

# Jérôme & Parameterized Algorithms and Complexity

Henning Fernau

Universität Trier, Germany



JM 2021 : Scientific Tribute to Jérôme Monnot

Paris, 06. December 2021



## Overview

- How I met Jérôme
- The UPPER DOMINATION project
- What are extension problems?  
A framework for extension problems
- What about ...  
(parameterized) complexity?
- ROMAN DOMINATION
- CONFERENCE PROGRAM DESIGN



## Meeting Jérôme

- Some personal tradition to come to Dauphine
- Involvement in several dissertation projects:
  - 2010: Nicolas Bourgeois,
  - 2013: Morgan Chopin,
  - 2014: Édouard Bonnet.
- Often commuting between floors ...
- ... somehow culminating in the 10-author project  
*The many facets of upper domination.*

## The UPPER DOMINATION Project

Given: a graph  $G = (V, E)$

Task: Find an (inclusion-wise) minimal dominating set  $D$  of maximum size!

Our paper combined many results concerning approximation / parameterization of both groups. Examples of FPT- or W-results:

- With parameter pathwidth  $p$ :  $\mathcal{O}^*(7^p)$ . **Open**:  $\mathcal{O}^*(c^p)$  for  $c < 7$ ?
- With parameter treewidth  $t$ :  $\mathcal{O}^*(10^t)$ . **Open**:  $\mathcal{O}^*(c^t)$  for  $t < 10$ ?
- With lower-bound parameter  $k$  on  $D$ : W[1]-hard, in W[2].  
**Open**: Membership in W[1] or W[2]-hardness? Or anything in-between?
- With dual parameter  $k_d = |V| - k$ : Quadratic vertex & edge kernel, branching algorithm in  $\mathcal{O}^*(4.3077^{k_d})$ . **Open**: Improvements or lower bounds?

## More on the UPPER DOMINATION Project

Given: a graph  $G = (V, E)$

Task: Find an (inclusion-wise) minimal dominating set  $D$  of maximum size!

**Open** until today: Find exact algorithm for UPPER DOMINATION that is better than the one enumerating all minimal dominating sets!\*

**Our hope**: Find methods how to cut search tree branches at an early stage.

We therefore introduced the following *extension problem*:

Given: a graph  $G = (V, E)$  and  $U \subseteq V$

Question: Is there a minimal dominating set containing  $U$ ?

An efficient solution might help find a clever algorithm for UPPER DOMINATION.

Alas: The question is NP-hard in quite restricted scenarios.

Also: **W[3]-complete** when parameterized by  $|U|$ .

\* $\mathcal{O}^*(1.7159^n)$  by Fomin, Grandoni, Pyatkin, Stepanov, ACM TALG 2008

## Extension Framework (inspired by the def. of *NPO*); Example DS

A *monotone problem* is described as  $\Pi = (\mathcal{I}, \text{presol}, \text{sol}, \preceq, m)$  with

- $\mathcal{I}$  is the set of *instances*, recognizable in poly-time. all graphs  $G = (V, E)$
- For any  $I \in \mathcal{I}$ ,  $\text{presol}(I)$  is the set of *pre-solutions*.  $2^V$   
Moreover, for any  $y \in \text{presol}(I)$ ,  $|y|$  is polynomially bounded in  $|I|$ . ✓
- For  $I \in \mathcal{I}$ ,  $\text{sol}(I) \subseteq \text{presol}(I)$  is the *set of solutions*. dominating sets  $D$
- ‘ $U \in \text{presol}(I)$ ?’ and ‘ $U \in \text{sol}(I)$ ?’ are decidable in poly-time on  $(I, U)$ . ✓
- For  $I \in \mathcal{I}$ ,  $\preceq$  is a poly-time decidable partial ordering on  $\text{presol}(I)$ . inclusion  $\subseteq$
- For  $I \in \mathcal{I}$ ,  $\text{sol}(I)$  is upward closed with respect to  $\preceq$ . ✓
- For  $I \in \mathcal{I}$  &  $U \in \text{presol}(I)$ ,  $m(I, U) \in \mathbb{Q}_{\geq 0}$  is the poly-time computable *value of  $U$* .  
cardinality  $|D|$
- For  $I \in \mathcal{I}$ ,  $m(I, \cdot)$  is *monotone* with respect to  $\preceq$ , i.e., for all  $U, U' \in \text{presol}(I)$  with  $U' \preceq U$ ,
  - either  $m(I, U') \leq m(I, U)$ , so that  $m(I, \cdot)$  is *increasing*, ✓
  - or  $m(I, U') \geq m(I, U)$ , so that  $m(I, \cdot)$  is *decreasing*.

