchy.

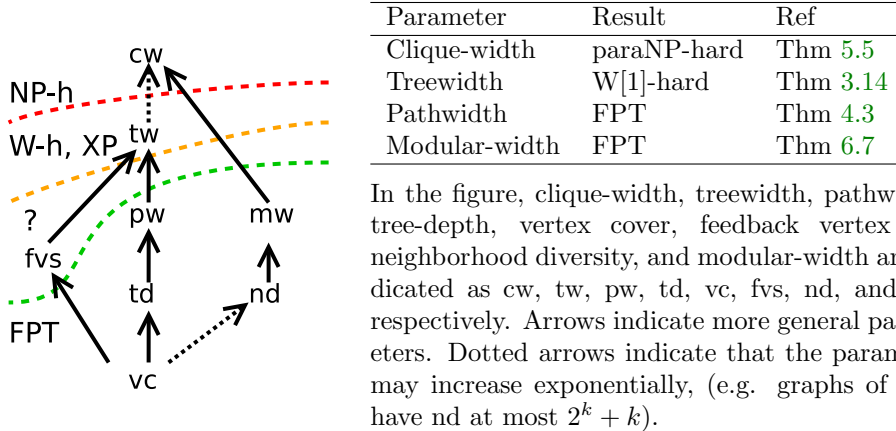


Fig. 1: Summary of considered graph parameters and results.

89 *Grundy Coloring.* In the GRUNDY COLORING problem we are given a graph
 90 $G = (V, E)$ and are asked to order V in a way that maximizes the number of colors
 91 used by the greedy (First-Fit) coloring algorithm. The notion of Grundy coloring was
 92 first introduced by Grundy in the 1930s, and later formalized in [19]. Since then, the
 93 complexity of GRUNDY COLORING has been very well-studied (see [1, 3, 16, 33, 48,
 94 50, 57, 61, 82, 84, 86, 87, 88] and the references therein). For the natural parameter,
 95 namely the number of colors to be used, Grundy coloring was recently proved to
 96 be W[1]-hard in [1]. An XP algorithm for GRUNDY COLORING parameterized by
 97 treewidth was given in [84], using the fact that the Grundy number of any graph
 98 is at most $\log n$ times its treewidth. In [15] Bonnet et al. explicitly asked whether
 99 this can be improved to an FPT algorithm. They also observed that the problem
 100 is FPT parameterized by vertex cover. It appears that the complexity of GRUNDY
 101 COLORING parameterized by pathwidth was never explicitly posed as a question and
 102 it was not suspected that it may differ from that for treewidth. We note that, since
 103 the problem can be seen to be MSO_1 -expressible for a fixed Grundy number (indeed in
 104 Definition 2.1 we reformulate it as a coloring problem where each color class dominates
 105 later classes, which is an MSO_1 -expressible property), it is FPT for all considered
 106 parameters if the Grundy number is also a parameter [21], so we intuitively want to
 107 concentrate on cases where the Grundy number is large.

108 *Our results.* Our results illuminate the complexity of GRUNDY COLORING pa-
 109 rameterized by pathwidth and treewidth, as well as clique-width and modular-width.
 110 More specifically:

- 111 1. We show that GRUNDY COLORING is W[1]-hard parameterized by treewidth
 112 via a reduction from k -MULTI-COLORED CLIQUE. The main building block
 113 of our reduction is the structure of binomial trees, which have treewidth one
 114 but unbounded pathwidth, which explains the complexity jump between the
 115 two parameters. As mentioned, an XP algorithm is known in this case [84],
 116 so this result is in a sense tight.
- 117 2. We observe that GRUNDY COLORING is FPT parameterized by pathwidth.
 118 Our main tool here is a combinatorial lemma stating that on any graph the
 119 Grundy number is at most a linear function of the pathwidth, which was
 120 first shown in [27], using previous results on the First-Fit coloring of interval

121 graphs [58, 74]. To obtain an FPT algorithm we simply combine this lemma
 122 with the algorithm of [84].

- 123 3. We show that GRUNDY COLORING is paraNP-complete parameterized by
 124 clique-width, that is, NP-complete for graphs of constant clique-width (specif-
 125 ically, clique-width 8).
- 126 4. We show that GRUNDY COLORING is FPT parameterized by neighborhood
 127 diversity (which is defined in [62]) and leverage this result to obtain an FPT
 128 algorithm parameterized by modular-width (which is defined in [42]).

129 Our main interest is concentrated in the first two results, which achieve our goal
 130 of finding a natural problem distinguishing pathwidth from treewidth. The result for
 131 clique-width nicely fills out the picture by giving an intuitive view of the evolution of
 132 the complexity of the problem and showing that in a case where no non-trivial bound
 133 can be shown on the optimal value, the problem becomes hopelessly hard from the
 134 parameterized point of view.

135 *Other related work.* Let us now give a brief survey of “price of generality” results
 136 involving our considered parameters, that is, results showing that a problem is efficient
 137 for one parameter but hard for a more general one. In this area, the results of Fomin
 138 et al. [38], introducing the term “price of generality”, have been particularly impact-
 139 ful. This work and its follow-ups [39, 40], were the first to show that four natural
 140 graph problems (COLORING, EDGE DOMINATING SET, MAX CUT, HAMILTONICITY)
 141 which are FPT for treewidth, become W[1]-hard for clique-width. In this sense, these
 142 problems, as well as problems discovered later such as counting perfect matchings
 143 [22], SAT [77, 25], $\exists\forall$ -SAT [66], ORIENTABLE DELETION [49], and d -REGULAR IN-
 144 DUCED SUBGRAPH [18], form part of the “price” we have to pay for considering a more
 145 general parameter. This line of research has thus helped to illuminate the complex-
 146 ity border between the two most important sparse and dense parameters (treewidth
 147 and clique-width), by giving a list of *natural* problems distinguishing the two. (An
 148 artificial MSO₂-expressible such problem was already known much earlier [21, 64]).

149 Let us now focus in the area below treewidth in Figure 1 by considering problems
 150 which are in XP but W[1]-hard parameterized by treewidth. By now, there is a
 151 small number of problems in this category which are known to be W[1]-hard even
 152 for vertex cover: LIST COLORING [34] was the first such problem, followed by CSP
 153 (for the vertex cover of the dual graph) [79], and more recently by (k, r) -CENTER, d -
 154 SCATTERED SET, and MIN POWER STEINER TREE [54, 53, 55] on weighted graphs.
 155 Intuitively, it is not surprising that problems W[1]-hard parameterized by vertex cover
 156 are few and far between, since this is a very restricted parameter. Indeed, for most
 157 problems in the literature which are W[1]-hard by treewidth, vertex cover is the only
 158 parameter (among the ones considered here) for which the problem becomes FPT.

159 A second interesting category are problems which are FPT for tree-depth ([75])
 160 but W[1]-hard for pathwidth. MIXED CHINESE POSTMAN PROBLEM was the first
 161 discovered problem of this type [47], followed by MIN BOUNDED-LENGTH CUT [28,
 162 11], ILP [44], GEODETIC SET [56] and unweighted (k, r) -CENTER and d -SCATTERED
 163 SET [54, 53]. More recently, (A, ℓ) -PATH PACKING was also shown to belong in this
 164 category [6].

165 To the best of our knowledge, for all remaining problems which are known to
 166 be W[1]-hard by treewidth, the reductions that exist in the literature also establish
 167 W[1]-hardness for pathwidth. Below we give a (semi-exhaustive) list of problems
 168 which are known to be W[1]-hard by treewidth. After reviewing the relevant works
 169 we have verified that all of the following problems are in fact shown to be W[1]-hard

170 parameterized by pathwidth (and in many case by feedback vertex set and tree-depth),
 171 even if this is not explicitly claimed.

172 **1.1. Known problems which are W-hard for treewidth and for path-**
 173 **width.**

- 174 • PRECOLORING EXTENSION and EQUITABLE COLORING are shown to be W[1]-
 175 hard for both tree-depth and feedback vertex set in [34] (though the result
 176 is claimed only for treewidth). This is important, because EQUITABLE COL-
 177 ORING often serves as a starting point for reductions to other problems. A
 178 second hardness proof for this problem was recently given in [24]. These two
 179 problems are FPT by vertex cover [36].
- 180 • CAPACITATED DOMINATING SET and CAPACITATED VERTEX COVER are
 181 W[1]-hard for both tree-depth and feedback vertex set [26] (though again the
 182 result is claimed for treewidth).
- 183 • MIN MAXIMUM OUT-DEGREE on weighted graphs is W[1]-hard by tree-depth
 184 and feedback vertex set [81].
- 185 • GENERAL FACTORS is W[1]-hard by tree-depth and feedback vertex set [80].
- 186 • TARGET SET SELECTION is W[1]-hard by tree-depth and feedback vertex set
 187 [10] but FPT for vertex cover [76].
- 188 • BOUNDED DEGREE DELETION is W[1]-hard by tree-depth and feedback ver-
 189 tex set, but FPT for vertex cover [12, 43].
- 190 • FAIR VERTEX COVER is W[1]-hard by tree-depth and feedback vertex set
 191 [60].
- 192 • FIXING CORRUPTED COLORINGS is W[1]-hard by tree-depth and feedback
 193 vertex set [13] (reduction from PRECOLORING EXTENSION).
- 194 • MAX NODE DISJOINT PATHS is W[1]-hard by tree-depth and feedback vertex
 195 set [32, 37].
- 196 • DEFECTIVE COLORING is W[1]-hard by tree-depth and feedback vertex set
 197 [9].
- 198 • POWER VERTEX COVER is W[1]-hard by tree-depth but open for feedback
 199 vertex set [2].
- 200 • MAJORITY CSP is W[1]-hard parameterized by the tree-depth of the inci-
 201 dence graph [25].
- 202 • LIST HAMILTONIAN PATH is W[1]-hard for pathwidth [71].
- 203 • L(1,1)-COLORING is W[1]-hard for pathwidth, FPT for vertex cover [36].
- 204 • COUNTING LINEAR EXTENSIONS of a poset is W[1]-hard (under Turing re-
 205 ductions) for pathwidth [29].
- 206 • EQUITABLE CONNECTED PARTITION is W[1]-hard by pathwidth and feedback
 207 vertex set, FPT by vertex cover [31].
- 208 • SAFE SET is W[1]-hard parameterized by pathwidth, FPT by vertex cover
 209 [8].
- 210 • MATCHING WITH LOWER QUOTAS is W[1]-hard parameterized by pathwidth
 211 [4].
- 212 • SUBGRAPH ISOMORPHISM is W[1]-hard parameterized by the pathwidth of
 213 G , even when G, H are connected planar graphs of maximum degree 3 and
 214 H is a tree [70].
- 215 • METRIC DIMENSION is W[1]-hard by pathwidth [17]. This was recently
 216 strengthened to paraNP-hardness [68], again for pathwidth.
- 217 • SIMPLE COMPREHENSIVE ACTIVITY SELECTION is W[1]-hard by pathwidth
 218 [30].

- 219 • DEFENSIVE STACKELBERG GAME FOR IGL is W[1]-hard by pathwidth (re-
220 duction from EQUITABLE COLORING) [5].
- 221 • DIRECTED (p, q) -EDGE DOMINATING SET is W[1]-hard parameterized by
222 pathwidth [7].
- 223 • MAXIMUM PATH COLORING is W[1]-hard for pathwidth [63].
- 224 • Unweighted k -SPARSEST CUT is W[1]-hard parameterized by the three com-
225 bined parameters tree-depth, feedback vertex set, and k [51].
- 226 • GRAPH MODULARITY is W[1]-hard parameterized by pathwidth plus feed-
227 back vertex set [72].
- 228 • MINIMUM STABLE CUT is W[1]-hard parameterized by pathwidth [65].

229 Let us also mention in passing that the algorithmic differences of pathwidth and
230 treewidth may also be studied in the context of problems which are hard for constant
231 treewidth. Such problems also generally remain hard for constant pathwidth (exam-
232 ples are STEINER FOREST [46], BANDWIDTH [73], MINIMUM MCUT [45]). One could
233 also potentially try to distinguish between pathwidth and treewidth by considering
234 the parameter dependence of a problem that is FPT for both. Indeed, for a long time
235 the best-known algorithm for DOMINATING SET had complexity 3^k for pathwidth,
236 but 4^k for treewidth. Nevertheless, the advent of fast subset convolution techniques
237 [85], together with tight SETH-based lower bounds [69] has, for most problems, shown
238 that the complexities on the two parameters coincide exactly.

239 Finally, let us mention a case where pathwidth and treewidth have been shown
240 to be quite different in a sense similar to our framework. In [78] Razgon showed that
241 a CNF can be compiled into an OBDD (Ordered Binary Decision Diagram) of size
242 FPT in the pathwidth of its incidence graphs, but there exist formulas that always
243 need OBDDs of size XP in the treewidth. Although this result does separate the
244 two parameters, it is somewhat adjacent to what we are looking for, as it does not
245 speak about the complexity of a decision problem, but rather shows that an OBDD-
246 producing algorithm parameterized by treewidth would need XP time simply because
247 it would have to produce a huge output in some cases.

248 **2. Definitions and Preliminaries.** For non-negative integers i, j , we use $[i, j]$
249 to denote the set $\{k \mid i \leq k \leq j\}$. Note that if $j < i$, then the set $[i, j]$ is empty. We
250 will also write simply $[i]$ to denote the set $[1, i]$.

251 We give two equivalent definitions of our main problem.

252 **DEFINITION 2.1.** *A k -Grundy Coloring of a graph $G = (V, E)$ is a partition of V*
253 *into k non-empty sets V_1, \dots, V_k such that: (i) for each $i \in [k]$ the set V_i induces an*
254 *independent set; (ii) for each $i \in [k - 1]$ the set V_i dominates the set $\bigcup_{i < j \leq k} V_j$.*

255 **DEFINITION 2.2.** *A k -Grundy Coloring of a graph $G = (V, E)$ is a proper k -*
256 *coloring $c : V \rightarrow [k]$ that results by applying the First-Fit algorithm on an ordering*
257 *of V ; the First-Fit algorithm colors one by one the vertices in the given ordering,*
258 *assigning to a vertex the minimum color that is not already assigned to one of its*
259 *preceding neighbors.*

260 The Grundy number of a graph G , denoted by $\Gamma(G)$, is the maximum k such
261 that G admits a k -Grundy Coloring. In a given Grundy Coloring, if $u \in V_i$ (equiv. if
262 $c(u) = i$) we will say that u was given color i . The GRUNDY COLORING problem is
263 the problem of determining the maximum k for which a graph G admits a k -Grundy
264 Coloring. It is not hard to see that a proper coloring is a Grundy coloring if and only
265 if every vertex assigned color i has at least one neighbor assigned color j , for each
266 $j < i$.

267 **3. W[1]-Hardness for Treewidth.** In this section we prove that GRUNDY
 268 COLORING parameterized by treewidth is W[1]-hard (Theorem 3.14). Our proof re-
 269 lies on a reduction from k -MULTI-COLORED CLIQUE and initially establishes W[1]-
 270 hardness for a more general problem where we are given a target color for a set of
 271 vertices (Lemma 3.6); we then reduce this to GRUNDY COLORING.

272 An interesting aspect of our reduction is that up until a rather advanced point,
 273 the instance we construct has not only bounded treewidth (which is necessary for the
 274 construction to work), but also bounded pathwidth (see Lemma 3.10). This would
 275 seem to indicate that we are headed towards a W[1]-hardness result for GRUNDY
 276 COLORING parameterized by pathwidth, which would contradict the FPT algorithm
 277 of Section 4! This is of course not the case, so it is instructive to ponder why the
 278 reduction fails to work for pathwidth. The reason this happens is that the final step,
 279 which reduces our instance to the plain version of GRUNDY COLORING needs to rely
 280 on a support operation that “pre-colors” some of the vertices and the gadgets we
 281 use to achieve this are trees of unbounded Grundy number. The results of Section 4
 282 indicate that if these gadgets have unbounded Grundy number, they *must* also have
 283 unbounded pathwidth, hence there is a good combinatorial reason why our reduction
 284 only works for treewidth.

285 Let us now present the different parts of our construction. We will make use of
 286 the structure of binomial trees T_i .

287 **DEFINITION 3.1.** *The binomial tree T_i with root r_i is a rooted tree defined recur-*
 288 *sively in the following way: T_1 consists simply of its root r_1 ; in order to construct T_i*
 289 *for $i > 1$, we construct one copy of T_j for all $j < i$ and a special vertex r_i , then we*
 290 *connect r_j with r_i . An alternative equivalent definition of the binomial tree T_i , $i \geq 2$*
 291 *is that we construct two trees T_{i-1} , T'_{i-1} , we connect their roots r_{i-1} , r'_{i-1} and select*
 292 *one of them as the new root r_i .*

293 **PROPOSITION 3.2.** *Let $i \geq 2$, T_i be a binomial tree and $1 \leq t < i$. There exist*
 294 *2^{i-t-1} binomial trees T_t which are vertex-disjoint and non-adjacent subtrees in T_i ,*
 295 *where no T_t contains the root r_i of T_i .*

296 *Proof.* By induction in $i - t$. For $i - t = 1$, T_i indeed contains one T_{i-1} that does
 297 not contain the root r_i . Let it be true that T_{i-1} contains 2^{i-t-2} subtrees T_t . Then
 298 T_i contains two trees T_{i-1} each of which contains 2^{i-t-2} T_j , thus 2^{i-t-1} in total. \square

299 **PROPOSITION 3.3.** $\Gamma(T_i) \leq i$. *Furthermore, for all $j \leq i$ there exists a Grundy*
 300 *coloring which assigns color j to the root of T_i .*

301 *Proof.* The first part is trivial since in any graph G with maximum degree Δ we
 302 have $\Gamma(G) \leq \Delta + 1$. In this case $\Gamma(T_i) \leq (i - 1) + 1 = i$. For the second part, we
 303 first prove that there is a Grundy coloring which assigns color i to the root. This
 304 can be proven by strong induction: if for all $k < i$, there is a Grundy coloring which
 305 assigns color k to r_k for all $1 \leq k \leq i - 1$, then under this coloring, r_i has at least one
 306 neighbor receiving color k for all $1 \leq k \leq i - 1$, so it has to receive color i . To assign
 307 to the root a color $j < i$ we observe that if $j = 1$ this is trivial; if $j > 1$, we use the
 308 fact that (by inductive hypothesis) there is a coloring that assigns color $j - 1$ to r_j ,
 309 so in this coloring the root r_i will take color j . \square

310 A Grundy coloring of T_i that assigns color i to r_i is called *optimal*. If r_i is assigned
 311 color $j < i$ then we call the Grundy coloring *sub-optimal*.

312 We now define a generalization of the Grundy coloring problem with target colors
 313 and show that it is W[1]-hard parameterized by treewidth. We later describe how to
 314 reduce this problem to GRUNDY COLORING such that the treewidth does not increase

315 by a lot.

316 **DEFINITION 3.4 (GRUNDY COLORING WITH TARGETS).** *We are given a graph*
 317 *$G(V, E)$, an integer $t \in \mathbb{N}$ called the target and a subset $S \subset V$. (For simplicity*
 318 *we will say that vertices of S have target t .) If G admits a Grundy Coloring which*
 319 *assigns color t to some vertex $s \in S$ we say that, for this coloring, vertex s achieves*
 320 *its target. If there exists a Grundy Coloring of G which assigns to all vertices of S*
 321 *color t , then we say that G admits a Target-achieving Grundy Coloring. GRUNDY*
 322 *COLORING WITH TARGETS is the decision problem associated to the question “given*
 323 *G, S, t as defined above, does G admit a Target-achieving Grundy Coloring ?”.*

324 We will also make use of the following operation:

325 **DEFINITION 3.5 (Tree-support).** *Given a graph $G = (V, E)$, a vertex $u \in V$ and a*
 326 *set N of positive integers, we define the tree-support operation as follows: (a) for all*
 327 *$i \in N$ we add a copy of T_i in the graph; (b) we connect u to the root r_i of each of the*
 328 *T_i . We say that we add supports N on u . The trees T_i will be called the supporting*
 329 *trees or supports of u . Slightly abusing notation, we also call supports the numbers*
 330 *$i \in N$.*

331 Intuitively, the tree-support operation ensures that vertex u may have at least
 332 one neighbor of color i for each $i \in N$ in a Grundy coloring, and thus increase the
 333 color u can take. Observe that adding supporting trees to a vertex does not increase
 334 the treewidth, but does increase the pathwidth (binomial trees have unbounded path-
 335 width).