## Extension Problems

Let  $\Pi = (\mathcal{I}, \text{presol}, \text{sol}, \preceq, m)$  be a monotone problem.

$\mu(\text{sol}(I))$  denotes the set of *minimal feasible solutions of  $I$* , i.e.,

$$\mu(\text{sol}(I)) = \{S \in \text{sol}(I) : ((S' \preceq S) \wedge (S' \in \text{sol}(I))) \rightarrow S' = S\}.$$

On  $U \in \text{presol}(I)$ , define  $\text{ext}(I, U) = \{U' \in \mu(\text{sol}(I)) : U \preceq U'\}$ : the set of *extensions* of  $U$ .

Sometimes,  $\text{ext}(I, U) = \emptyset \rightsquigarrow$  the next question is interesting.

EXT  $\Pi$

**Input:**  $I \in \mathcal{I}$  and some  $U \in \text{presol}(I)$ .

**Question:**  $\text{ext}(I, U) \neq \emptyset$ ?

Are there supersets of a given vertex set  $U$  that are inclusion-wise minimal dominating sets?

Motivation: Having arrived at pre-solution  $U$  with  $\text{ext}(I, U) = \emptyset$ : Stop branching!

## A General Upper Bound on Complexity

If  $\Pi$  is a monotone problem, then  $\text{EXT } \Pi$  can always be solved within  $\Sigma_2^P$ .

Recall:  $NP \cup \text{co-}NP \subseteq \Sigma_2^P$ .

Given an instance  $(I, U)$  of  $\text{EXT } \Pi$ , we can perform the following steps.

1. Guess a solution  $U'$  of  $I$ .  $\exists U' \in \text{sol}(I)$
2. Verify that  $U \preceq U'$  holds, i.e., that  $U'$  is an extension of  $U$ .
3. Set the Boolean variable  $b$  to `false`.
4. For all solutions  $U''$  of  $I$  do:  $\forall U'' \in \text{sol}(I)$ 
  - Let  $b := (U'' \preceq U') \wedge (U'' \neq U')$ .
  - If  $b$ , then  $U'$  is not a minimal extension; exit the for-loop.
  - If not  $b$ , continue with the for-loop.
5. If (and only if) not  $b$ , then  $U'$  is a minimal extension.

Notice: Polynomial bound on solution size needed, but not upward closedness.



## Parameterized Complexity

Define the *standard parameter* for EXT  $\Pi$  to be  $m(I, U)$  on instance  $(I, U)$ . The *dual parameter* is  $\kappa_d(I, U) = m_{\max}(I) - m(I, U)$  with  $m_{\max}(I) = \max\{m_I(y) : y \in \text{presol}(I)\}$ .

If  $m_{\max}(I)$  is defined for all  $I \in \mathcal{I}$ , then  $\Pi$  *admits a dual parameterization*.

Define  $\text{Above}(U) = \{V \in \text{sol}(I) : U \preceq V\}$ .

Let  $\Pi = (\mathcal{I}, \text{presol}, \text{sol}, \preceq, m)$  be monotone, admitting a dual parameterization).

If, for all  $I \in \mathcal{I}$  and  $U \in \text{presol}(I)$ ,  $\text{Above}(U)$  can be enumerated in *FPT*-time, parameterized by  $k \in \{m(I, U), \kappa_d(I, U)\}$ , then EXT  $\Pi$  is in *FPT*, parameterized by  $k$ .