336 Our reduction is from k -MULTI-COLORED CLIQUE, proven to be $W[1]$ -hard in [35]:
 337 given a k -multipartite graph $G = (V_1, V_2, \dots, V_k, E)$, decide if for every $i \in [k]$ we
 338 can pick $u_i \in V_i$ forming a clique, where k is the parameter. We can also assume
 339 that $\forall i \in [k], |V_i| = n$, that n is a power of 2, and that $V_i = \{v_{i,0}, v_{i,1}, \dots, v_{i,n-1}\}$.
 340 Furthermore, let $|E| = m$. We construct an instance of GRUNDY COLORING WITH
 341 TARGETS $G' = (V', E')$ and $t = 2 \log n + 4$ (where all logarithms are base two) using
 342 the following gadgets:

343 **Vertex selection $S_{i,j}$.** See Figure 2a. This gadget consists of $2 \log n$ vertices $S_{i,j}^1 \cup$
 344 $S_{i,j}^2 = \bigcup_{l \in [\log n]} \{s_{i,j}^{2l-1}\} \cup \bigcup_{l \in [\log n]} \{s_{i,j}^{2l}\}$, where for each $l \in [\log n]$ we connect
 345 vertex $s_{i,j}^{2l-1}$ to $s_{i,j}^{2l}$ thus forming a matching. Furthermore, for each $l \in$
 346 $[2, \log n]$, we add supports $[2l - 2]$ to vertices $s_{i,j}^{2l-1}$ and $s_{i,j}^{2l}$. Observe that the
 347 vertices $s_{i,j}^{2l-1}$ and $s_{i,j}^{2l}$ together with their supports form a binomial tree T_{2l}
 348 with either of these vertices as the root. We construct $k(m + 2)$ gadgets $S_{i,j}$,
 349 one for each $i \in [k], j \in [0, m + 1]$.

350 The vertex selection gadget $S_{i,1}$ encodes in binary the vertex that is selected
 351 in the clique from V_i . In particular, for each pair $s_{i,1}^{2l-1}, s_{i,1}^{2l}, l \in [\log n]$ either
 352 of these vertices can take the maximum color in an optimal Grundy coloring
 353 of the binomial tree T_{2l} (that is, a coloring that gives the root of the binomial
 354 tree T_{2l} color $2l$). A selection corresponds to bit 0 or 1 for the l^{th} binary
 355 position. In order to ensure that for each $j \in [m]$ all (middle) $S_{i,j}$ encode the
 356 same vertex, we use propagators.

357 **Propagators $p_{i,j}$.** See Figure 2b. For $i \in [k]$ and $j \in [0, m]$, a propagator $p_{i,j}$ is a
 358 single vertex connected to all vertices of $S_{i,j}^2 \cup S_{i,j+1}^1$. To each $p_{i,j}$, we also
 359 add supports $\{2 \log n + 1, 2 \log n + 2, 2 \log n + 3\}$. The propagators have target
 360 $t = 2 \log n + 4$.

361 **Edge selection W_j .** See Figure 2b. Let $j = (v_{i,x}, v_{i',y}) \in E$, where $v_{i,x} \in V_i$ and

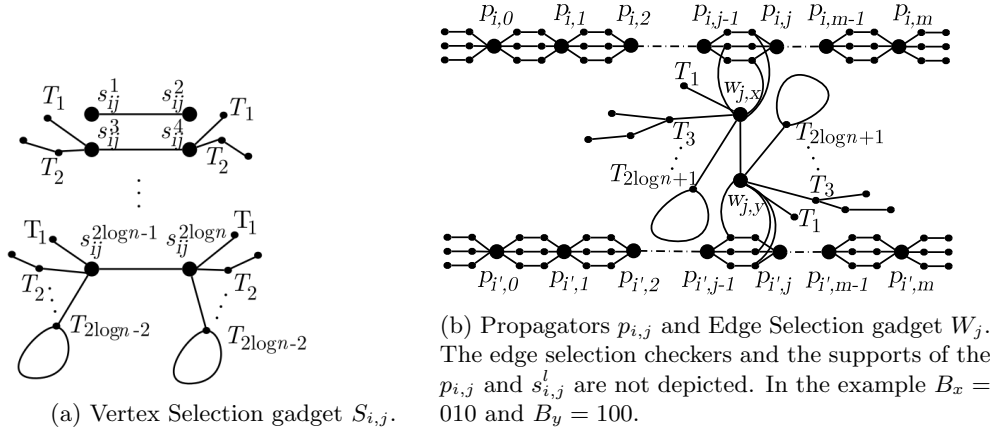


Fig. 2: The gadgets. Figure 2a is an enlargement of Figure 2b between $p_{i,j-1}$ and $p_{i,j}$.

362 $v_{i',y} \in V_{i'}$. The gadget W_j consists of four vertices $w_{j,x}, w_{j,y}, w'_{j,x}, w'_{j,y}$.
 363 We call $w'_{j,x}, w'_{j,y}$ the *edge selection checkers*. We have the edges $(w_{j,x},$
 364 $w_{j,y}), (w'_{j,x}, w_{j,x}), (w'_{j,y}, w_{j,y})$. Let us now describe the connections of these
 365 vertices with the rest of the graph. Let $B_x = b_1 b_2 \dots b_{\log n}$ be the binary
 366 representation of x . We connect $w_{j,x}$ to each vertex $s_{i,j}^{2l-b_l}$, $l \in [\log n]$ (we
 367 do similarly for $w_{j,y}, S_{i',j}$, and B_y). We add to each of $w_{j,x}, w_{j,y}$ supports
 368 $\bigcup_{l \in [\log n + 1]} \{2l - 1\}$. We add to each of $w'_{j,x}, w'_{j,y}$ supports $[2\log n + 3] \setminus$
 369 $\{2\log n + 1\}$ and set the target $t = 2\log n + 4$ for these two vertices. We
 370 construct m such gadgets, one for each edge. We say that W_j is *activated* if
 371 at least one of $w_{j,x}, w_{j,y}$ receives color $2\log n + 3$.

372 **Edge validators** $q_{i,i'}$. We construct $\binom{k}{2}$ of these gadgets, one for each pair (i, i') , $i <$
 373 $i' \in [k]$. The edge validator is a single vertex that is connected to all vertices
 374 $w_{j,x}$ for which j is an edge between V_i and $V_{i'}$. We add supports $[2\log n + 2]$
 375 and a target of $t = 2\log n + 4$.

376 The edge validator plays the role of an “or” gadget: in order for it to achieve
 377 its target, at least one of its neighboring edge selection gadgets should be
 378 activated.

379 **LEMMA 3.6.** *G has a clique of size k if and only if G' has a target-achieving*
 380 *Grundy coloring.*

381 *Proof.* \Rightarrow) Suppose that G has a clique and we want to produce a coloring of G' .
 382 In the remainder, when we say that we color a support tree “optimally”, we mean
 383 that we color its internal vertices in a way that gives the root the maximum possible
 384 color.

385 We color the vertices of G' in the following order: First, we color the vertex
 386 selection gadget $S_{i,j}$. We start from the supports which we color optimally. We
 387 then color the matchings as follows: let $v_{i,x}$ be the vertex that was selected in the
 388 clique from V_i and $b_1 b_2 \dots b_{\log n}$ be the binary representation of x ; we color vertices
 389 $s_{i,j}^{2l-(1-b_l)}$, $l \in [\log n]$ with color $2l - 1$ and vertices $s_{i,j}^{2l-b_l}$, $l \in [\log n]$ will receive

390 color $2l$. For the propagators, we color their supports optimally. Propagators have
 391 $2 \log n + 3$ neighbors each, all with different colors, so they receive color $2 \log n + 4$,
 392 thus achieving the targets.

393 Then, we color the edge validators $q_{i,i'}$ and the edge selection gadgets W_j that
 394 correspond to edges of the clique (that is, $j = (v_{i,x}, v_{i',y}) \in E$ and $v_{i,x} \in V_i, v_{i',y} \in V_{i'}$
 395 are selected in the clique). We first color the supports of $q_{i,i'}, w_{j,x}, w_{j,y}$ optimally.
 396 From the construction, vertex $w_{j,x}$ is connected with vertices $s_{i,j}^{2l-b_l}$ which have already
 397 been colored $2l$, $l \in [\log n]$ and with supports $\bigcup_{l \in [\log n+1]} \{2l-1\}$, thus $w_{j,x}$ will receive
 398 color $2 \log n + 2$. Similarly $w_{j,y}$ already has neighbors which are colored $[2 \log n + 1]$, but
 399 also $w_{j,x}$, thus it will receive color $2 \log n + 3$. These W_j will be activated. Since both
 400 $w_{j,x}, w_{j,y}$ connect to $q_{i,i'}$, the latter will be assigned color $2 \log n + 4$, thus achieving
 401 its target. As for $w'_{j,x}$ and $w'_{j,y}$, these vertices have one neighbor colored c , where
 402 $c = 2 \log n + 2$ or $c = 2 \log n + 3$. We color their support T_c sub-optimally so that the
 403 root receives color $2 \log n + 1$; we color their remaining supports optimally. This way,
 404 vertices $w'_{j,x}, w'_{j,y}$ can be assigned color $t = 2 \log n + 4$, achieving the target.

405 Finally, for the remaining W_j , we claim that we can assign to both $w_{j,x}, w_{j,y}$ a
 406 color that is at least as high as $2 \log n + 1$. Indeed, we assign to each supporting
 407 tree T_r of $w_{j,x}$ a coloring that gives its root the maximum color that is $\leq r$ and does
 408 not appear in any neighbor of $w_{j,x}$ in the vertex selection gadget. We claim that in
 409 this case $w_{j,x}$ will have neighbors with all colors in $[2 \log n]$, because in every interval
 410 $[2l-1, 2l]$ for $l \in [\log n]$, $w_{j,x}$ has a neighbor with a color in that interval and a support
 411 tree T_{2l+1} . If $w_{j,x}$ has color $2 \log n + 1$ then we color the supports of $w'_{j,x}$ optimally
 412 and achieve its target, while if $w_{j,x}$ has color higher than $2 \log n + 1$, we achieve the
 413 target of $w'_{j,x}$ as in the previous paragraph.

414 \Leftarrow) Suppose that G' admits a coloring that achieves the target for all propagators,
 415 edge selection checkers, and edge validators. We will prove the following three claims,
 416 which together imply the remaining direction of the lemma:

417 CLAIM 3.7. *The coloring of the vertex selection gadgets is consistent throughout,*
 418 *that is, for each $i \in [k]$ and for each j_1, j_2, l , we have that s_{i,j_1}^l, s_{i,j_2}^l received the same*
 419 *color. This coloring corresponds to a selection of k vertices of G .*

420 CLAIM 3.8. *$\binom{k}{2}$ edge selection gadgets have been activated. They correspond to*
 421 *$\binom{k}{2}$ edges of G being selected.*

422 CLAIM 3.9. *If an edge selection gadget $W_j = \{w_{j,x}, w_{j,y}\}$ with $j = (v_{i,x}, v_{i',y})$ has*
 423 *been activated then the coloring of the vertex selection gadgets $S_{i,j}$ and $S_{i',j}$ corre-*
 424 *sponds to the selection of vertices $v_{i,x}$ and $v_{i',y}$. In other words, selected vertices and*
 425 *edges form a clique of size k in G .*

426 *Proof of Claim 3.7.* Suppose that an edge selection checker $w'_{j,x}$ achieved its tar-
 427 get. We claim that this implies that $w_{j,x}$ has color at least $2 \log n + 1$. Indeed, $w'_{j,x}$
 428 has degree $2 \log n + 3$, so its neighbors must have all distinct colors in $[2 \log n + 3]$, but
 429 among the supports there are only 2 neighbors which may have colors in $[2 \log n +$
 430 $1, 2 \log n + 3]$. Therefore, the missing color must come from $w_{j,x}$. We now observe
 431 that vertices from the vertex selection gadgets have color at most $2 \log n$, because if
 432 we exclude from their neighbors the vertices $w_{j,x}$ (which we argued have color at least
 433 $2 \log n + 1$) and the propagators (which have target $2 \log n + 4$), these vertices have
 434 degree at most $2 \log n - 1$.

435 Suppose that a propagator $p_{i,j}$ achieves its target of $2 \log n + 4$. Since this vertex
 436 has a degree of $2 \log n + 3$, that means that all of its neighbors should receive all the
 437 colors in $[2 \log n + 3]$. As argued, colors $[2 \log n + 1, 2 \log n + 3]$ must come from the

438 supports. Therefore, the colors $[2 \log n]$ come from the neighbors of $p_{i,j}$ in the vertex
439 selection gadgets.

440 We now note that, because of the degrees of vertices in vertex selection gadgets,
441 only vertices $s_{i,j}^{2 \log n}, s_{i,j+1}^{2 \log n-1}$ can receive colors $2 \log n, 2 \log n - 1$; from the rest, only
442 $s_{i,j}^{2 \log n-2}, s_{i,j+1}^{2 \log n-3}$ can receive colors $2 \log n - 2, 2 \log n - 3$ etc. Thus, for each $l \in$
443 $[\log n]$, if $s_{i,j}^{2l}$ receives color $2l - 1$ then $s_{i,j+1}^{2l-1}$ should receive color $2l$ and vice versa.
444 With similar reasoning, in all vertex selection gadgets we have that $s_{i,j}^{2l-1}, s_{i,j}^{2l}$ received
445 the two colors $\{2l - 1, 2l\}$ since they are neighbors. As a result, the colors of $s_{i,j+1}^{2l-1},$
446 $s_{i,j}^{2l-1}$ (and thus the colors of $s_{i,j+1}^{2l}, s_{i,j}^{2l}$) are the same, therefore, the coloring is
447 consistent, for all values of $j \in [m]$. \square

448 *Proof of Claim 3.8.* If an edge validator achieves its target of $2 \log n + 4$, then at
449 least one of its neighbors from an edge selection gadget has received color $2 \log n + 3$.
450 We know that each edge selection gadget only connects to a unique edge validator, so
451 there should be $\binom{k}{2}$ edge selection gadgets which have been activated in order for all
452 edge validators to achieve the target. \square

453 *Proof of Claim 3.9.* Suppose that an edge validator $q_{i,i'}$ achieves its target. That
454 means that there exists an edge selection gadget $W_j = \{w_{j,x}, w_{j,y}, w'_{j,x}, w'_{j,y}\}$ for which
455 at least one of its vertices $\{w_{j,x}, w_{j,y}\}$, say vertex $w_{j,x}$, has received color $2 \log n + 3$.
456 Let j be an edge connecting $v_{i,x} \in V_i$ to $v_{i',y} \in V_{i'}$. Since the degree of $w_{j,x}$ is
457 $2 \log n + 4$ and we have already assumed that two of its neighbors ($q_{i,i'}$ and $w'_{j,x}$)
458 have color $2 \log n + 4$, in order for it to receive color $2 \log n + 3$ all its other neighbors
459 should receive all colors in $[2 \log n + 2]$. The only possible assignment is to give colors
460 $2l, l \in [\log n]$ to its neighbors from $S_{i,j}$ and color $2 \log n + 2$ to $w_{j,y}$. The latter is, in
461 turn, only possible if the neighbors of $w_{j,y}$ from $S_{i',j}$ receive all colors $2l, l \in [\log n]$.
462 The above corresponds to selecting vertex $v_{i,x}$ from V_i and $v_{i',y}$ from $V_{i'}$. \square

463 LEMMA 3.10. *Let G'' be the graph that results from G' if we remove all the tree-*
464 *supports. Then G'' has pathwidth at most $\binom{k}{2} + 2k + 3$.*

465 *Proof.* We will use the equivalent definition of pathwidth as a node-searching
466 game, where the robber is eager and invisible and the cops are placed on nodes [14].
467 We will use $\binom{k}{2} + 2k + 4$ cops to clean G'' as follows: We place $\binom{k}{2}$ cops on the edge
468 validators. Then, starting from $j = 0$, we place $2k$ cops on the propagators $p_{i,0}, p_{i,1}$
469 for $i = 1, \dots, k$, plus 2 cops on the edge selection vertices $w_{j,x}, w_{j,y}$ that correspond
470 to edge j . We use the two additional cops to clean line by line the gadgets $S_{i,j}$. We
471 then use one of these cops to clear $w'_{j,x}, w'_{j,y}$. We continue then to the next column
472 $j = 2$ by removing the k cops from the propagators $p_{i,1}$ and placing them to $p_{i,3}$. We
473 continue for $j = 3, \dots, m - 1$ until the whole graph has been cleaned. \square

474 We will now show how to implement the targets using the tree-filling operation
475 defined below.

476 DEFINITION 3.11 (Tree-filling). *Let $G = (V, E)$ be a graph. Suppose that $S =$
477 $\{s_1, s_2, \dots, s_j\} \subset V$ is a set of vertices with target t . The tree-filling operation is the
478 following. First, we add in G a binomial tree T_i , where $i = \lceil \log j \rceil + t + 1$. Observe
479 that, by Proposition 3.2, there exist $2^{i-t-1} > j$ vertex-disjoint and non-adjacent sub-
480 trees T_t in T_i . For each $s \in S$, we find such a copy of T_t in T_i , identify s with its root
481 r_t , and delete all other vertices of the sub-tree T_t .*

482 The tree-filling operation might in general increase treewidth, but we will do it
483 in a way such that treewidth only increases by a constant factor compared to the

484 pathwidth of G .

485 LEMMA 3.12. *Let $G = (V, E)$ be a graph of pathwidth w and $S = \{s_1, \dots, s_j\} \subset V$*
 486 *a subset of vertices having target t . Then there is a way to apply the tree-filling*
 487 *operation such that the resulting graph H has $tw(H) \leq 4w + 5$.*

488 *Proof. Construction of H .* Let $(\mathcal{P}, \mathcal{B})$ be a path-decomposition of G whose
 489 largest bag has size $w + 1$ and $B_1, B_2, \dots, B_j \in \mathcal{B}$ distinct bags where $\forall a, s_a \in B_a$
 490 (assigning a distinct bag to each s_a is always possible, as we can duplicate bags if
 491 necessary). We call those bags *important*. We define an ordering $o : S \rightarrow \mathbb{N}$ of the
 492 vertices of S that follows the order of the important bags from left to right, that
 493 is $o(s_a) < o(s_b)$ if B_a is on the left of B_b in \mathcal{P} . For simplicity, let us assume that
 494 $o(s_a) = a$ and that B_a is to the left of B_b if $a < b$.

495 We describe a recursive way to do the substitution of the trees in the tree-filling
 496 operation. Crucially, when $j > 2$ we will have to select an appropriate mapping
 497 between the vertices of S and the disjoint subtrees T_t in the added binomial tree T_i ,
 498 so that we will be able to keep the treewidth of the new graph bounded.

- 499 • If $j = 1$ then $i = t + 1$. We add to the graph a copy of T_i , arbitrarily select
 500 the root of a copy of T_t contained in T_i , and perform the tree-filling operation
 501 as described.
- 502 • Suppose that we know how to perform the substitution for sets of size at most
 503 $\lceil j/2 \rceil$, we will describe the substitution process for a set of size j . We have
 504 $i = \lceil \log j \rceil + t + 1$ and for all j we have $\lceil \log \lceil j/2 \rceil \rceil = \lceil \log j \rceil - 1$. Split the
 505 set S into two (almost) equal disjoint sets S^L and S^R of size at most $\lceil j/2 \rceil$,
 506 where for all $s_a \in S^L$ and for all $s_b \in S^R$, $a < b$. We perform the tree-filling
 507 on each of these sets by constructing two binomial trees T_{i-1}^L, T_{i-1}^R and doing
 508 the substitution; then, we connect their roots and set the root of the left tree
 509 as the root r_i of T_i , thus creating the substitution of a tree T_i .

510 **Small treewidth.** We now prove that the new graph H that results from apply-
 511 ing the tree-filling operation on G and S as described above has a tree decomposition
 512 $(\mathcal{T}, \mathcal{B}')$ of width $4w + 5$; in fact we prove by induction on j a stronger statement:
 513 if $A, Z \in \mathcal{B}$ are the left-most and right-most bags of \mathcal{P} , then there exists a tree de-
 514 composition $(\mathcal{T}, \mathcal{B}')$ of H of width $4w + 5$ with the added property that there exists
 515 $R \in \mathcal{B}'$ such that $A \cup Z \cup \{r_i\} \subset R$, where r_i is the root of the tree T_i .

516 For the base case, if $j = 1$ we have added to our graph a T_i of which we have
 517 selected an arbitrary sub-tree T_t , and identified the root r_t of T_t with the unique
 518 vertex of S that has a target. Take the path decomposition $(\mathcal{P}, \mathcal{B})$ of the initial graph
 519 and add all vertices of A (its first bag) and the vertex r_i (the root of T_i) to all bags.
 520 Take an optimal tree decomposition of T_i of width 1 and add r_i to each bag, obtaining
 521 a decomposition of width 2. We add an edge between the bag of \mathcal{P} that contains the
 522 unique vertex of S , and a bag of the decomposition of T_i that contains the selected
 523 r_i . We now have a tree decomposition of the new graph of width $2w + 2 < 4w + 5$.
 524 Observe that the last bag of \mathcal{P} now contains all of A, Z and r_i .

525 For the inductive step, suppose we applied the tree-filling operation for a set S
 526 of size $j > 1$. Furthermore, suppose we know how to construct a tree decomposition
 527 with the desired properties (width $4w + 5$, one bag contains the first and last bags of
 528 the path decomposition \mathcal{P} and r_i), if we apply the tree-filling operation on a target
 529 set of size at most $j - 1$. We show how to obtain a tree decomposition with the desired
 530 properties if the target set has size j .