In order to enumerate  $\text{Above}(U)$ , it is often easiest to enumerate  $\{V \in \text{presol}(I) : U \preceq V\}$  instead (in *FPT*-time) and check if the enumerated pre-solution is a solution, doable in poly-time.

Ext. of Param.	EC	EM	EDS	IS	VC	DS	BP
standard	<i>FPT</i>	<i>FPT</i>	$W[1]$ -hard	<i>FPT</i>	$W[1]$ -compl.	$W[3]$ -compl.	<i>para-NP</i>
dual	<i>FPT</i>	<i>FPT</i>	<i>FPT</i>	$W[1]$ -compl.	<i>FPT</i>	<i>FPT</i>	<i>FPT</i>

**Further Orderings** but subset or superset ...

**Ask the Romans for help: Roman Domination\***. We only present the monotone problem  $\Pi_R$ .  $f : V \rightarrow \{0, 1, 2\}$  is called a *Roman domination function* iff, for all vertices  $x$  with  $f(x) = 0$ , there is some  $y \in N(x)$  with  $f(y) = 2$ .

$\mathcal{I} = \{G = (V, E) : G \text{ is a graph}\}$

$presol(G) = \{0, 1, 2\}^V$ , polyn. bounded ✓, poly-time decidable ✓

$sol(G) = \{f \in presol(G) : f \text{ is a Roman domination function of } G\}$ ,  
poly-time decidable ✓

$\preceq = \leq$ , lifted 'point-wise', poly-time decidable ✓,  $sol(G)$  upward closed ✓

$m(I, g) = g(V) = \sum_{x \in V} g(x)$ , poly-time computable ✓

$\mu(sol(G)) = \{f \in sol(G) : ((f' \preceq f) \wedge (f' \in sol(G))) \rightarrow f' = f\}$

$ext(G, f_U) = \{f \in \mu(sol(G)) : f_U \preceq f\}$

**Good news:** Kevin Mann could prove: EXT ROMAN DOMINATION is poly-time solvable.  
Alas, this does not help improve exact algorithms for ROMAN DOMINATION (see PhD of Liedloff).

\*Stewart, *Scientific American* 1999

## Open Parameterizations

Sometimes, open problems can be found in Jérôme's papers. WINE 2016

CONFERENCE PROGRAM DESIGN, or CPD for short:

Given:  $m$  talks  $T = \{t_1, \dots, t_m\}$  and  $n$  participants of a conference.

The conference should be run using  $k$  time slots.

Each slot contains at most  $q$  talks (held in parallel tracks).

Conference schedule: described by a partition  $\mathcal{S} = \{S_1, \dots, S_k\}$  with  $|S_i| \leq q$ .

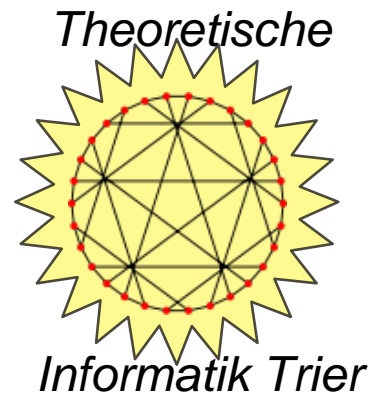
Each participant is modeled by a utility function  $u_\ell : T \rightarrow \mathbb{R}_{\geq 0}$ .

Goal: maximize the overall utility, which is  $\sum_{\ell=1}^n \sum_{i=1}^k \max\{u_\ell(t) \mid t \in S_i\}$ .

If all preference orders  $\prec_\ell$  induced by  $u_\ell$  are single-peaked wrt. some linear order  $\sqsupseteq$  on  $T$ , then Fotakis, Gourvès and Monnot showed an **XP-algorithm** wrt. parameter  $k$  for solving CPD.

**Open question:** Is there some FPT-algorithm for CPD? Or any lower bounds?

Thanks for your attention!



International Workshop On  
Combinatorial Algorithms

See you soon at **IWOCA**