531 By construction, we have split the set S into two sets S^L, S^R and have applied
 532 the tree-filling operation to each set separately. Then, we connected the roots of the

533 two added trees to obtain a larger binomial tree. Observe that for $|S| = j > 1$ we
 534 have $|S^L|, |S^R| < j$.

535 Let us first cut \mathcal{P} in two parts, in such a way that the important bags of S^L
 536 are on the left and the important bags of S^R are on the right. We call $A^L = A$ and
 537 Z^L the leftmost and rightmost bags of the left part and $A^R, Z^R = Z$ the leftmost
 538 and rightmost bags of the right part. We define as G^L (respectively G^R) the graph
 539 that contains all the vertices of the left (respectively right) part. Let r_i be the root
 540 of T_i and r_{i-1} the root of its subtree T_{i-1} . From the inductive hypothesis, we can
 541 construct tree decompositions $(\mathcal{T}^L, \mathcal{B}^L), (\mathcal{T}^R, \mathcal{B}^R)$ of width $4w+5$ for the graphs $H^L,$
 542 H^R that occur after applying tree-filling on G^L, S^L and G^R, S^R ; furthermore, there
 543 exist $R^L \in \mathcal{B}^L, R^R \in \mathcal{B}^R$ such that $R^L \supseteq A \cup Z^L \cup \{r_i\}$ and $R^R \supseteq A^R \cup Z \cup \{r_{i-1}\}$.

544 We construct a new bag $R' = A \cup A^R \cup Z^L \cup Z \cup \{r_{i-1}, r_i\}$, and we connect R'
 545 to both R^L and R^R , thus combining the two tree-decompositions into one. Last we
 546 create a bag $R = A \cup Z \cup \{r_i\}$ and attach it to R' . This completes the construction
 547 of $(\mathcal{T}, \mathcal{B}')$.

548 Observe that $(\mathcal{T}, \mathcal{B}')$ is a valid tree-decomposition for H :

- 549 • $V(H) = V(H^L) \cup V(H^R)$, thus $\forall v \in V(H), v \in \mathcal{B}^L \cup \mathcal{B}^R \subset \mathcal{B}$.
- 550 • $E(H) = E(H^L) \cup E(H^R) \cup \{(r_{i-1}, r_i)\}$. We have that $r_{i-1}, r_i \in R' \in \mathcal{B}$. All
 551 other edges were dealt with in $\mathcal{T}^L, \mathcal{T}^R$.
- 552 • Each vertex $v \in V(H)$ that belongs in exactly one of H^L, H^R trivially satisfied
 553 the connectivity requirement: bags that contain v are either fully contained
 554 in \mathcal{T}^L or \mathcal{T}^R . A vertex v that is in both H^L and H^R is also in $Z^L \cap A^R$ due to
 555 the properties of path-decompositions, hence in R' . Therefore, the sub-trees
 556 of bags that contain v in $\mathcal{T}^L, \mathcal{T}^R$, form a connected sub-tree in \mathcal{T} .

557 The width of \mathcal{T} is $\max\{tw(H^L), tw(H^R), |R'| - 1\} = 4w + 5$. □

558 The last thing that remains to do in order to complete the proof is to show the
 559 equivalence between achieving the targets and finding a Grundy coloring.

560 **LEMMA 3.13.** *Let G and G' be two graphs as described in Lemma 3.6 and let H*
 561 *be constructed from G' by using the tree-filling operation. Then G has a clique of*
 562 *size k if and only if $\Gamma(H) \geq \lceil \log(k(m+1) + \binom{k}{2} + 2m) \rceil + 2 \log n + 5$. Furthermore,*
 563 *$tw(H) \leq 4\binom{k}{2} + 8k + 17$.*

564 *Proof.* We note that the number of vertices with targets in our construction is
 565 $m' = k(m+1) + \binom{k}{2} + 2m$ (the propagators, edge selection checkers, and edge-checkers).
 566 From Lemma 3.6, it only suffices to show that $\Gamma(H) \geq \lceil \log m' \rceil + 2 \log n + 5$ if and
 567 only if the vertices with targets achieve color $t = 2 \log n + 4$.

568 For the forward direction, once vertices with targets get the desirable colors, the
 569 rest of the binomial tree of the tree-filling operation can be colored optimally, starting
 570 from its leaves all the way up to its roots, which will get color $i = \lceil \log m' \rceil + 2 \log n + 5$.

571 For the converse direction, observe that the only vertices having degree higher
 572 than $2 \log n + 4$ are the edge-checkers and the vertices of the binomial tree $H \setminus G'$.
 573 However, the edge-checkers connect to only one vertex of degree higher than $2 \log n + 4$,
 574 that in the binomial tree. Thus no vertex of G' can ever get a color higher than
 575 $2 \log n + 6$ and the only way that $\Gamma(H) \geq \lceil \log m' \rceil + 2 \log n + 5$ is if the root of the
 576 binomial tree of the tree-filling operation (the only vertex of high enough degree)
 577 receives color $\lceil \log m' \rceil + 2 \log n + 5$. For that to happen, all the support-trees of this
 578 tree should be colored optimally, which proves that the vertices with targets $2 \log n + 4$
 579 having substituted support trees $T_{2 \log n + 4}$ should achieve their targets.

580 In terms of the treewidth of H we have the following: Lemma 3.10 says that

581 G' once we remove all the supporting trees has pathwidth at most $\binom{k}{2} + 2k + 3$.
 582 Applying Lemma 3.12 we get that H where we have ignored the tree-supports from
 583 G' has treewidth at most $4 \left(\binom{k}{2} + 2k + 3 \right) + 5$. Adding back the tree-supports does
 584 not increase its treewidth. \square

585 The main theorem of this section now immediately follows.

586 **THEOREM 3.14.** GRUNDY COLORING *parameterized by treewidth is $W[1]$ -hard.*

587 **4. FPT for pathwidth.** In this section, we show that, in contrast to treewidth,
 588 GRUNDY COLORING is FPT parameterized by pathwidth. This is achieved by a
 589 combination of an algorithm for GRUNDY COLORING given by Telle and Proskurowski
 590 and a combinatorial bound due to Dujmovic, Joret, and Wood. We first recall these
 591 results below.

592 **LEMMA 4.1** ([27]). *For every graph G , $\Gamma(G) \leq 8 \cdot (pw(G) + 1)$.*

593 **LEMMA 4.2** ([84]). *There is an algorithm which solves GRUNDY COLORING in*
 594 *time $O^*(2^{O(tw(G) \cdot \Gamma(G))})$.*

595 We thus get the following result.

596 **THEOREM 4.3.** GRUNDY COLORING *can be solved in time $O^*(2^{O(pw(G)^2)})$.*

597 *Proof.* Since in all graphs $tw(G) \leq pw(G)$ and by Lemma 4.1 $\Gamma(G) \leq 8(pw(G) +$
 598 $1)$, we have $tw(G) \cdot \Gamma(G) = O(pw(G)^2)$ and the algorithm of [84] runs in at most the
 599 stated time. \square

600 **5. NP-hardness for Constant Clique-width.** In this section we prove that
 601 GRUNDY COLORING is NP-hard even for constant clique-width via a reduction from
 602 3-SAT. We use a similar idea of adding supports as in Section 3, but supports now
 603 will be cliques instead of binomial trees. The support operation is defined as:

604 **DEFINITION 5.1.** *Given a graph $G = (V, E)$, a vertex $u \in V$ and a set of positive*
 605 *integers S , we define the **support** operation as follows: for each $i \in S$, we add to G*
 606 *a clique of size i (using new vertices) and we connect one arbitrary vertex of each such*
 607 *clique to u .*

608 When applying the support operation we will say that we support vertex u with
 609 set S and we will call the vertices introduced supporting vertices. Intuitively, the
 610 support operation ensures that the vertex u may have at least one neighbor with
 611 color i for each $i \in S$.

612 We are now ready to describe our construction. Suppose we are given a 3CNF
 613 formula ϕ with n variables x_1, \dots, x_n and m clauses c_1, \dots, c_m . We assume without
 614 loss of generality that each clause contains exactly three variables. We construct a
 615 graph $G(\phi)$ as follows:

- 616 1. For each $i \in [n]$ we construct two vertices x_i^P, x_i^N and the edge (x_i^P, x_i^N) .
- 617 2. For each $i \in [n]$ we support the vertices x_i^P, x_i^N with the set $[2i - 2]$. (Note
 618 that x_1^P, x_1^N have empty support).
- 619 3. For each $i \in [n], j \in [m]$, if variable x_i appears in clause c_j then we construct
 620 a vertex $x_{i,j}$. Furthermore, if x_i appears positive in c_j , we connect $x_{i,j}$ to $x_{i'}^P$
 621 for all $i' \in [n]$; otherwise we connect $x_{i,j}$ to $x_{i'}^N$ for all $i' \in [n]$.
- 622 4. For each $i \in [n], j \in [m]$ for which we constructed a vertex $x_{i,j}$ in the previous
 623 step, we support that vertex with the set $(\{2k \mid k \in [n]\} \cup \{2i - 1, 2n + 1, 2n +$
 624 $2\}) \setminus \{2i\}$.

- 625 5. For each $j \in [m]$ we construct a vertex c_j and connect to all (three) vertices
626 $x_{i,j}$ already constructed. We support the vertex c_j with the set $[2n]$.
627 6. For each $j \in [m]$ we construct a vertex d_j and connect it to c_j . We support
628 d_j with the set $[2n+3] \cup [2n+5, 2n+3+j]$.
629 7. We construct a vertex u and connect it to d_j for all $j \in [m]$. We support u
630 with the set $[2n+4] \cup [2n+5+m, 10n+10m]$.

631 This completes the construction. Before we proceed, let us give some intuition.
632 Observe that we have constructed two vertices x_i^P, x_i^N for each variable. The support
633 of these vertices and the fact that they are adjacent, allow us to give them colors
634 $\{2i-1, 2i\}$. The choice of which gets the higher color encodes an assignment to
635 variable x_i . The vertices $x_{i,j}$ are now supported in such a way that they can “ignore”
636 the values of all variables except x_i ; for x_i , however, $x_{i,j}$ “prefers” to be connected
637 to a vertex with color $2i$ (since $2i-1$ appears in the support of $x_{i,j}$, but $2i$ does
638 not). Now, the idea is that c_j will be able to get color $2n+4$ if and only if one of
639 its literal vertices $x_{i,j}$ was “satisfied” (has a neighbor with color $2i$). The rest of the
640 construction checks if all clause vertices are satisfied in this way.

641 We now state the lemmata that certify the correctness of our reduction.

642 LEMMA 5.2. *If ϕ is satisfiable then $G(\phi)$ has a Grundy coloring with $10n+10m+1$*
643 *colors.*

644 *Proof.* Consider a satisfying assignment of ϕ . We first produce a coloring of the
645 vertices x_i^P, x_i^N as follows: if x_i is set to True, then x_i^P is colored $2i$ and x_i^N is colored
646 $2i-1$; otherwise x_i^P is colored $2i-1$ and x_i^N is colored $2i$. Before proceeding, let
647 us also color the supporting vertices of x_i^P, x_i^N : each such vertex belongs to a clique
648 which contains only one vertex with a neighbor outside the clique. For each such
649 clique of size ℓ , we color all vertices of the clique which have no outside neighbors
650 with colors from $[\ell-1]$ and use color ℓ for the remaining vertex. Note that the
651 coloring we have produced so far is a valid Grundy coloring, since each vertex x_i^P, x_i^N
652 has for each $c \in [2i-2]$ a neighbor with color c among its supporting vertices, allowing
653 us to use colors $\{2i-1, 2i\}$ for x_i^P, x_i^N . In the remainder, we will use similar such
654 colorings for all supporting cliques. We will only stress the color given to the vertex
655 of the clique that has an outside neighbor, respecting the condition that this color
656 is not larger than the size of the clique. Note that it is not a problem if this color
657 is strictly smaller than the size of the clique, as we are free to give higher colors to
658 internal vertices.

659 Consider now a clause c_j for some $j \in [m]$. Suppose that this clause contains the
660 three variables $x_{i_1}, x_{i_2}, x_{i_3}$. Because we started with a satisfying assignment, at least
661 one of these variables has a value that satisfies the clause, without loss of generality
662 x_{i_3} . We therefore color $x_{i_1}, x_{i_2}, x_{i_3}$ with colors $2n+1, 2n+2, 2n+3$ respectively and
663 we color c_j with color $2n+4$. We now need to show that we can appropriately color
664 the supporting vertices to make this a valid Grundy coloring.

665 Recall that the vertex x_{i_3} has support $\{2, 4, \dots, 2n\} \setminus \{2i_3\} \cup \{2i_3-1, 2n+1, 2n+2\}$.
666 For each $i' \neq i_3$ we observe that x_{i_3} is connected to a vertex (either $x_{i_3}^P$ or $x_{i_3}^N$) which
667 has a color in $\{2i'-1, 2i'\}$, we are therefore missing the other color from this set.
668 We consider the clique of size $2i'$ supporting $x_{i_3,j}$: we assign this missing color to the
669 vertex of this clique that is adjacent to $x_{i_3,j}$. Note that the clique is large enough to
670 color its remaining vertices with lower colors in order to make this a valid Grundy
671 coloring. For i_3 , we observe that, since x_{i_3} satisfies the clause, the vertex $x_{i_3,j}$ has a
672 neighbor (either $x_{i_3}^P$ or $x_{i_3}^N$) which has received color $2i_3$; we use color $2i_3-1$ in the
673 support clique of the same size. Similarly, we use colors $2n+1, 2n+2$ in the support

674 cliques of the same sizes, and x_{i_3} has neighbors with colors covering all of $[2n + 2]$.

675 For the vertex $x_{i_2,j}$ we proceed in a similar way. For $i' < i_2$ we give the support
676 vertex from the clique of size $2i'$ the color from $\{2i' - 1, 2i'\}$ which does not already
677 appear in the neighborhood of $x_{i_2,j}$. For $i' \in [i_2, n - 1]$ we take the vertex from the
678 clique of size $2i' + 2$ and give it the color of $\{2i' - 1, 2i'\}$ which does not yet appear in
679 the neighborhood of $x_{i_2,j}$. In this way we cover all colors in $[2n - 2]$. We now observe
680 that $x_{i_2,j}$ has a neighbor with color in $\{2n - 1, 2n\}$ (either x_n^P or x_n^N); together with
681 the support vertices from the cliques of sizes $2n + 1, 2n + 2$ this allows us to cover
682 the colors $[2n - 1, 2n + 1]$. We use a similar procedure to cover the colors $[2n]$ in
683 the neighborhood of $x_{i_1,j}$. Now, the $2n$ support vertices in the neighborhood of c_j ,
684 together with $x_{i_1,j}, x_{i_2,j}, x_{i_3,j}$ allow us to give that vertex color $2n + 4$.

685 We now give each vertex d_j , for $j \in [m]$ color $2n + j + 4$. This can be extended to
686 a valid coloring, because d_j is adjacent to c_j , which has color $2n + 4$, and the support
687 of d_j is $[2n + j + 3] \setminus \{2n + 4\}$.

688 Finally, we give u color $10n + 10m + 1$. Its support is $[10n + 10m] \setminus [2n + 5, 2n + m + 4]$.
689 However, u is adjacent to all vertices d_j , whose colors cover the set $\{2n + 4 + j \mid j \in$
690 $[m]\}$. \square

691 LEMMA 5.3. *If $G(\phi)$ has a Grundy coloring with $10n + 10m + 1$ colors, then ϕ is*
692 *satisfiable.*

693 *Proof.* Consider a Grundy coloring of $G(\phi)$. We first assume without loss of
694 generality that we consider a minimal induced subgraph of G for which the coloring
695 remains valid, that is, deleting any vertex will either reduce the number of colors or
696 invalidate the coloring. In particular, this means there is a unique vertex with color
697 $10n + 10m + 1$. This vertex must have degree at least $10n + 10m$. However, there are
698 only two such vertices in our graph: u and its support neighbor vertex in the clique of
699 size $10n + 10m$. If the latter vertex has color $10n + 10m + 1$, we can infer that u has
700 color $10n + 10m$: this color cannot appear in the clique because all its internal vertices
701 have degree $10n + 10m - 1$, and one of their neighbors has a higher color. We observe
702 now that exchanging the colors of u and its neighbor produces another valid coloring.
703 We therefore assume without loss of generality that u has color $10n + 10m + 1$.

704 We now observe that in each supporting clique of u of size i the maximum color
705 used is i (since u has the largest color in the graph). Similarly, the largest color that
706 can be assigned to d_j is $2n + j + 4$, because d_j has degree $2n + j + 4$, but one of its
707 neighbors (u) has a higher color. We conclude that the only way for the $10n + 10m$
708 neighbors of u to cover all colors in $[10n + 10m]$ is for each support clique of size i to
709 use color i and for each d_j to be given color $2n + j + 4$.

710 Suppose now that d_j was given color $2n + j + 4$. This implies that the largest
711 color that c_j may have received is $2n + 4$, since its degree is $2n + 4$, but d_j received a
712 higher color. We conclude again that for the neighbors of d_j to cover $[2n + j + 3]$ it
713 must be the case that each supporting clique used its maximum possible color and c_j
714 received color $2n + 4$.

715 Suppose now that a vertex c_j received color $2n + 4$. Since d_j received a higher
716 color, the remaining $2n + 3$ neighbors of this vertex must cover $[2n + 3]$. In particu-
717 lar, since the support vertices have colors in $[2n]$, its three remaining neighbors, say
718 $x_{i_1,j}, x_{i_2,j}, x_{i_3,j}$ must have colors covering $[2n + 1, 2n + 3]$. Therefore, all vertices $x_{i,j}$
719 have colors in $[2n + 1, 2n + 3]$.

720 Consider now two vertices x_i^P, x_i^N , for some $i \in [n]$. We claim that the vertex
721 which among these two has the lower color, has color at most $2i - 1$. To see this
722 observe that this vertex may have at most $2i - 2$ neighbors from the support vertices

723 that have lower colors and these must use colors in $[2i - 2]$ because of their degrees.
 724 Its neighbors of the form $x_{i,j}$ have color at least $2n + 1 > 2i - 1$, and its neighbor
 725 in $\{x_i^P, x_i^N\}$ has a higher color. Therefore, the smaller of the two colors used for
 726 $\{x_i^P, x_i^N\}$ is at most $2i - 1$ and by similar reasoning the higher of the two colors used
 727 for this set is at most $2i$. We now obtain an assignment for ϕ by setting x_i to True if
 728 x_i^P has a higher color than x_i^N and False otherwise (this is well-defined, since x_i^P, x_i^N
 729 are adjacent).

730 Let us argue why this is a satisfying assignment. Take a clause vertex c_j . As
 731 argued, one of its neighbors, say $x_{i_3,j}$ has color $2n + 3$. The degree of $x_{i_3,j}$, excluding
 732 c_j which has a higher color, is $2n + 2$, meaning that its neighbors must exactly cover
 733 $[2n + 2]$ with their colors. Since vertices x_i^P, x_i^N have color at most $2i$, the colors
 734 $[2n + 1, 2n + 2]$ must come from the support cliques of the same sizes. Now, for each
 735 $i \in [n]$ the vertex $x_{i_3,j}$ has exactly two neighbors which may have received colors in
 736 $\{2i - 1, 2i\}$. This can be seen by induction on i : first, for $i = n$ this is true, since we
 737 only have the support clique of size $2n$ and the neighbor in $\{x_n^P, x_n^N\}$. Proceeding in
 738 the same way we conclude the claim for smaller values of i . The key observation is
 739 now that the clique of size $2i_3 - 1$ cannot give us color $2i_3$, therefore this color must
 740 come from $\{x_{i_3}^N, x_{i_3}^P\}$. If the neighbor of $x_{i_3,j}$ in this set uses $2i_3$, this must be the
 741 higher color in this set, meaning that x_{i_3} has a value that satisfies c_j . \square

742 LEMMA 5.4. *The graph $G(\phi)$ has clique-width at most 8.*

743 *Lemma 5.4.* Let us first observe that the support operation does not significantly
 744 affect a graph's clique-width. Indeed, if we have a clique-width expression for $G(\phi)$
 745 without the support vertices, we can add these vertices as follows: each time we
 746 introduce a vertex that must be supported we instead construct the graph induced
 747 by this vertex and its support and then rename all supporting vertices to a junk label
 748 that is never connected to anything else. It is clear that this can be done by adding
 749 at most three new labels: two labels for constructing the clique (that will form the
 750 support gadget) and the junk label. In fact, below we give a clique-width expression
 751 for the rest of the graph that already uses a junk label (say, label 0), that is, a label on
 752 which we never apply a Join operation. Hence, it suffices to compute the clique-width
 753 of $G(\phi)$ without the support gadgets and then add 2.

754 Let us then argue why the rest of the graph has constant clique-width. First, the
 755 graph induced by x_i^N, x_i^P , for $i \in [n]$ is a matching. We construct this graph using 4
 756 labels, say 1, 2, 3, 4 as follows: for each $i \in [n]$ we introduce x_i^N with label 3, x_i^P with
 757 label 4, perform a Join between labels 3 and 4, then Rename label 3 to 1 and label 4
 758 to 2. This constructs the matching induced by these $2n$ vertices and also ensures that
 759 all vertices x_i^N have label 1 in the end and all vertices x_i^P have label 2 in the end.

760 We then introduce to the graph the clauses one by one. Specifically, for each
 761 $j \in [m]$ we do the following: we introduce c_j with label 3, d_j with label 4, Join labels
 762 3 and 4, Rename label 4 to label 5; then for each $i \in [n]$ such that we have a vertex
 763 $x_{i,j}$ we introduce that vertex with label 4, Join label 4 with label 3, and Join label
 764 4 with label 1 or 2, depending on whether $x_{i,j}$ is connected to vertices x_i^N or x_i^P ,
 765 then Rename label 4 to the junk label 0. Once all $x_{i,j}$ vertices for a fixed j have been
 766 introduced we Rename label 3 to the junk label 0 and move to the next clause. Finally,
 767 we introduce u with label 3 and Join label 3 to label 5 (which is the label shared by
 768 all d_j vertices). In the end we have used 6 labels, namely the labels $\{0, 1, 2, 3, 4, 5\}$
 769 for $G(\phi)$ without the support vertices, so the whole graph can be constructed with 8
 770 labels. \square

771 THEOREM 5.5. *Given graph $G = (V, E)$, k -GRUNDY COLORING is NP-hard even*

772 when the clique-width of the graph $cw(G)$ is a fixed constant.

773 **6. FPT for modular-width.** In this section we show that GRUNDY COLORING
 774 is FPT parameterized by modular-width. Recall that $G = (V, E)$ has modular-width
 775 w if V can be partitioned into at most w modules, such that each module is a singleton
 776 or induces a graph of modular-width w . Neighborhood diversity is the restricted
 777 version of this measure where modules are required to be cliques or independent sets.

778 The first step is to show that GRUNDY COLORING is FPT parameterized by neigh-
 779 borhood diversity. Similarly to the standard COLORING algorithm for this parameter
 780 [62], we observe that, without loss of generality, all modules can be assumed to be
 781 cliques, and hence any color class has one of 2^w possible types, depending on the
 782 modules it intersects. We would like to use this to reduce the problem to an ILP with
 783 2^w variables, but unlike COLORING, the ordering of color classes matters. We thus
 784 prove that the optimal solution can be assumed to have a “canonical” structure where
 785 each color type only appears in consecutive colors. We then extend the neighborhood
 786 diversity algorithm to modular-width using the idea that we can calculate the Grundy
 787 number of each module separately, and then replace it with an appropriately-sized
 788 clique.

789 **6.1. Neighborhood diversity.** Recall that two vertices $u, v \in V$ of a graph
 790 $G = (V, E)$ are *twins* if $N(u) \setminus \{v\} = N(v) \setminus \{u\}$, and they are called *true* (respectively,
 791 *false*) twins if they are adjacent (respectively, non-adjacent). A *twin class* is a maximal
 792 set of vertices that are pairwise twins. It is easy to see that any twin class is either
 793 a clique or an independent set. We say that a graph $G = (V, E)$ has *neighborhood*
 794 *diversity* w if V can be partitioned into at most w twin classes.

795 Let $G = (V, E)$ be a graph of neighborhood diversity w with a vertex partition
 796 $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ into twin classes. It is obvious that in any Grundy Coloring of
 797 G , the vertices of a true twin class must have all distinct colors because they form a
 798 clique. Furthermore, it is not difficult to see that the vertices of a false twin class must
 799 be colored by the same color because all of their vertices have the same neighbors.

800 In fact, we can show that we can remove vertices from a false twin class without
 801 affecting the Grundy number of the graph:

802 **LEMMA 6.1.** *Let $G = (V, E)$ be a graph of neighborhood diversity w with a vertex*
 803 *partition $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ into twin classes. Let W_i be a false twin class having at*
 804 *least two distinct vertices $u, v \in W_i$. Then $G - v$ has k -Grundy coloring if and only*
 805 *if G has.*

806 *Proof.* The forward implication is trivial. To see the opposite direction, consider
 807 an arbitrary k -Grundy coloring of G . The vertices u, v must have the same color,
 808 since they have the same neighbors. Any vertex whose color is higher than v and is
 809 adjacent with v must be to u as well. Since u and v have the same color, this implies
 810 that the same coloring restricted to $G - v$ is a k -Grundy coloring. \square

811 Using Lemma 6.1, we can reduce every false twin class into a singleton vertex, thus
 812 from now on we may assume that every twin class is a clique (possibly a singleton).
 813 An immediate consequence is that any color class of a Grundy coloring can take
 814 at most one vertex from each twin class. Furthermore, the colors of any two vertices
 815 from the same twin class are interchangeable. Therefore, a color class V_i of a Grundy
 816 coloring is precisely characterized by the set of twin classes W_j that V_i intersects. For
 817 a color class V_i , we call the set $\{j \in [w] : W_j \cap V_i \neq \emptyset\}$ as the *intersection pattern* of
 818 V_i .

819 Let \mathcal{I} be the collection of all sets $I \subseteq [w]$ of indices such that W_i and W_j are non-

820 adjacent for every distinct pairs $i, j \in [w]$. It is clear that the intersection pattern of
 821 any color class is a member of \mathcal{I} . It turns out that if $I \in \mathcal{I}$ appears as an intersection
 822 pattern for more than one color classes, then it can be assumed to appear on a
 823 consecutive set of colors.

824 **LEMMA 6.2.** *Let $G = (V, E)$ be a graph of neighborhood diversity w with a vertex*
 825 *partition $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ into true twin classes. Let $V_1 \dot{\cup} \dots \dot{\cup} V_k$ be a k -Grundy*
 826 *coloring of G and let $I_i \in \mathcal{I}$ be the set of indices j such that $V_i \cap W_j \neq \emptyset$ for each*
 827 *$i \in [k]$. If $I_i = I_{i'}$ for some $i' \geq i + 2$, then the coloring $V_1 \dot{\cup} \dots \dot{\cup} V'_k$ where*

$$828 \quad V'_\ell = \begin{cases} V_{i'} & \text{if } \ell = i + 1, \\ V_{\ell-1} & \text{if } i + 1 < \ell \leq i', \\ V_\ell & \text{otherwise} \end{cases}$$

829 *(i.e. the coloring obtained by ‘inserting’ $V_{i'}$ in between V_i and V_{i+1}) is a Grundy*
 830 *coloring as well.*

831 *Proof.* First observe that the new coloring remains a proper coloring, so we only
 832 need to argue that it’s a valid Grundy coloring. Consider a vertex v which took color
 833 $j \leq i$ in the original coloring. All its neighbors with color strictly smaller than j have
 834 retained their colors, so v is still properly colored. Suppose then that v had color $j > i'$
 835 in the original coloring. Then, v has a neighbor in each of the classes V_1, \dots, V_{j-1} ,
 836 which means that it has at least one neighbor in each of the sets V'_1, \dots, V'_{j-1} , so it is
 837 still validly colored.

838 Suppose that v had received a color $j \in [i + 1, i' - 1]$ in the original coloring and
 839 receives color $j + 1$ in the new coloring. We claim that for each $j' < j + 1$, v has a
 840 neighbor with color j' . Indeed, this is easy to see for $j' \leq i$, as these vertices retain
 841 their colors; for $j' = i + 1$ we observe that v has a neighbor with color i in the original
 842 coloring, and each such vertex has a true twin with color $i + 1$ in the new coloring;
 843 and for $j' > i + 1$, the neighbor of v which had color $j' - 1$ originally now has color j' .

844 Finally, suppose that v had received color i' in the original coloring and receives
 845 color $i + 1$ in the new coloring. We now observe that such a vertex v must have a true
 846 twin which received color i in both colorings, therefore coloring v with $i + 1$ is valid. \square

847 The following is a consequence of Lemma 6.2.

848 **COROLLARY 6.3.** *Let $G = (V, E)$ be a graph of neighborhood diversity w with a*
 849 *vertex partition $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ into true twin classes. If G admits a k -Grundy*
 850 *coloring, then there is a k -Grundy coloring $V_1 \dot{\cup} \dots \dot{\cup} V_k$ with the following property:*
 851 *for each $j_1, j_2 \in [k]$ such that V_{j_1} has a non-empty intersection with the same twin*
 852 *classes as V_{j_2} , we have that for all $j_3 \in [k]$ with $j_1 \leq j_3 \leq j_2$, V_{j_3} also has non-empty*
 853 *intersection with the same twin classes as V_{j_1} .*

854 For a sub-collection \mathcal{I}' of \mathcal{I} , we say that \mathcal{I}' is *eligible* if there is an ordering \preceq on
 855 \mathcal{I}' such that for every $I, I' \in \mathcal{I}'$ with $I \succeq I'$, and for every $i \in I$, there exists $i' \in I'$
 856 such that the twin classes W_i and $W_{i'}$ are adjacent, or $i = i'$. Clearly, a sub-collection
 857 of an eligible sub-collection of \mathcal{I} is again eligible. Intuitively, the ordering that shows
 858 that a sub-collection is eligible corresponds to a Grundy coloring where color classes
 859 have the corresponding intersection patterns.

860 Now we are ready to present an FPT algorithm, parameterized by the neighbor-
 861 hood diversity w , to compute the Grundy number. The algorithm consists of two
 862 steps: (i) guess a sub-collection \mathcal{I}' of \mathcal{I} which are used as intersection patterns by a
 863 Grundy coloring, and (ii) given \mathcal{I}' , we solve an integer linear program.

864 Let \mathcal{I}' be a sub-collection of \mathcal{I} . For each $I \in \mathcal{I}'$, let x_I be an integer variable
 865 which is interpreted as the number of colors for which I appears as an intersection
 866 pattern. Now, the linear integer program $\text{ILP}(\mathcal{I}')$ for a sub-collection \mathcal{I}' is given as
 867 the following:

$$\begin{aligned}
 868 \quad (6.1) \quad & \max \sum_{I \in \mathcal{I}'} x_I \\
 & \text{s.t.} \\
 870 \quad (6.2) \quad & \sum_{I \in \mathcal{I}': i \in I} x_I = |W_i| \quad \forall i \in [w],
 \end{aligned}$$

871 where each x_I takes a positive integer value.

872 **LEMMA 6.4.** *Let $G = (V, E)$ be a graph of neighborhood diversity w with a vertex*
 873 *partition $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ into true twin classes. The maximum value of $\text{ILP}(\mathcal{I}')$*
 874 *over all eligible $\mathcal{I}' \subseteq \mathcal{I}$ equals the Grundy number of G .*

875 *Proof.* We first prove that the maximum value over all considered ILPs is at least
 876 the Grundy number of G . Fix a Grundy coloring $V_1 \dot{\cup} \dots \dot{\cup} V_k$ achieving the Grundy
 877 number while satisfying the condition of Corollary 6.3. Consider the sub-collection \mathcal{I}'
 878 of \mathcal{I} used as intersection patterns in the fixed Grundy coloring. It is clear that \mathcal{I}' is
 879 eligible, using the natural ordering of the color classes. Let \bar{x}_I be the number of colors
 880 for which I is an intersection pattern for each $I \in \mathcal{I}'$. It is straightforward to check
 881 that setting the variable x_I at value \bar{x}_I satisfies the constraints of $\text{ILP}(\mathcal{I}')$, because
 882 all vertices of each twin class are colored exactly once. Therefore, the objective value
 883 of $\text{ILP}(\mathcal{I}')$ is at least the Grundy number.

884 To establish the opposite direction of inequality, let \mathcal{I}' be an eligible sub-collection
 885 of \mathcal{I} achieving the maximum ILP objective value. Notice that $\text{ILP}(\mathcal{I}')$ is feasible, and
 886 let x_I^* be the value taken by the variable x_I for each $I \in \mathcal{I}'$. Since \mathcal{I}' is eligible, there
 887 exists an ordering \preceq on \mathcal{I}' such that for every $I, I' \in \mathcal{I}'$ with $I \succeq I'$, and for every
 888 $i \in I$, there exists $i' \in I'$ such that the twin classes W_i and $W_{i'}$ are adjacent. Now,
 889 we can define the coloring $V_1 \dot{\cup} \dots \dot{\cup} V_\ell$ by taking the first (i.e. minimum element in \preceq)
 890 element I_1 of \mathcal{I}' $x_{I_1}^*$ times. That is, each of V_1 up to $V_{x_{I_1}^*}$ contains precisely one vertex of
 891 W_i for each $i \in I_1$. The succeeding element I_2 similarly yields the next $x_{I_2}^*$ colors, and
 892 so on. From the constraint of $\text{ILP}(\mathcal{I}')$, we know that the constructed coloring indeed
 893 partitions V . The eligibility of \mathcal{I}' ensure that this is a Grundy coloring. Finally,
 894 observe that the number of colors in the constructed coloring equals the objective
 895 value of $\text{ILP}(\mathcal{I}')$. This proves that the latter value is the lower bound for the Grundy
 896 number. \square

897 **THEOREM 6.5.** *Let $G = (V, E)$ be a graph of neighborhood diversity w . The*
 898 *Grundy number of G can be computed in time $2^{O(w2^w)} n^{O(1)}$.*

899 *Proof.* We first compute the partition $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$ of G into twin classes
 900 in polynomial time. By Lemma 6.1, we may assume that each W_i is a true twin class
 901 by discarding some vertices of G , if necessary. Next, we compute \mathcal{I} and notice that \mathcal{I}
 902 contains at most 2^w elements. For each $\mathcal{I}' \subseteq \mathcal{I}$ we verify if \mathcal{I}' is eligible (this can be
 903 done in by trying all $w!$ orderings of the elements of \mathcal{I}').

904 For each eligible sub-collection of \mathcal{I}' of \mathcal{I} , we solve $\text{ILP}(\mathcal{I}')$ using Lenstra's algo-
 905 rithm which runs in time $O(n^{2.5n+o(n)})$, where n denotes the number of variables in
 906 a given linear integer program [67, 52, 41]. As $\text{ILP}(\mathcal{I}')$ contains as many as $|\mathcal{I}'| \leq 2^w$
 907 variables, this lead to an ILP solver running in time $2^{O(w2^w)}$. Due to Lemma 6.4, we

908 can correctly compute the Grundy number by solving $\text{ILP}(\mathcal{I}')$ for each eligible \mathcal{I}' and
 909 taking the maximum. \square

910 **6.2. Modular-width.** Let $G = (V, E)$ be a graph. A *module* is a set $X \subseteq V$ of
 911 vertices such that $N(u) \setminus X = N(v) \setminus X$ for every $u, v \in X$, that is, their neighborhoods
 912 coincide outside of X . Equivalently, X is a module if all vertices of $V \setminus X$ are either
 913 connected to all vertices of X or to none. The modular width of a graph $G = (V, E)$
 914 is defined recursively as follows: (i) the modular width of a singleton vertex is 1 (ii) G
 915 has modular width at most k if and only if there exists a partition $V = V_1 \dot{\cup} \dots \dot{\cup} V_k$,
 916 such that for all $i \in [k]$, V_i is a module and $G[V_i]$ has modular width at most k .

917 Our main tool in this section will be the following lemma which will allow us to
 918 reduce GRUNDY COLORING parameterized by modular width to the same problem
 919 parameterized by neighborhood diversity. We will then be able to invoke Theorem 6.5.
 920 The idea of the lemma is that once we compute the Grundy number of a module of
 921 a graph G we can remove it and replace it with an appropriately sized clique without
 922 changing the Grundy number of G .

923 **LEMMA 6.6.** *Let $G = (V, E)$ be a graph and $X \subseteq S$ be a module of G . Let G'
 924 be the graph obtained by deleting X from G and replacing it with a clique X' of size
 925 $\Gamma(G[X])$, such that in G' we have that all vertices of X' are connected to all neighbors
 926 of X in G . Then $\Gamma(G) = \Gamma(G')$.*

927 *Proof.* Let $k = \Gamma(G[X]) = |X'|$. First, let us show that $\Gamma(G') \geq \Gamma(G)$. Take a
 928 Grundy coloring of G . Our main observation is that the vertices of X are using at
 929 most k distinct colors in the coloring of G . To see this, suppose for contradiction
 930 that the vertices of X are using at least $k + 1$ colors. We will show how to obtain a
 931 Grundy coloring of $G[X]$ with at least $k + 1$ colors. As long as there is a color in the
 932 Grundy coloring of G which does not appear in X , let c be the highest such color. We
 933 delete from G all vertices which have color c , and decrease by 1 the color of all vertices
 934 that have color greater than c . This modification gives us a valid Grundy coloring
 935 of the remaining graph, without decreasing the number of distinct colors used in X .
 936 Repeating this exhaustively results in a graph where every color is used in X . Since
 937 X is a module, that means that the resulting graph is $G[X]$, and we have obtained a
 938 Grundy coloring of $G[X]$ with $k + 1$ or more colors, contradiction.

939 Assume then that in the optimal Grundy coloring of G , the vertices of X use $k' \leq$
 940 k distinct colors. Let G'' be the induced subgraph of G' obtained by deleting vertices
 941 of X' so that there are exactly k' such vertices left in the graph. We claim $\Gamma(G') \geq$
 942 $\Gamma(G'') \geq \Gamma(G)$. The first inequality follows from the standard fact that Grundy
 943 coloring is closed under induced subgraphs (indeed, in the First-Fit formulation of
 944 the problem we can place the deleted vertices of G' at the end of the ordering). To
 945 see that $\Gamma(G'') \geq \Gamma(G)$ we take the optimal coloring of G and use the same coloring
 946 in $V \setminus X$; furthermore, for each distinct color used in a vertex of X we color a vertex
 947 of X' with this color. Observe that this is a proper coloring of G'' . Furthermore, for
 948 each $v \in V \setminus X$, the set of colors that appears in $N(v)$ is unchanged; while for $v \in X'$,
 949 v sees at least the same colors in its neighborhood as a vertex of X that received the
 950 same color.

951 Let us also show that $\Gamma(G) \geq \Gamma(G')$. Consider a k -Grundy coloring of $G[X]$ and
 952 let X_1, X_2, \dots, X_k be the corresponding partition of X . Label the vertices of X' as
 953 x_1, \dots, x_k . We will now show how to transform a Grundy coloring of G' to a Grundy
 954 coloring of G : we use the same colors as in G' for all vertices in $V \setminus X$; and we use for
 955 each vertex of X_i the same color that is used for x_i in G' . This is a proper coloring,

956 as each X_i is an independent set, the vertices of X' use distinct colors in G' (as they
 957 form a clique), and a vertex connected to X in G is also connected to all of X' in G' .
 958 Furthermore, each vertex $v \in V \setminus X$ sees the same set of colors in its neighborhood
 959 in G and in G' : if v is not connected to X its neighborhood is completely unchanged,
 960 while if it is v sees in X the same k colors that were used in X' . Finally, for each
 961 $i \in [k]$, each vertex of X_i sees the same colors in its neighborhood as x_i does in G' . \square

962 We can now prove the main result of this section.

963 **THEOREM 6.7.** *Let $G = (V, E)$ be a graph of modular-width w . The Grundy*
 964 *number of G can be computed in time $2^{O(w^2w)}n^{O(1)}$.*

965 *Proof.* Given a graph $G = (V, E)$ of modular width w it is known that we can
 966 compute a partition of V into at most w modules V_1, \dots, V_w [83]. If one of these
 967 modules V_i is not a clique or an independent set, we call this algorithm recursively on
 968 $G[V_i]$ (which also has modular width w) and compute $\Gamma(G[V_i])$. Then, by Lemma 6.6
 969 we can replace V_i in G with a clique of size $\Gamma(G[V_i])$. Repeating this produces a graph
 970 where each module is a clique or an independent set. But then G has neighborhood
 971 diversity w , so we can invoke Theorem 6.5. \square

972 **7. Conclusions.** We have shown that GRUNDY COLORING is a natural problem
 973 that displays an interesting complexity profile with respect to some of the main graph
 974 widths. One question left open with respect to this problem is its complexity param-
 975 eterized by feedback vertex set. A further question is the tightness of our obtained
 976 results under the ETH. The algorithm we obtain for pathwidth has running time with
 977 parameter dependence $2^{O(pw^2)}$. Is this optimal or is it possible to do better? Simi-
 978 larly, our reduction for treewidth shows that it's not possible to solve the problem in
 979 $n^{o(\sqrt{tw})}$, but the best known algorithm runs in $n^{O(tw^2)}$. Can this gap be closed?

980 A broader question is also whether we can find other examples of natural problems
 981 that separate the parameters treewidth and pathwidth. The reason that GRUNDY
 982 COLORING turns out to be tractable for pathwidth is purely combinatorial (the
 983 value of the optimal is bounded by a function of the parameter). In other words,
 984 the “reason” why this problem becomes easier for pathwidth is not that we are able
 985 to formulate a different algorithm, but that the same algorithm happens to become
 986 more efficient. It would be interesting to find some natural problem for which path-
 987 width offers algorithmic footholds in comparison to treewidth that cannot be so easily
 988 explained. One possible candidate for this may be PACKING COLORING [59].

989

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